

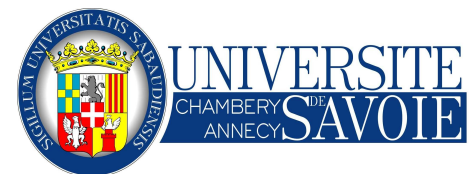


# *Tools and Monte-Carlos* for the **New Physics**

Fawzi BOUDJEMA

LAPTh-Annecy, France

- What's a tool and what it takes to make one
- New Physics vs the Standard Model Physics
- Modular Structures and interfaces



# OUTLINE

A. From the parton to the detector  
not necessarily BSM

B. From the Lagrangian to the MEG  
codes talk, interface

NLO issues  
not necessarily BSM

Tools for Dark Matter  
Definitely BSM

## OUTLINE A and B

- What's a tool and what it takes to make one: Structure of an event
- Components of a MC EG (Monte Carlo Event Generator)
- Integration and MC techniques (probably skip)
- PS: Parton Shower in a MC
- Matrix Element vs PS
- ME generation and ME generators
- Modular structure of codes, Les Houches Accords
- Tools for the New Physics

## Further Reading and from where I borrowed

- **Frank Krauss** Bonn Lectures, 2006  
<http://projects.hepforge.org/sherpa/dokuwiki/publications/presentations/index>
- **Fabio MALTONI** HEPTOOLS School, Torino, 2008  
[http://personalpages.to.infn.it/maina/scuola08/Maltoni\\_\\_Torino08.pdf](http://personalpages.to.infn.it/maina/scuola08/Maltoni__Torino08.pdf)
- **Steve Mrenna** CTEQSS, CTEQ05
- **Peter Richardson** CTEQ06 School, IPPP Durham, 2006
- **Mike Seymour** CERN Training Lectures 2003  
<http://seymour.home.cern.ch/seymour/slides/CERNlecture1.ppt>
- **Torbjrn Sjostrand**, 2006 European School of HEP, Aronsborg YETI06, IPPP Durham,  
see Pythia website <http://www.thep.lu.se/torbjorn>
- **Brian Webber** 1st MCnet School, IPPP Durham 2007
- **Les Houches Guidebook** Les Houches Guidebook to Monte Carlo Generators for  
Hadron Collider Physics, hep-ph/0403045
- **R.K. Ellis, W.J. Stirling and B.R. Webber** QCD and Collider Physics  
Cambridge Monographs, Cambridge University Press

## Further Reading and where you can find info on (and download) the codes

- **Les Houches Guidebook** Les Houches Guidebook to Monte Carlo Generators for Hadron Collider Physics, hep-ph/0403045
- **BSM Tools Repository:** <http://www.ippp.dur.ac.uk/montecarlo/BSM/> .
- **SUSY Bestiary of Public Codes:** Allanach, arXiv:0805.2088 [hep-ph] .
- **SUSY Tools for Dark Matter and at the Colliders.** Fawzi Boudjema, Joakim Edsjo, Paolo Gondolo, arXiv:1003.4748
- **My webpage**
- **Les Houches May 30-Jun 17, 2010** (not just a tools Workshop....)
- **MC4BSM, Tools for SUSY and the New Physics Series** (Google them)

Great Idea: A New Physics Model

FINAL AIM

Nobel Prize if LHC validates!

$\mathcal{L}_{\text{New Physics Models}}$

TOOLS

**experimental discovery and data analyses**

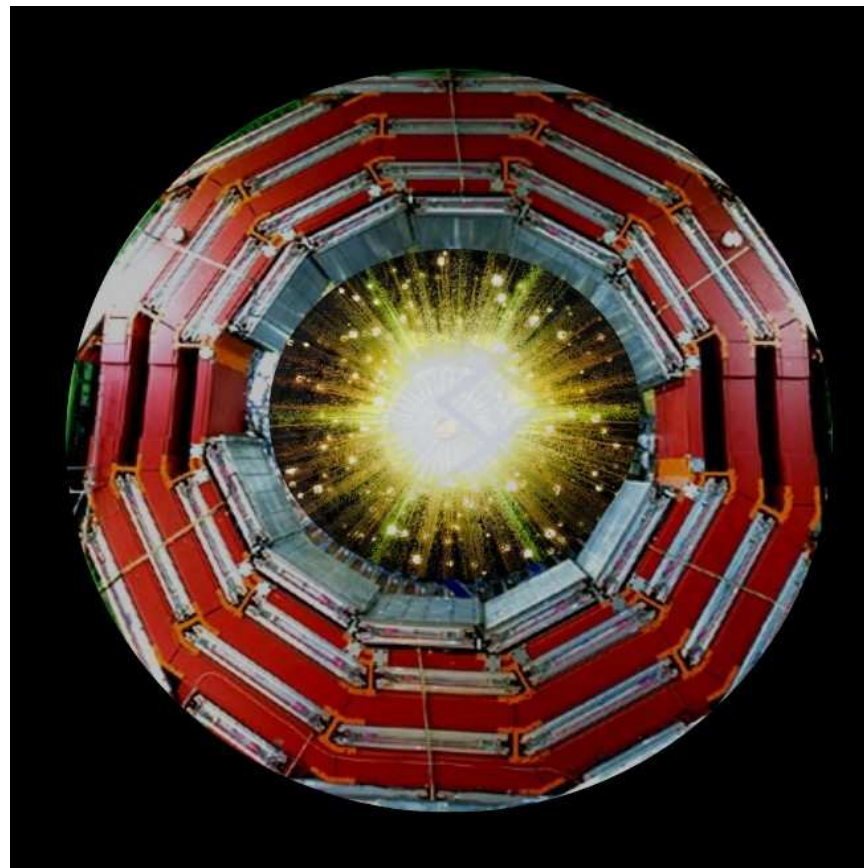
For a long time, Tools and MC



Before LHC started



For a long time, Tools and MC



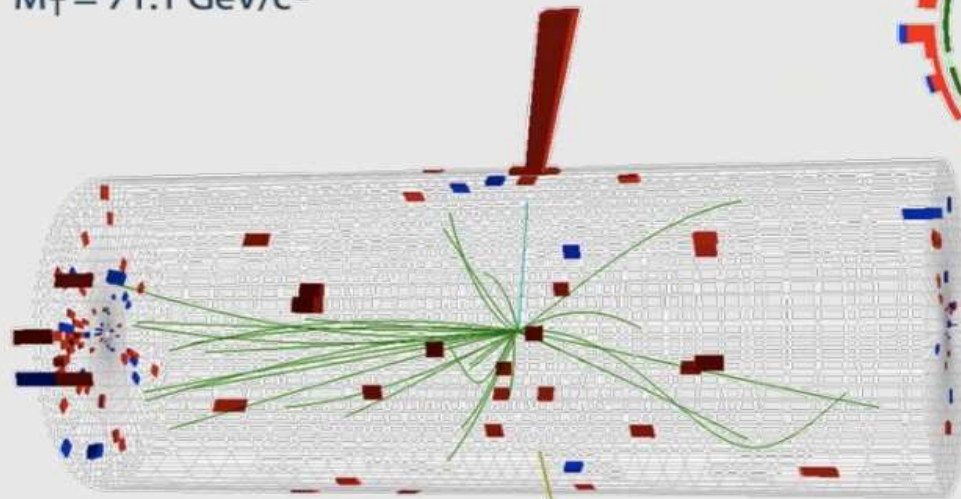
is the Higgs in there? or any other New  
Physics

## Data from LHC, after some cleaning

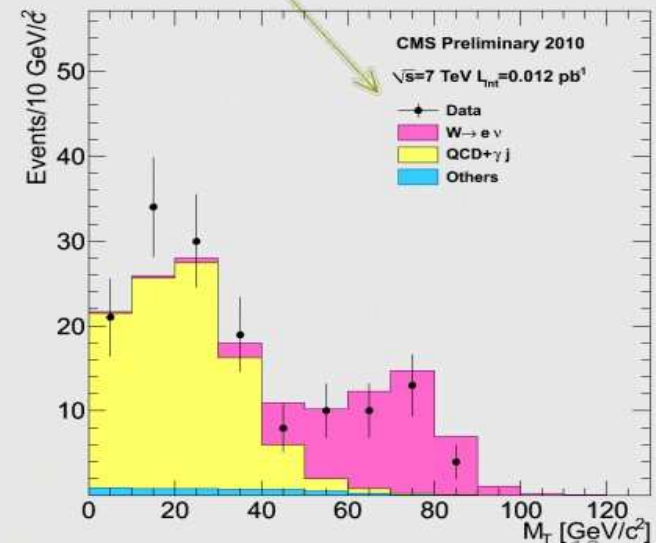
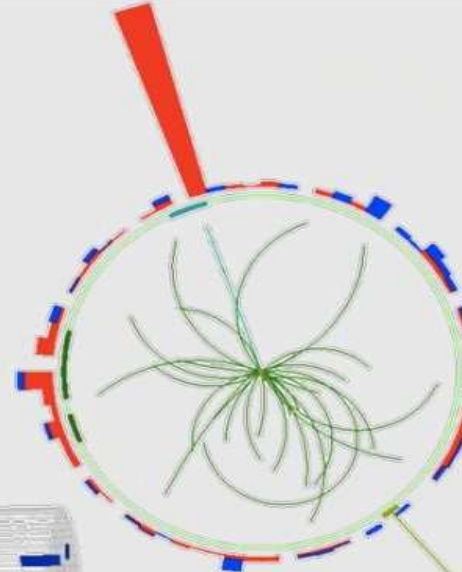


CMS Experiment at LHC, CERN  
Run 133874, Event 21466935  
Lumi section: 301  
Sat Apr 24 2010, 05:19:21 CEST

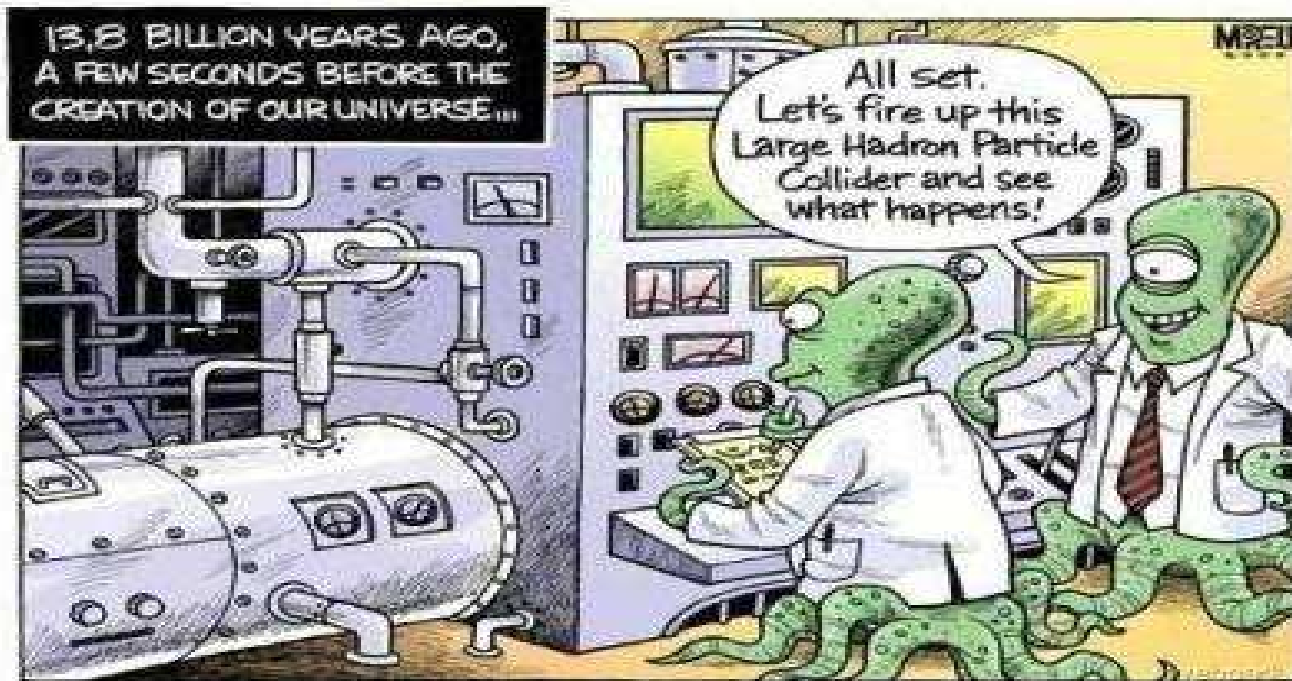
Electron  $p_T = 35.6$  GeV/c  
 $ME_T = 36.9$  GeV  
 $M_T = 71.1$  GeV/c<sup>2</sup>



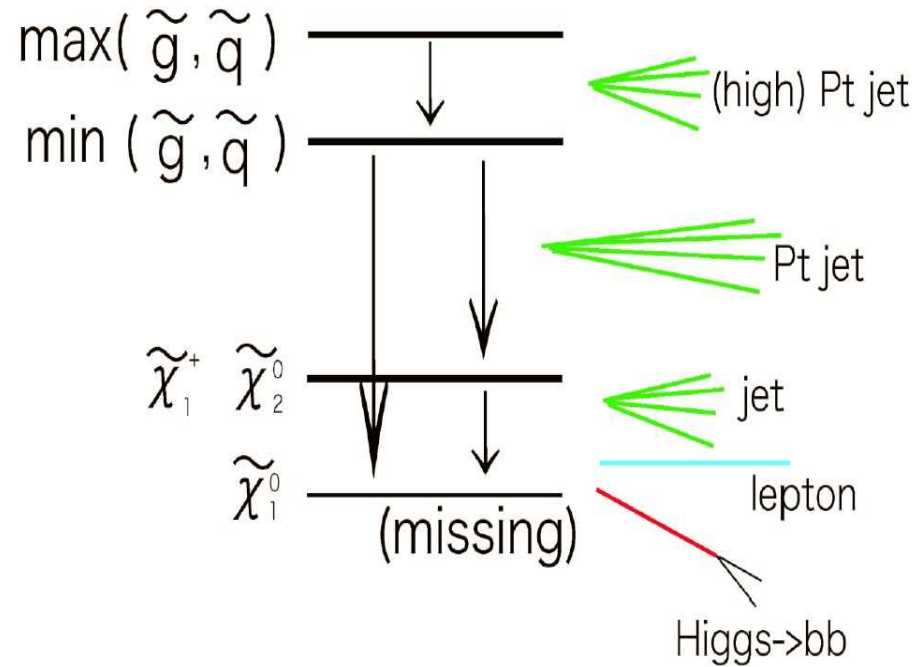
W → eν candidate



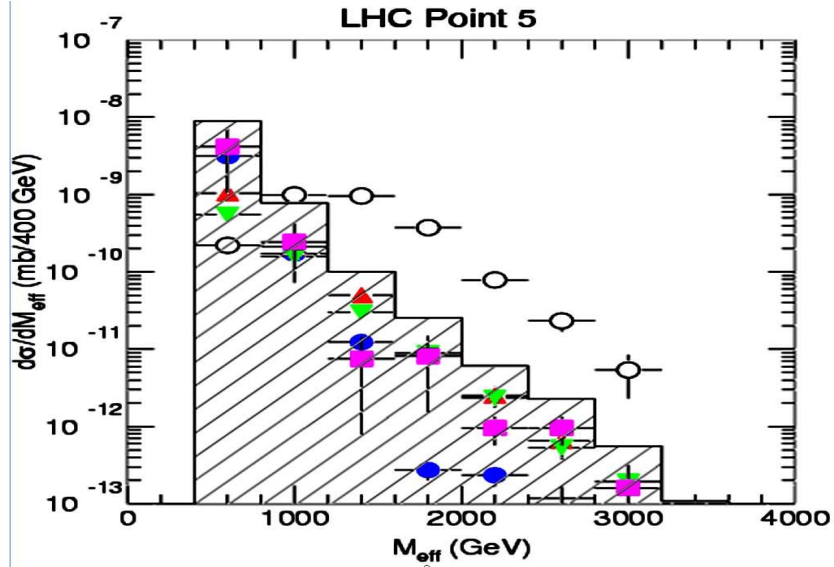
Turn on the machine!



in 1998 we were told to expect an early SUSY discovery



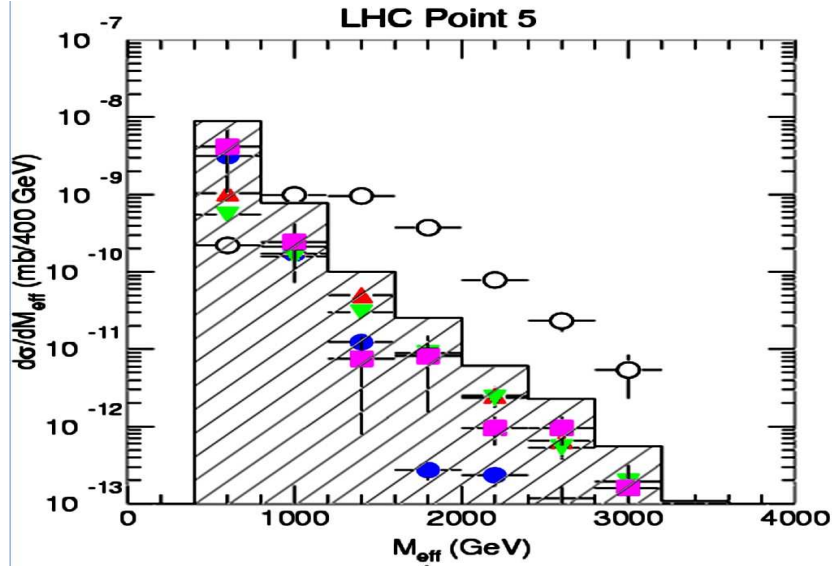
## ATLAS TDR (same with CMS)



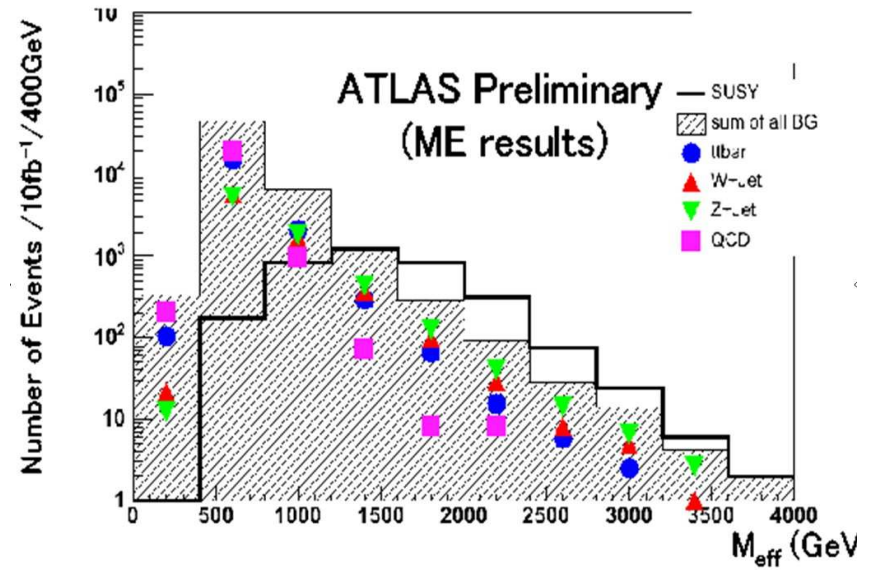
ATLAS TDR 98  
(mSUGRA point, PreWMAP)



# ATLAS TDR (same with CMS)

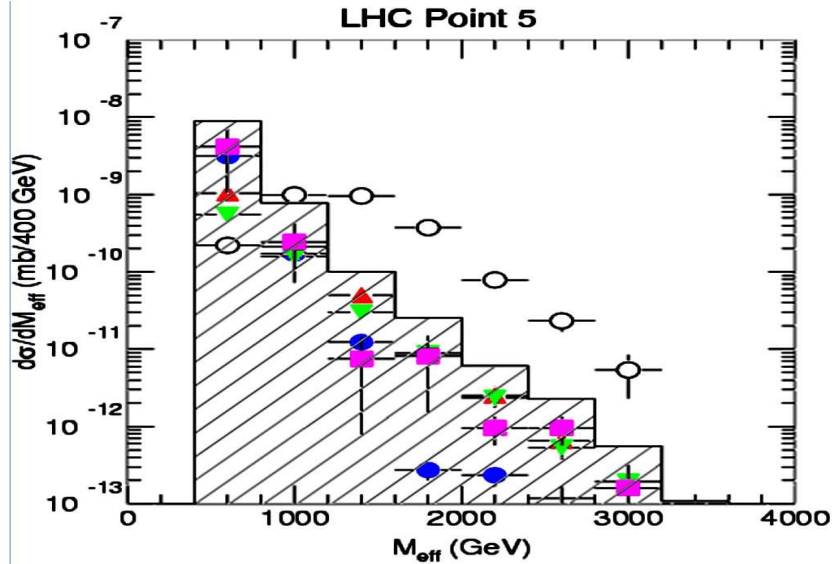


ATLAS TDR 98  
(mSUGRA point, PreWMAP)

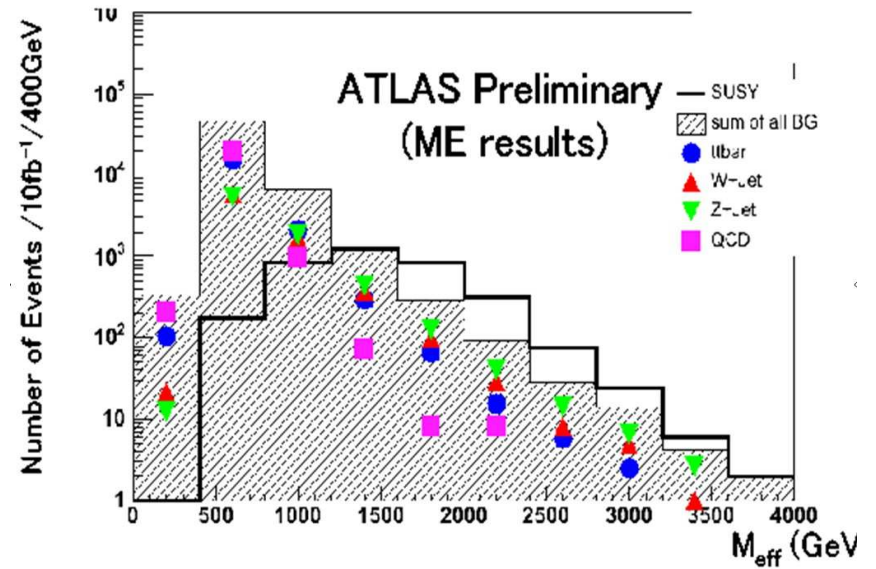


ATLAS 2006

# ATLAS TDR (same with CMS)



ATLAS TDR 98  
(mSUGRA point, PreWMAP)



ATLAS 2006

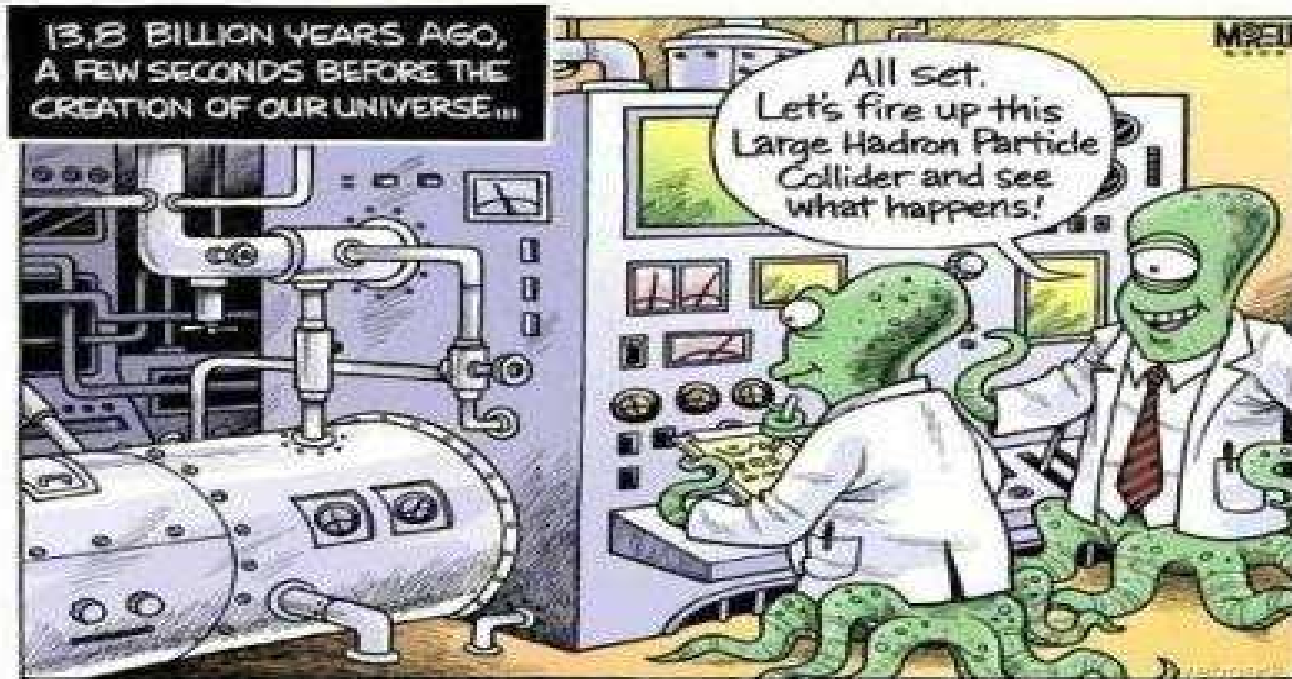
What happened?

What we hope for!

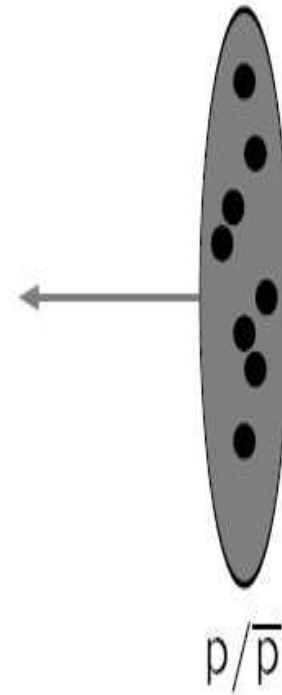
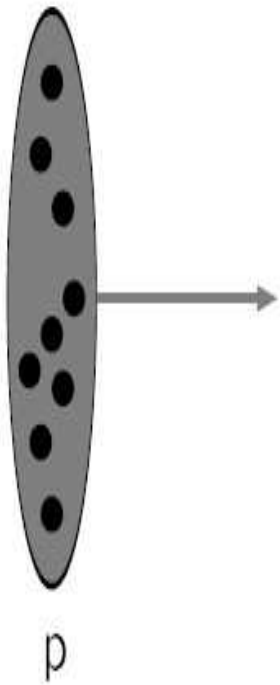




Let's turn the machine again, slow motion!

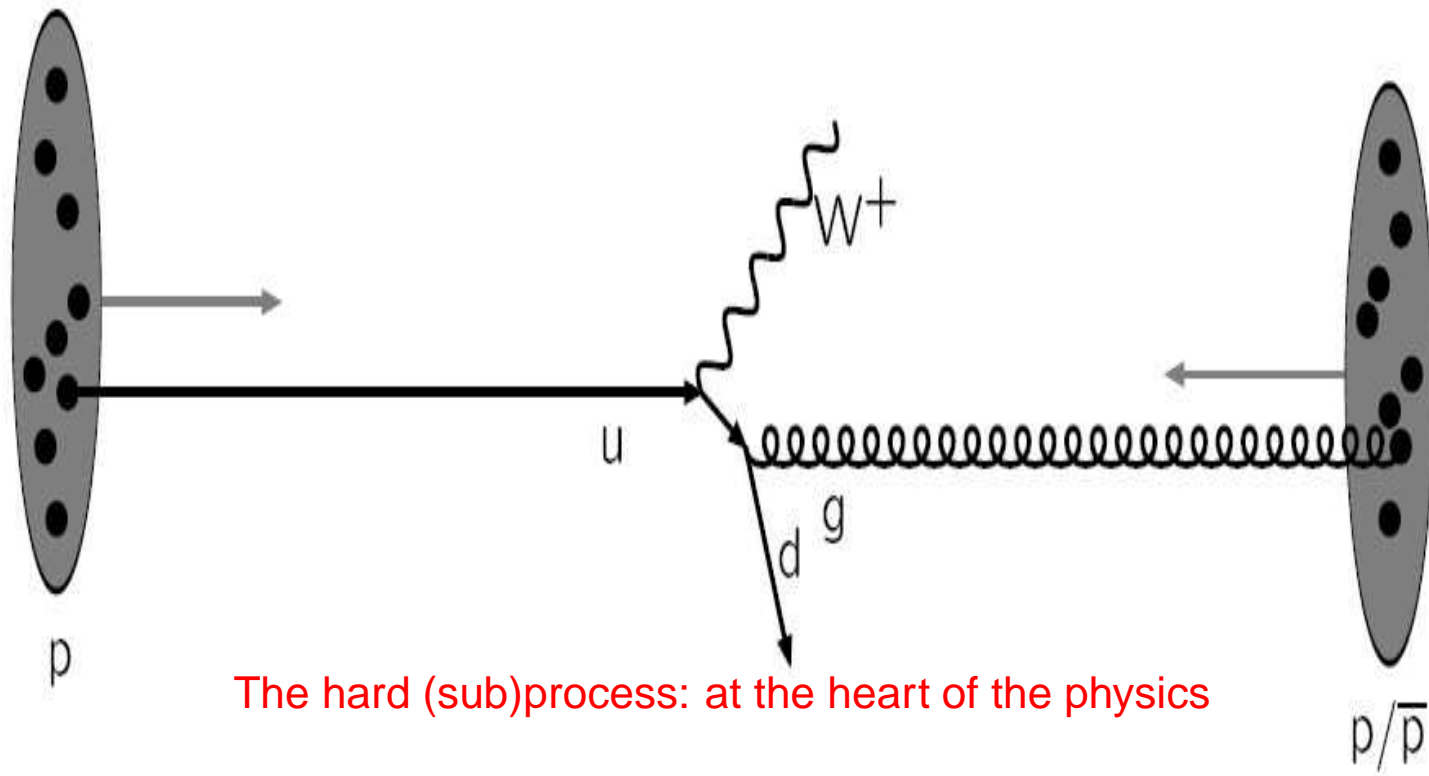


## Movie: The structure of an event



Incoming beams: partons densities

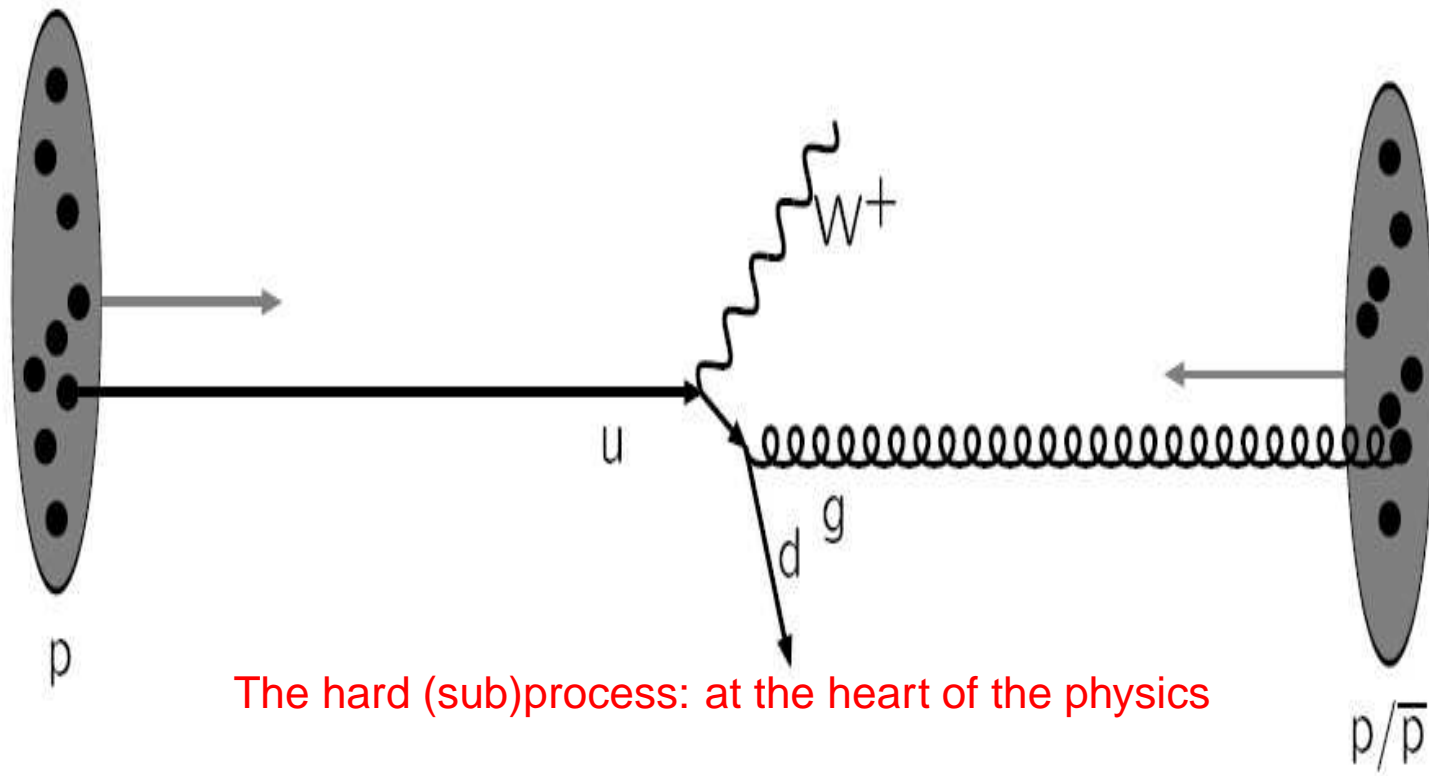
## Movie: The structure of an event



The hard (sub)process: at the heart of the physics

- Hard process is well understood and well described: relies on a firm perturbative framework.

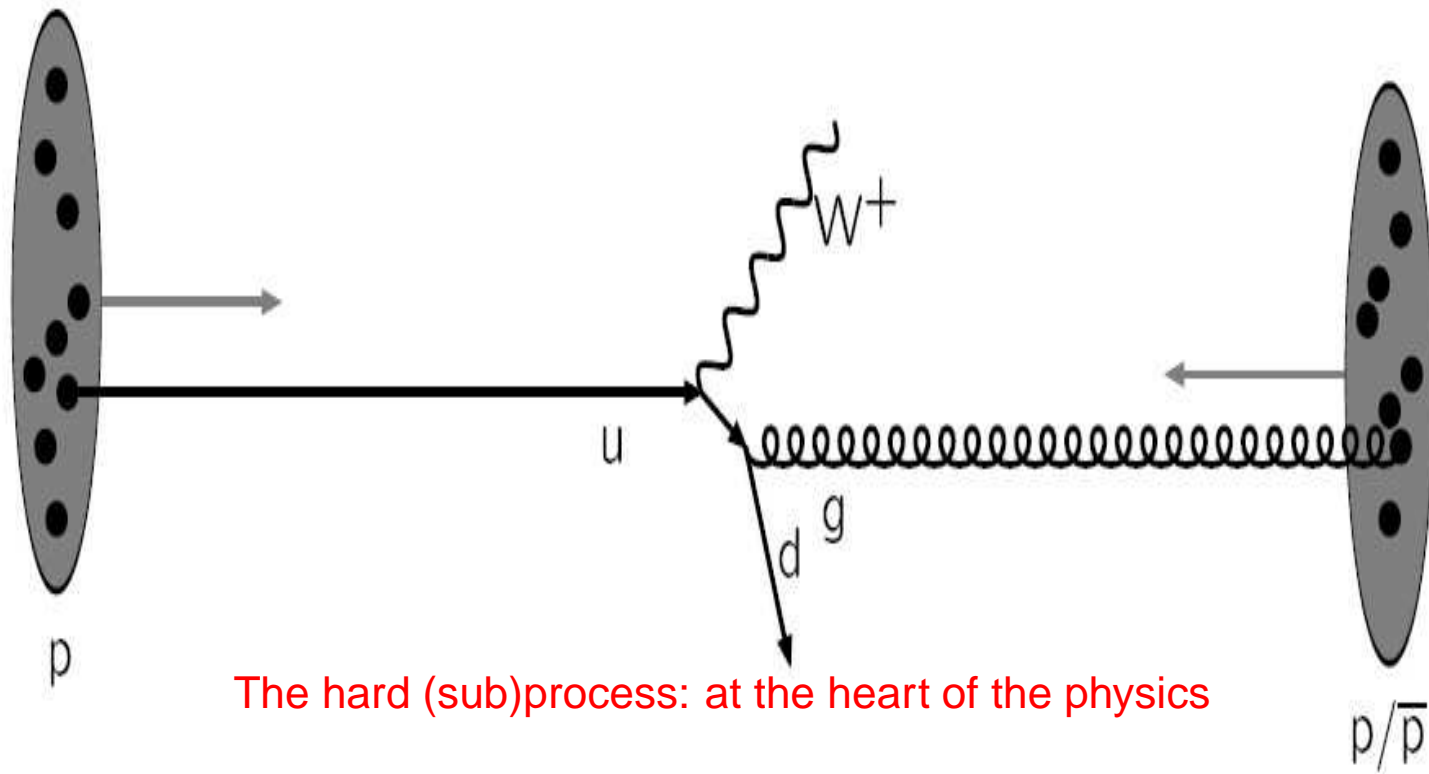
## Movie: The structure of an event



The hard (sub)process: at the heart of the physics

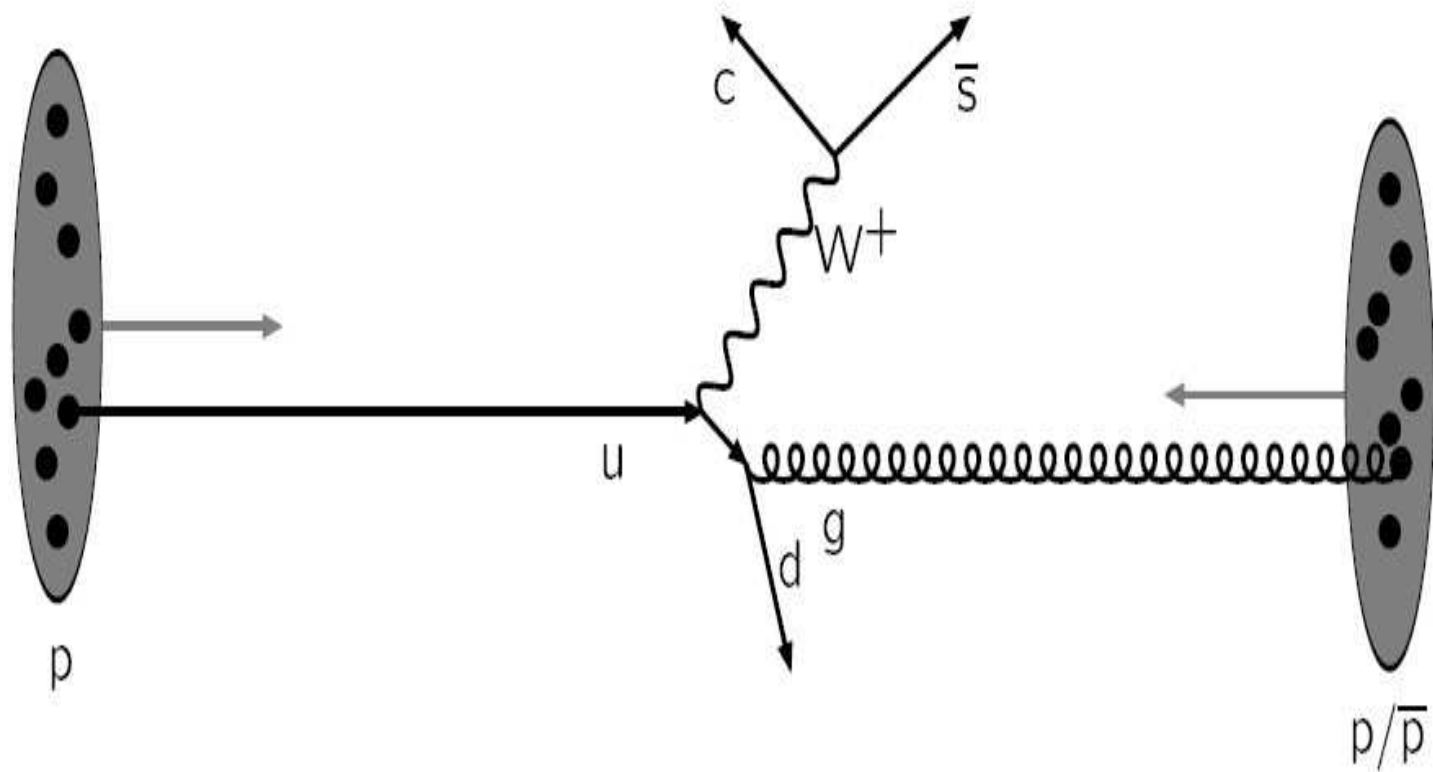
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- described by Matrix Elements (ME)  
This does not mean that it is very well calculated

## Movie: The structure of an event



- Hard process is well understood and well described: relies on a firm perturbative framework.
- described by Matrix Elements (ME)  
This does not mean that it is very well calculated
- issue of higher order (NLO), most calculations only LO say

## Movie: The structure of an event

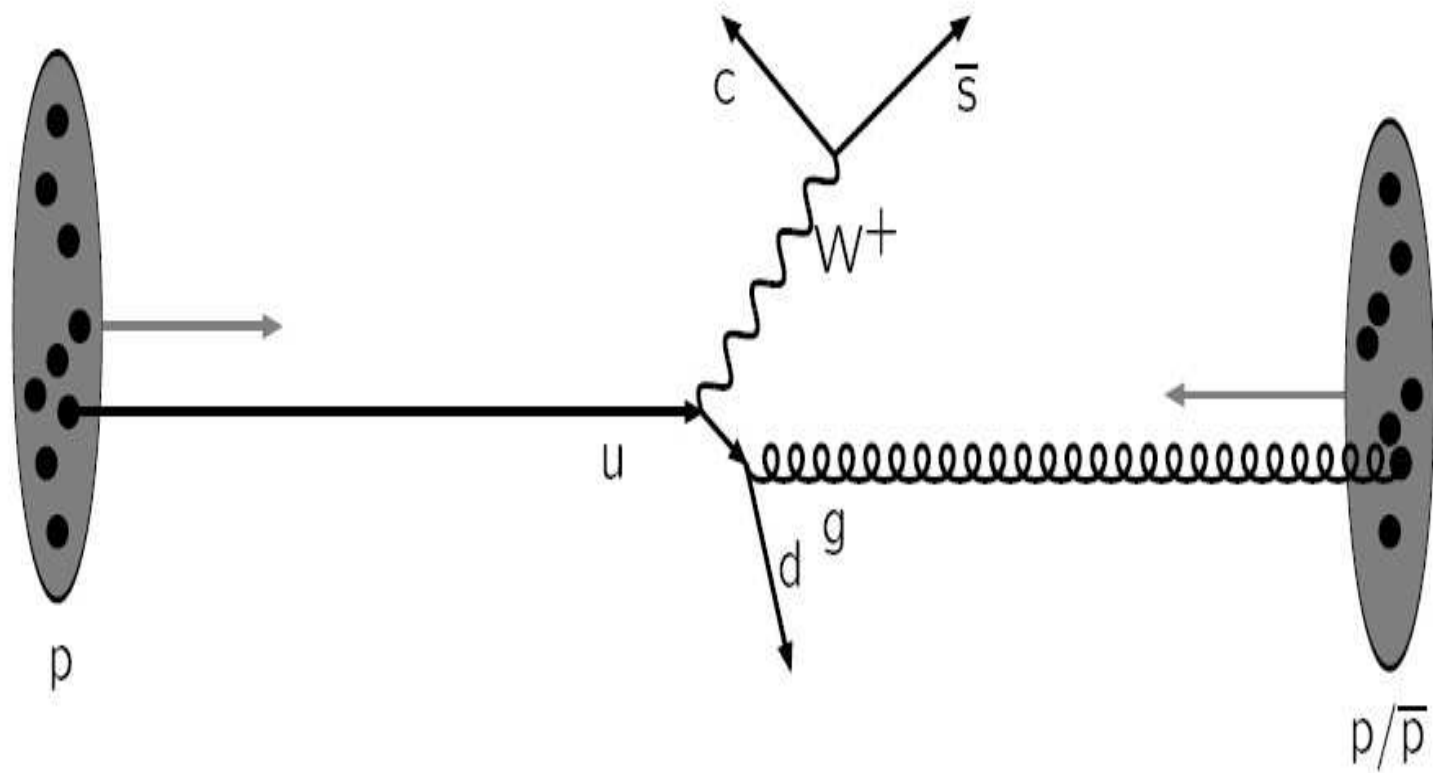


Decays of resonances: correlated with hard process



Approximation:  $W$  on-shell

## Movie: The structure of an event

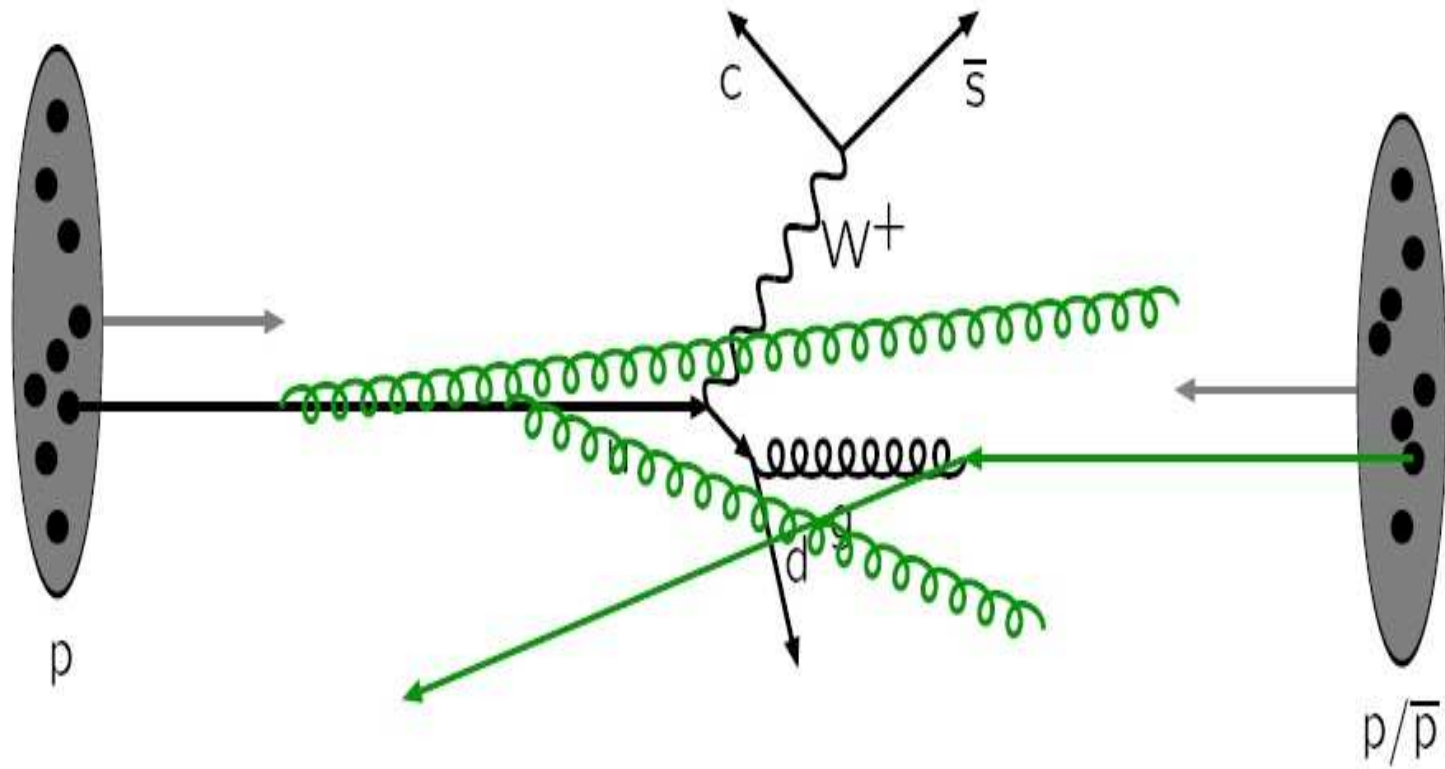


Decays of resonances: correlated with hard process

● Approximation:  $W$  on-shell

● Spin effect in decays?

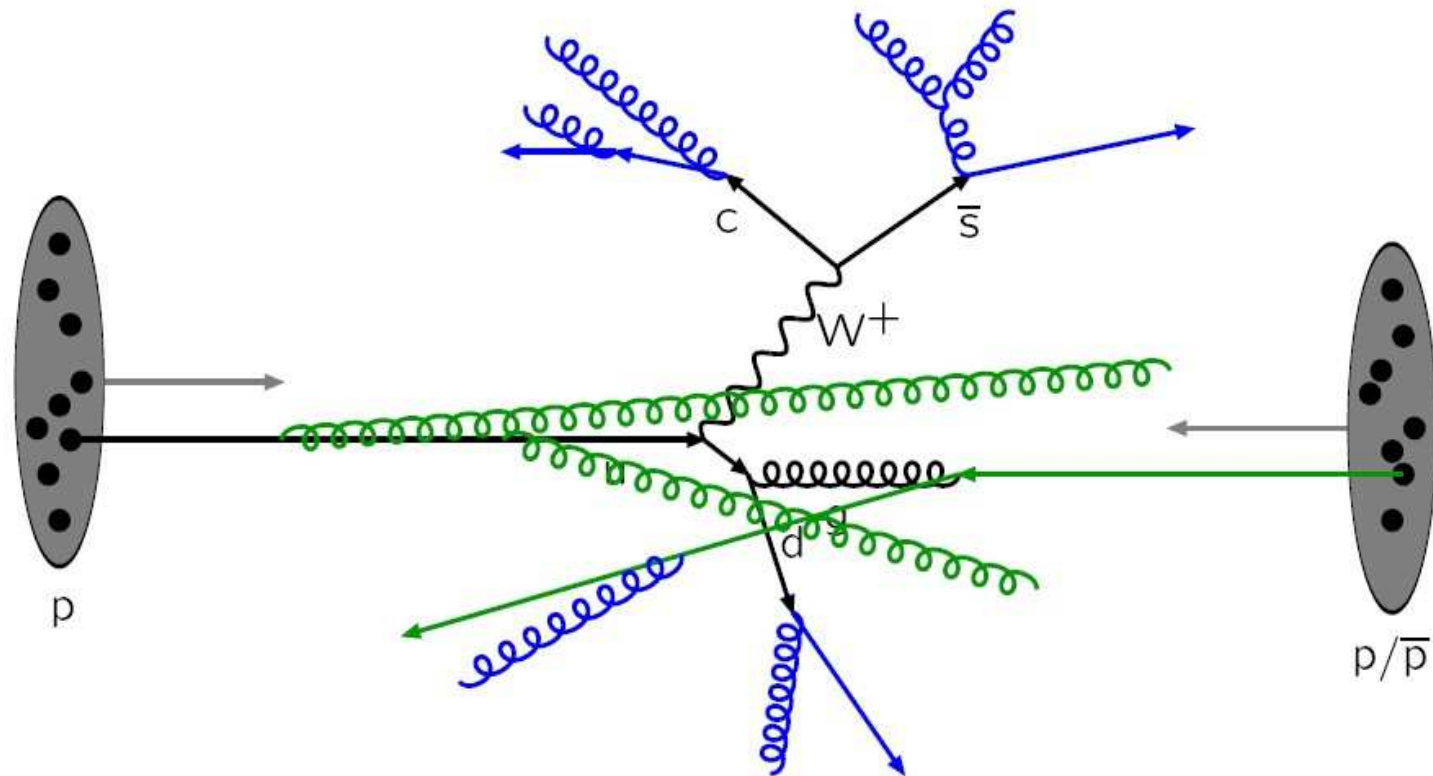
## Movie: The structure of an event



ISR: Initial State Radiation  
Space-like parton showers (PS)

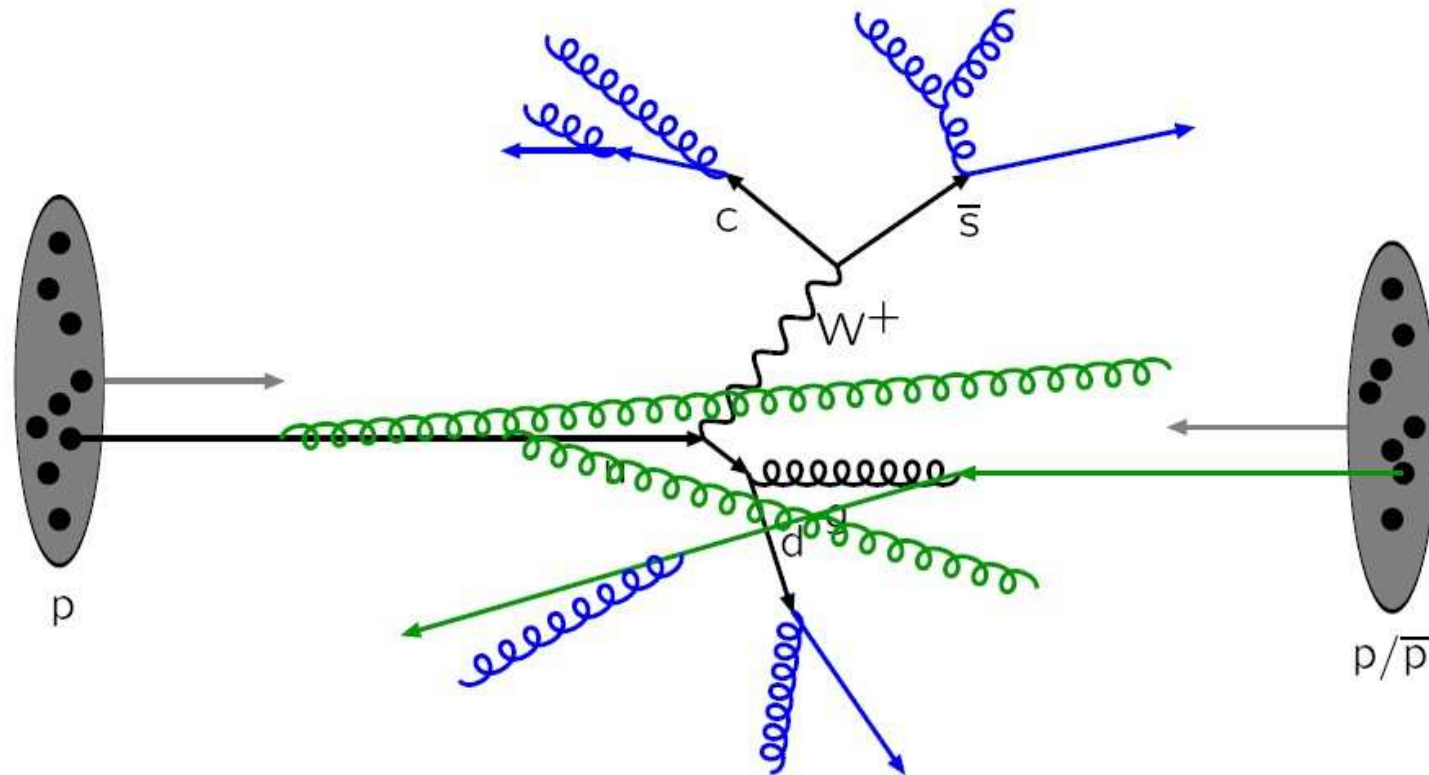


## Movie: The structure of an event

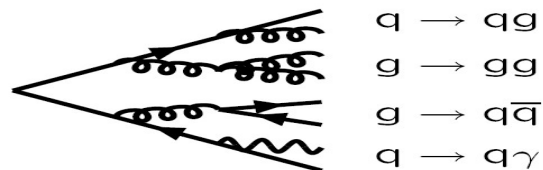


FSR: Final State Radiation  
time-like parton showers (PS)

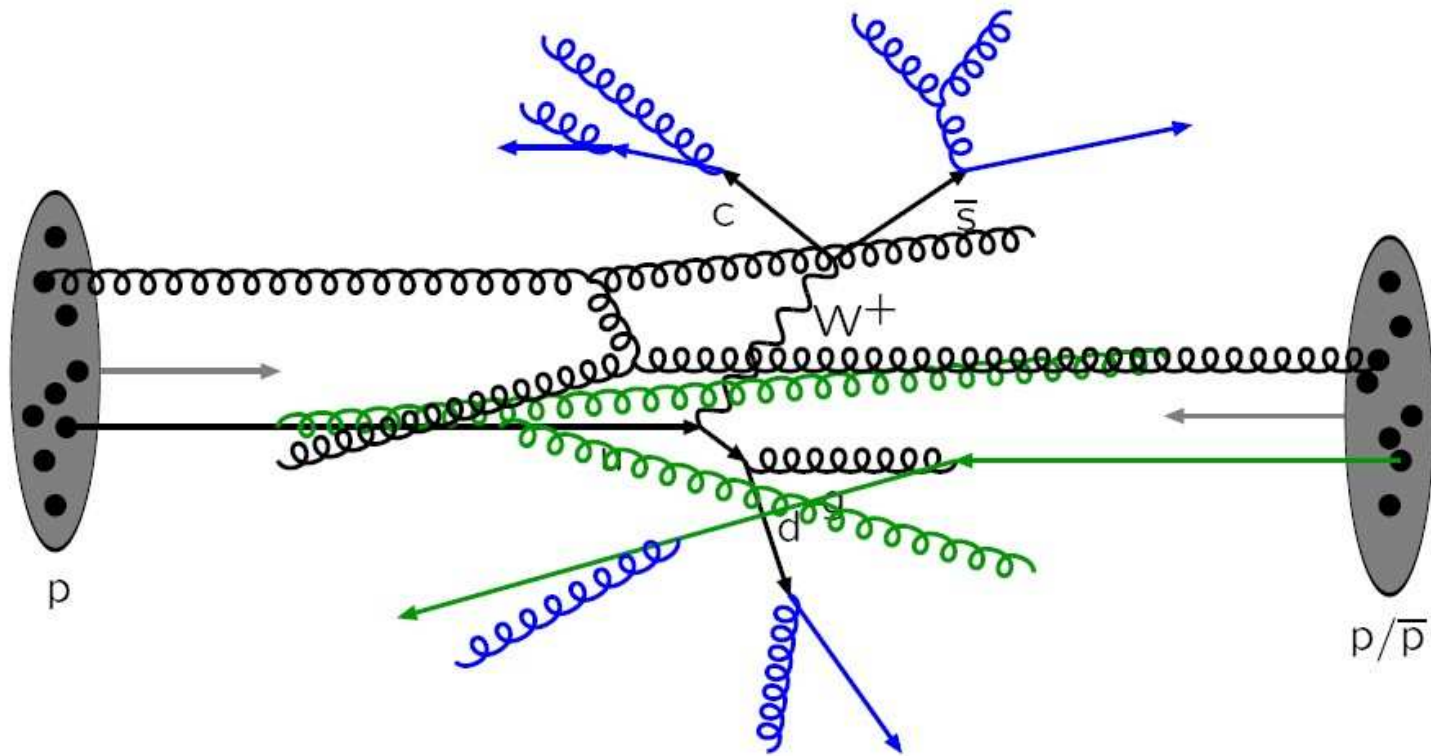
## Movie: The structure of an event



FSR: Final State Radiation  
time-like parton showers (PS)



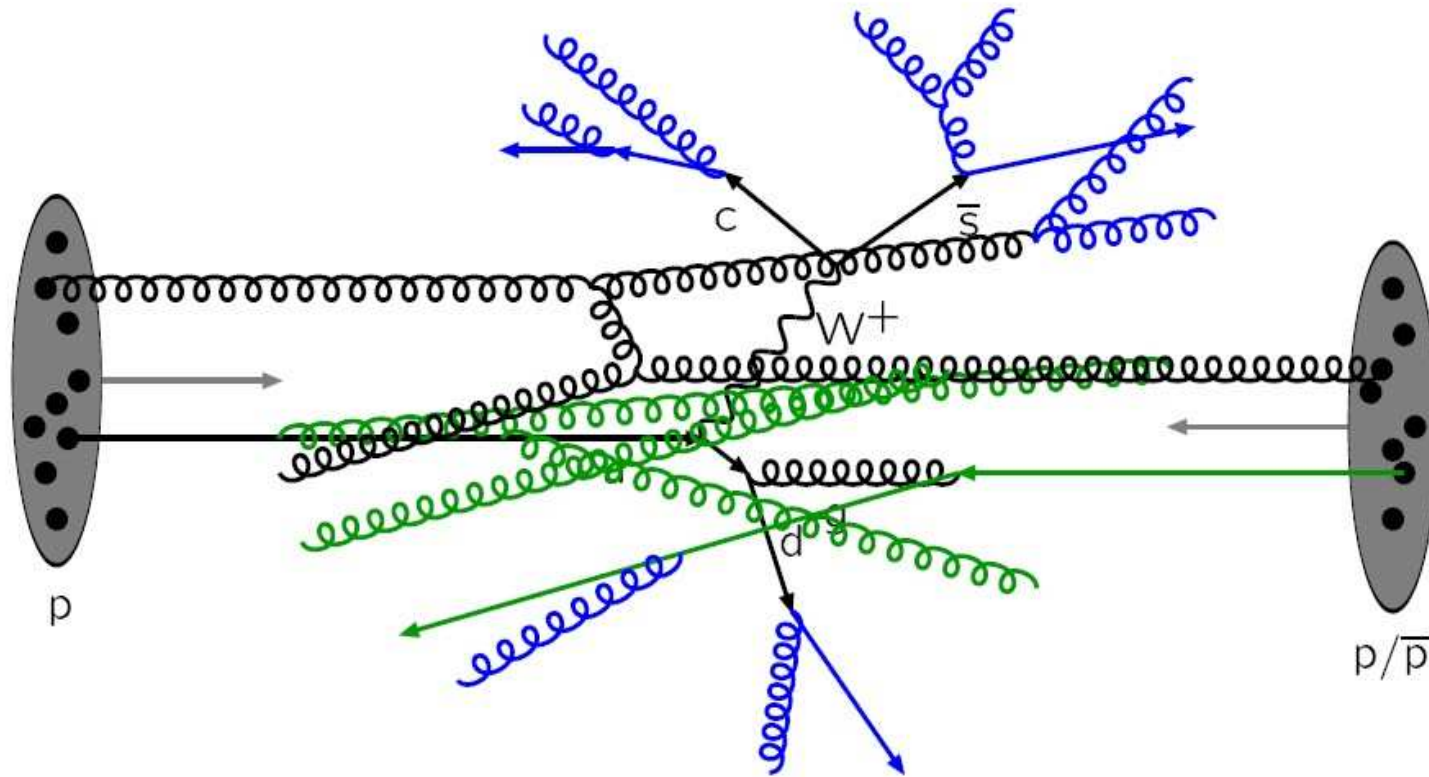
## Movie: The structure of an event



Multiple parton-parton interactions (MPI)

The muck

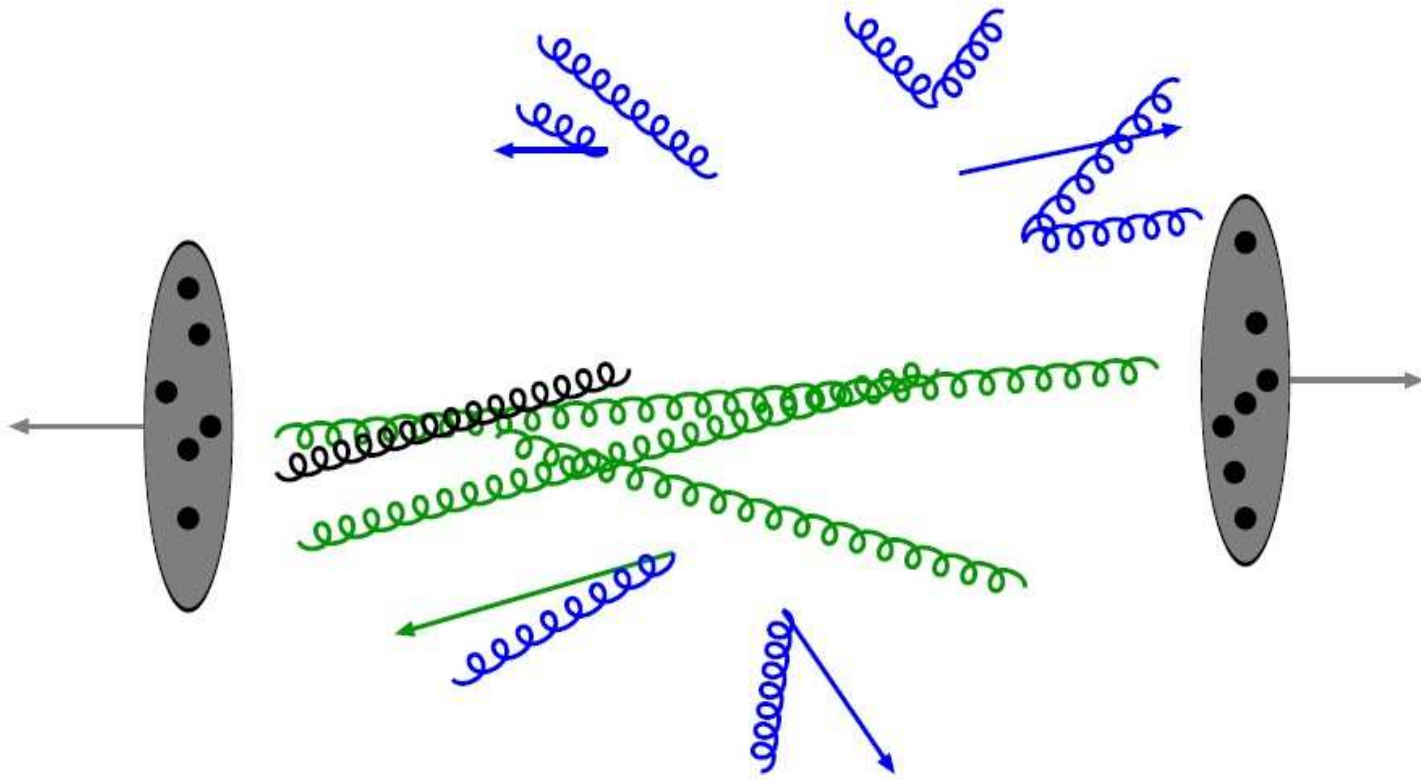
## Movie: The structure of an event



MPI with ISR and FSR !

The muck

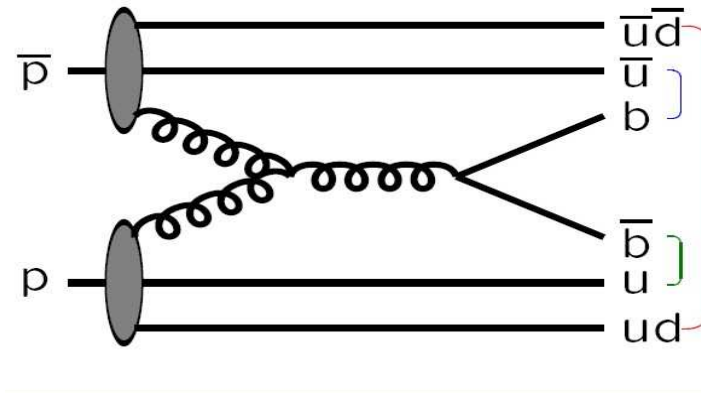
## Movie: The structure of an event



Beam remnants and other outgoing partons !

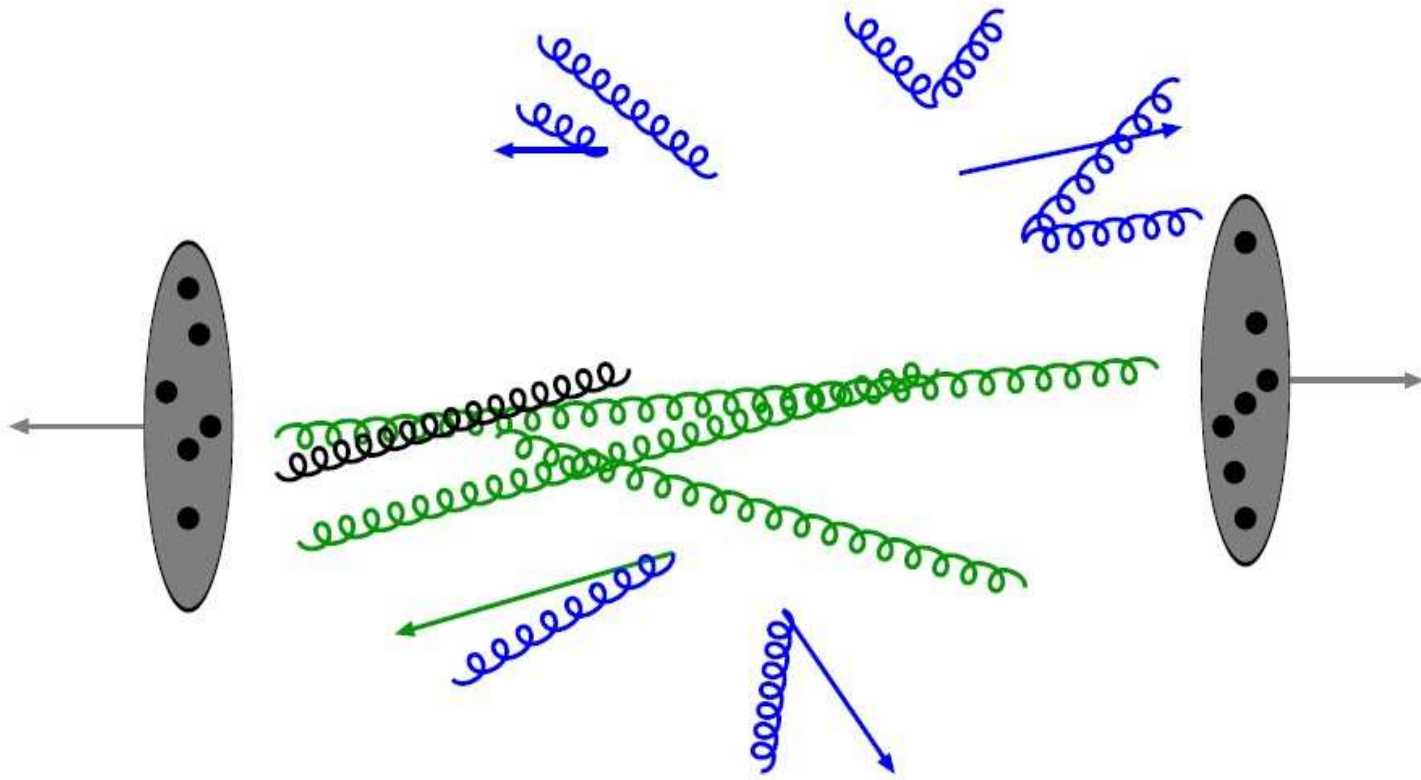
The muck

## Movie: The structure of an event



Beam remnants: coloured remains of the proton not taking part in the hard process, but they are colour connected to the hard process.

## Movie: The structure of an event

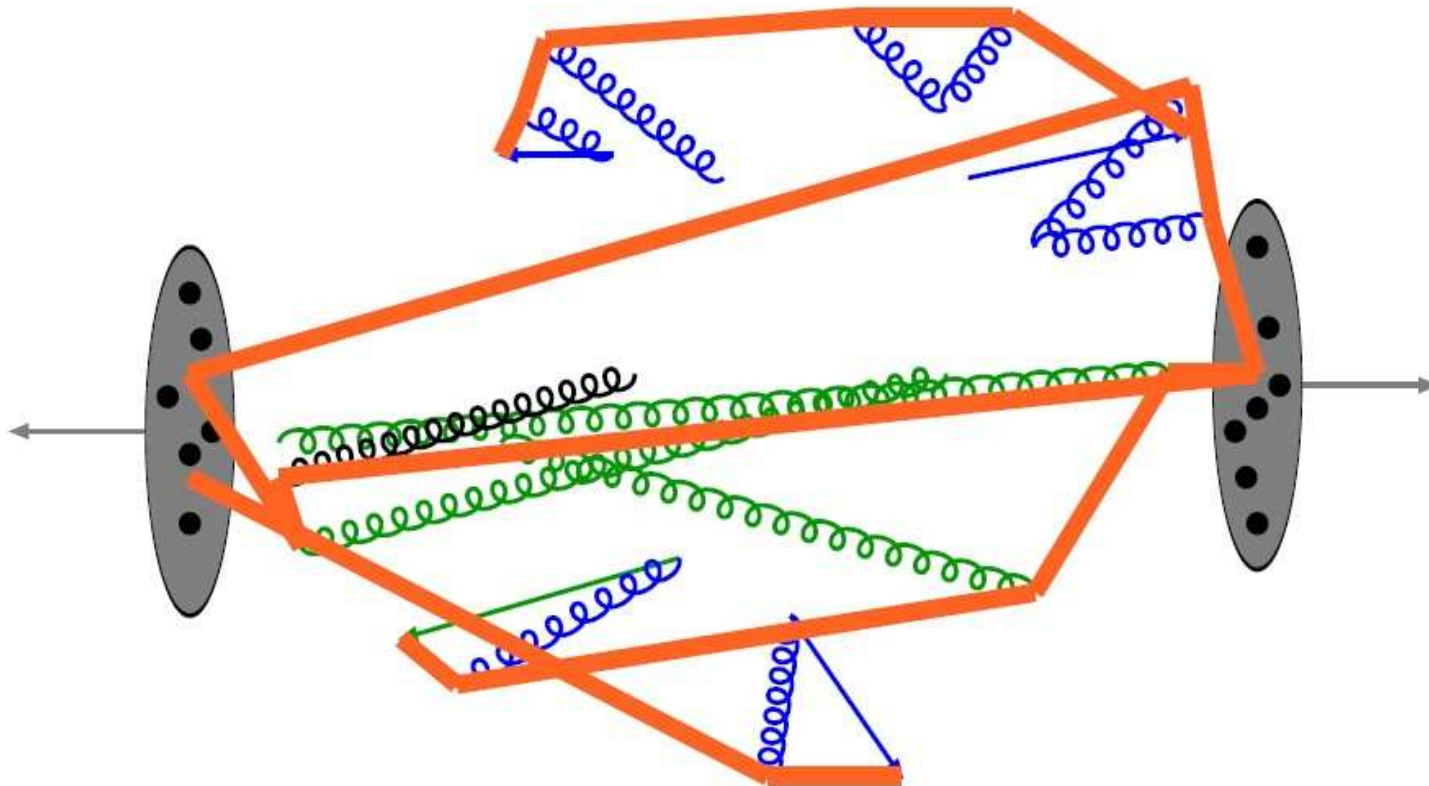


Beam remnants and other outgoing partons !

The muck: UE is not understood. It is modelled through some data that do not give strong constraints and hence extrapolation can be doubted.



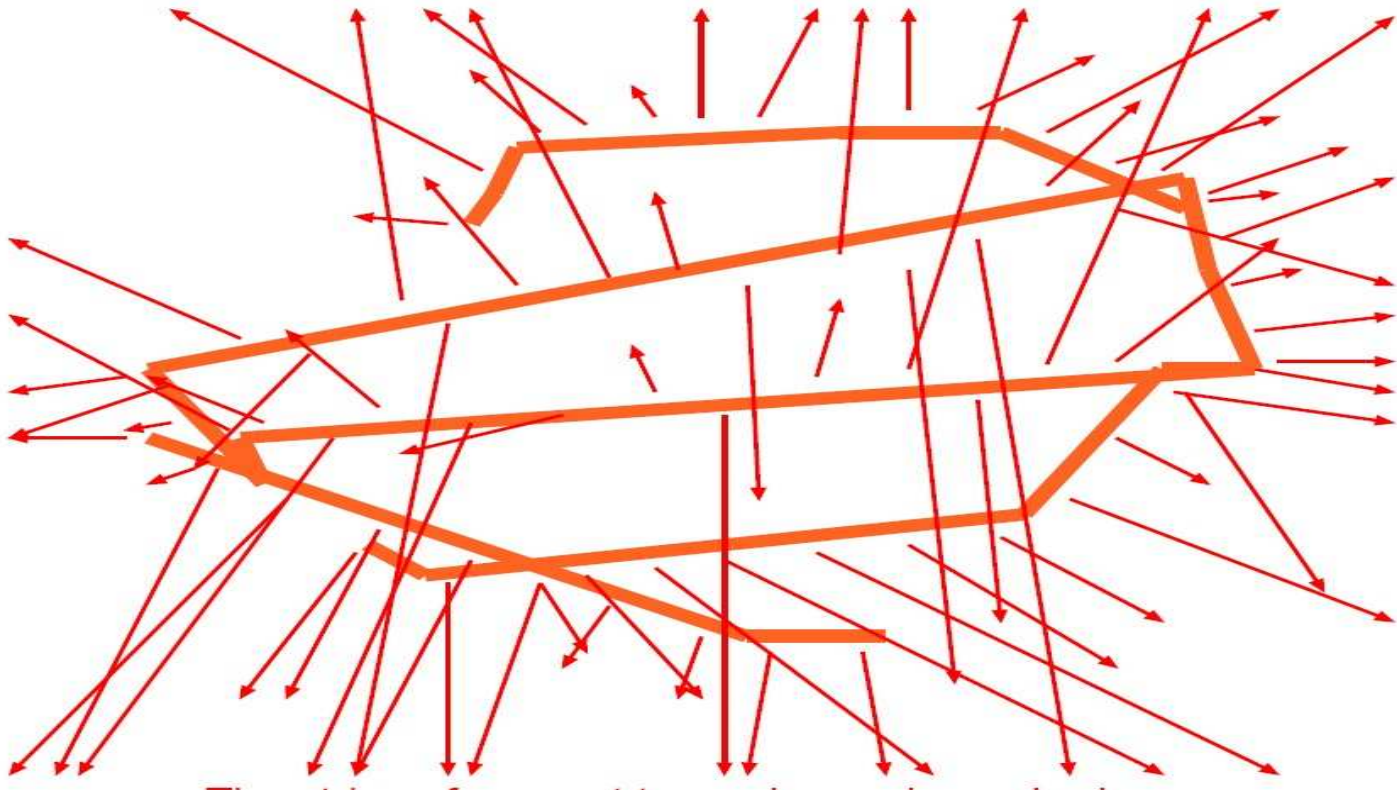
## Movie: The structure of an event



Everything is connected by colour confinement (here strings)

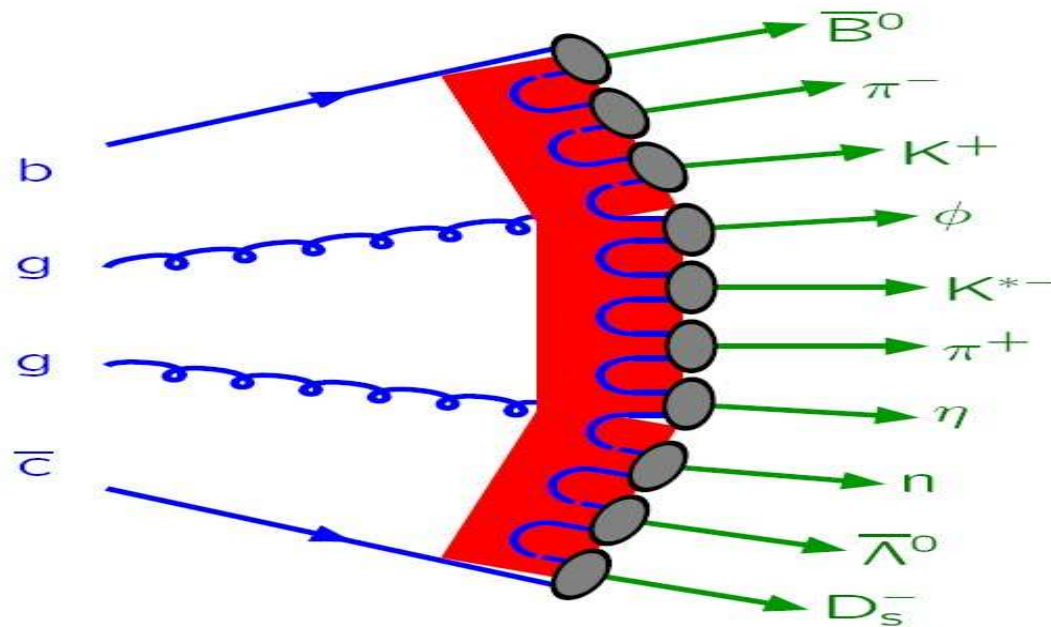


## Movie: The structure of an event



The strings fragment to produce hadrons

## Movie: The structure of an event, hadronisation

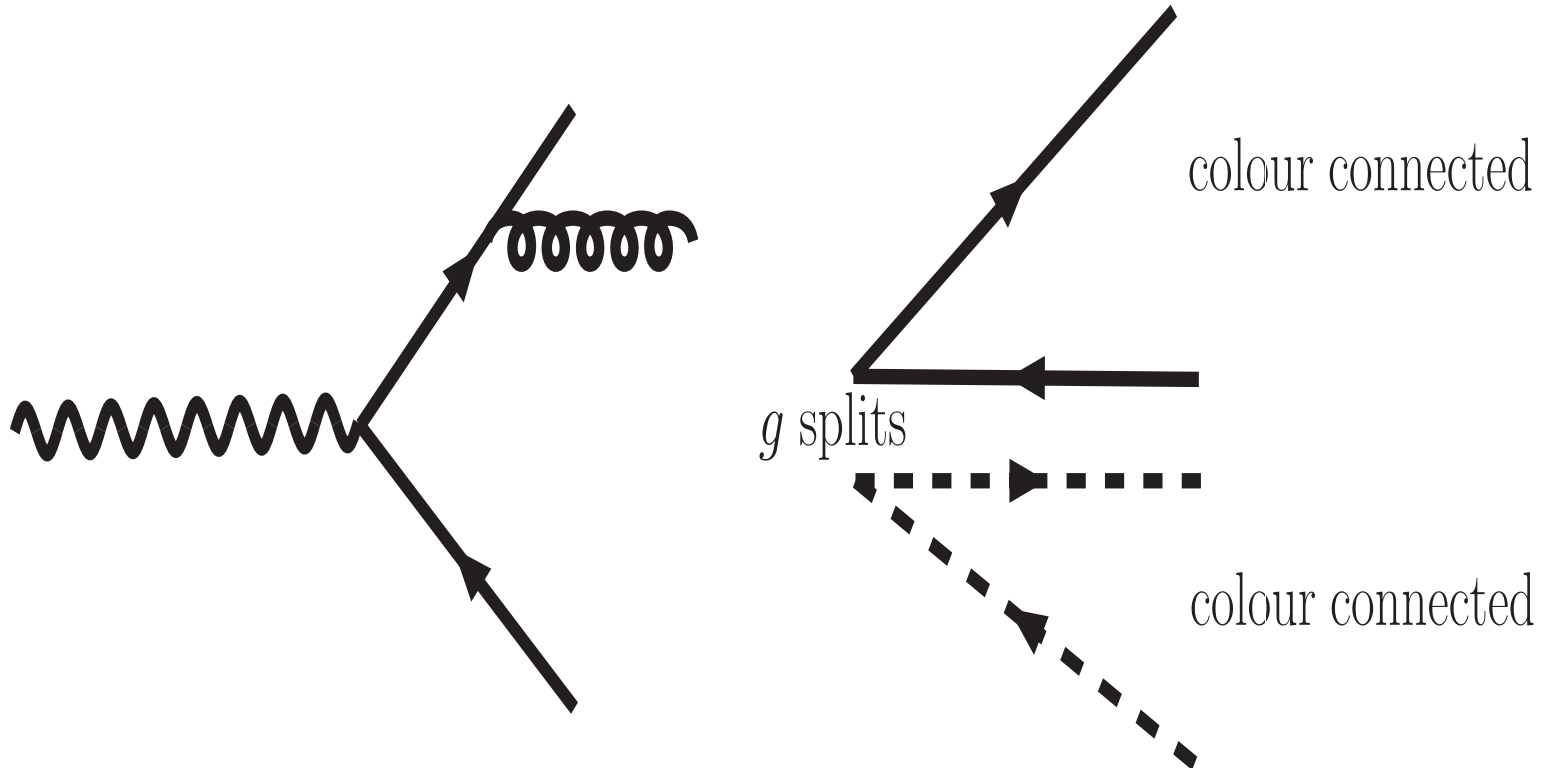


Hadronisation: Clusters to produce hadrons (Cluster Model, HERWIG)

Hadronisation and colour connection assumes standard QCD. In some exotic models (Rp violation) nor so trivial to perform this step.

## hadronisation, HERWIG

after angular ordering cluster as



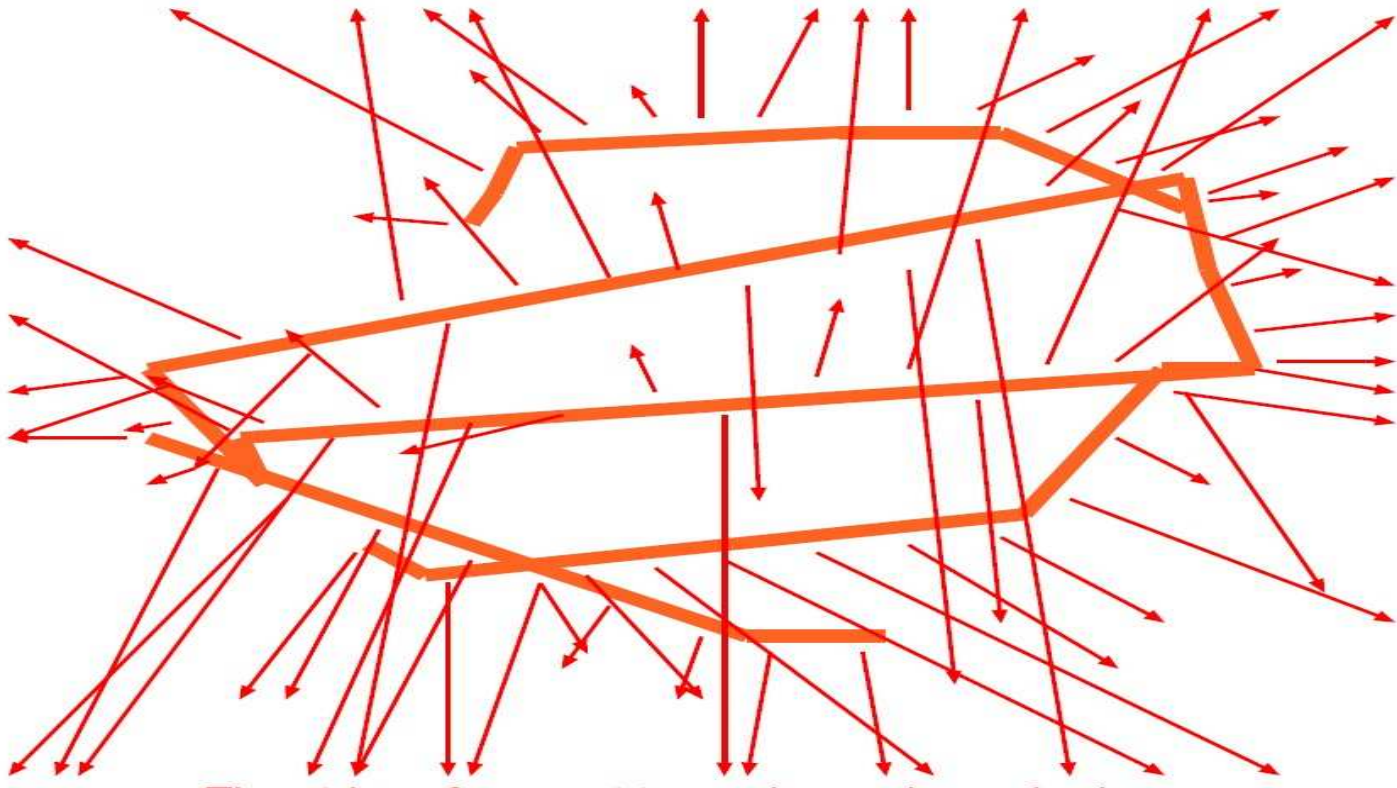
## New colour structures $R_p$ violation

$$\mathbf{W}_{R_p \text{ viol}} = \cdots + \frac{1}{2} \lambda''_{IJK} \epsilon^{c_1 c_2 c_3} \bar{U}_{c_1}^I \bar{D}_{c_2}^J \bar{D}_{c_3}^K \cdots$$

Leads to  $\tilde{q} \rightarrow qq$  baryon number violation with very exotic colour flow.

You will need a PS/EVG expert!

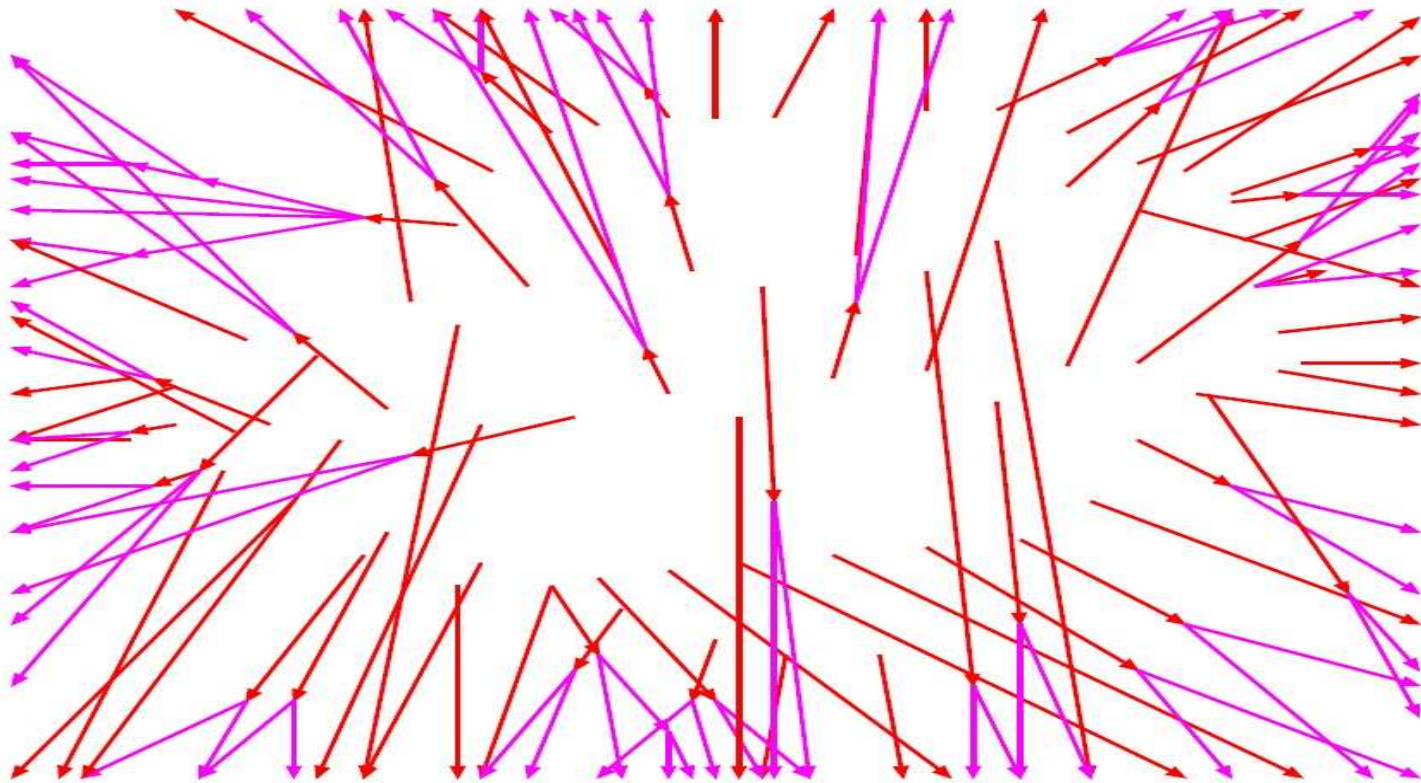
## Movie: The structure of an event



The strings fragments to produce hadrons (strings model)

Hadronisation is not really calculated from first principles, however it is modelled through various data and hence it is considered reliable

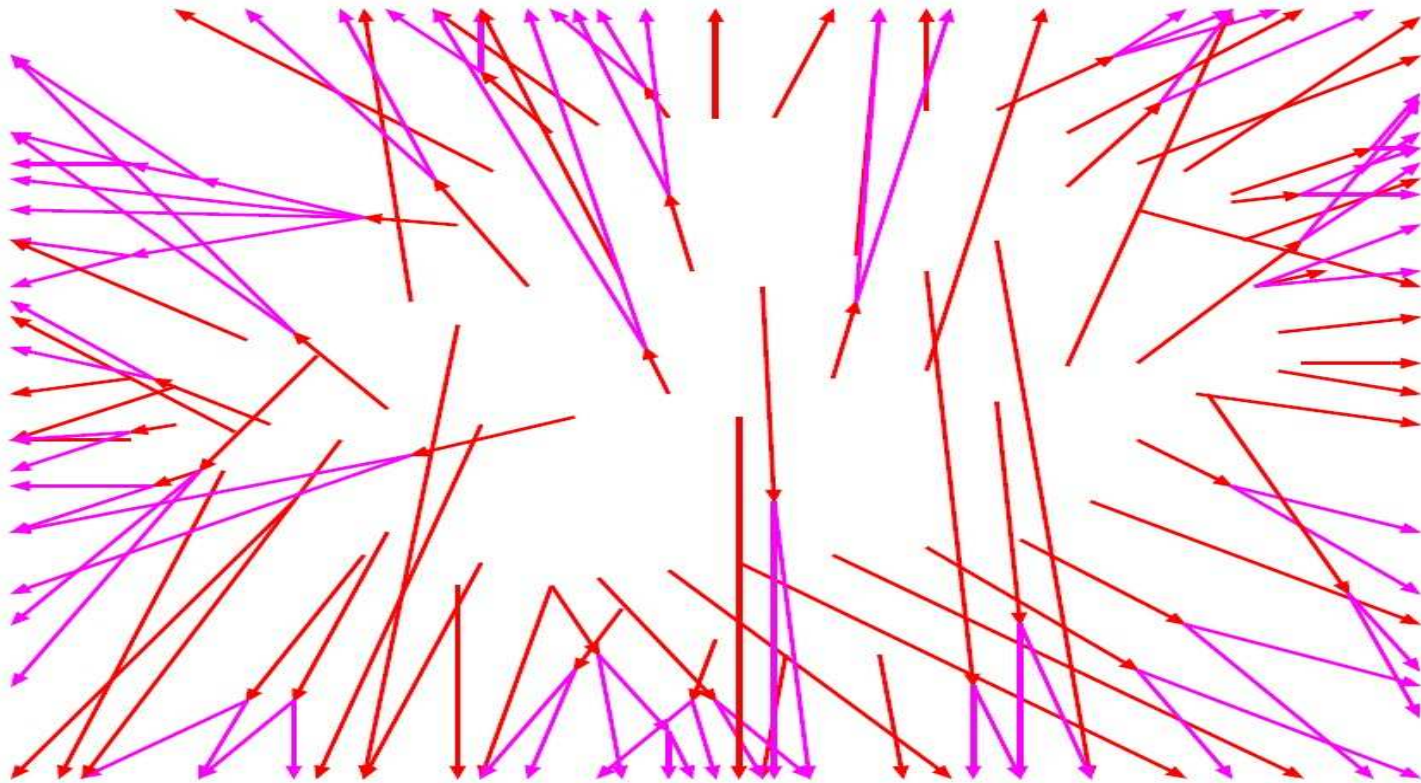
## Movie: The structure of an event



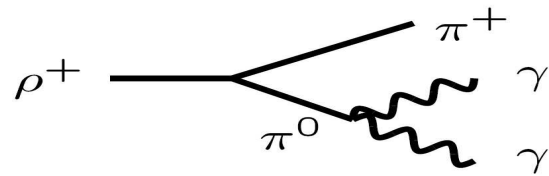
Hadrons decay



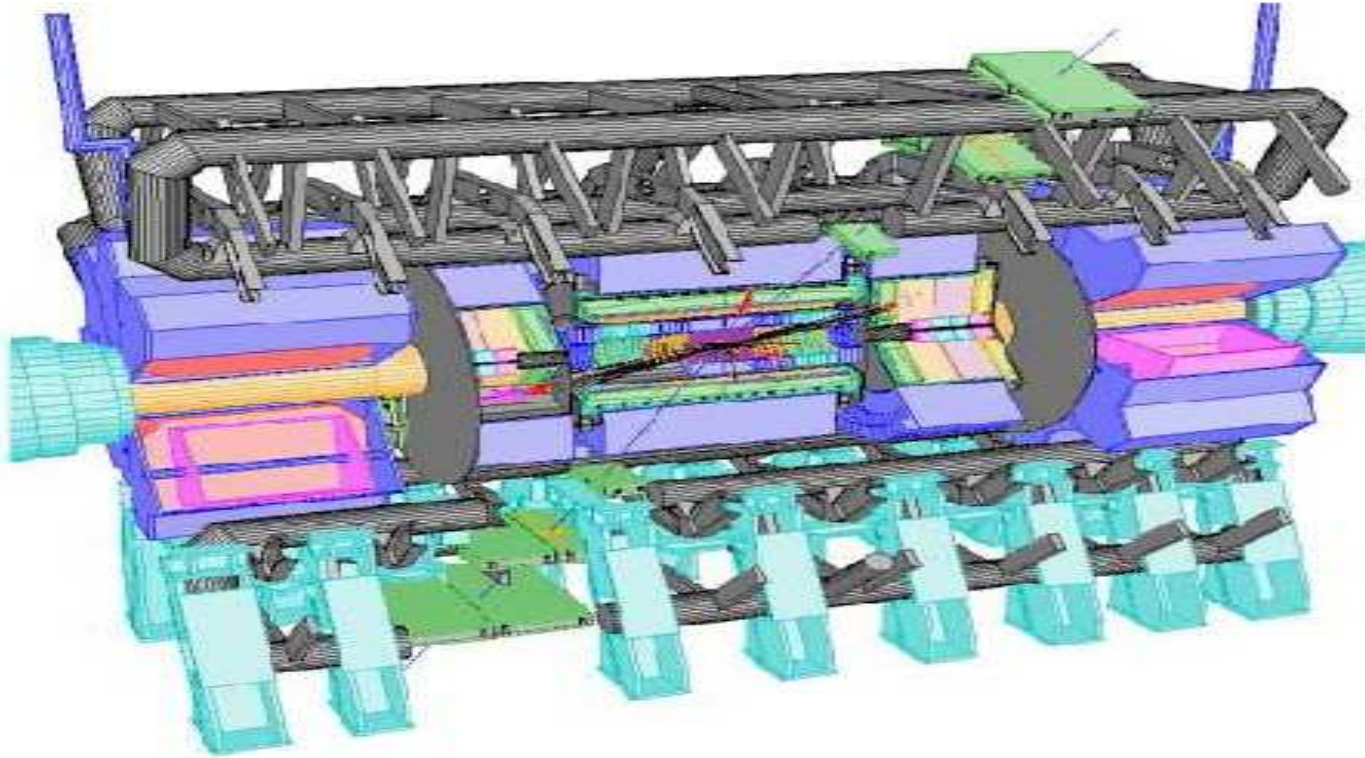
## Movie: The structure of an event



Hadrons decay



## Movie: The structure of an event



These are the particles that hit the detector



## Parts of a MC EG

- Parton Shower is well understood , perturbation theory with a few approximations
- Hadronisation is not really calculated from first principles, however it is modelled through various data and hence it is considered reliable
- UE is not understood. It is modelled through some data that do not give strong constraints and hence extrapolation can be doubted.(minimum bias??)
- Important to have a “clear” picture of the physical situation

## MC is probabilistic, divide and conquer

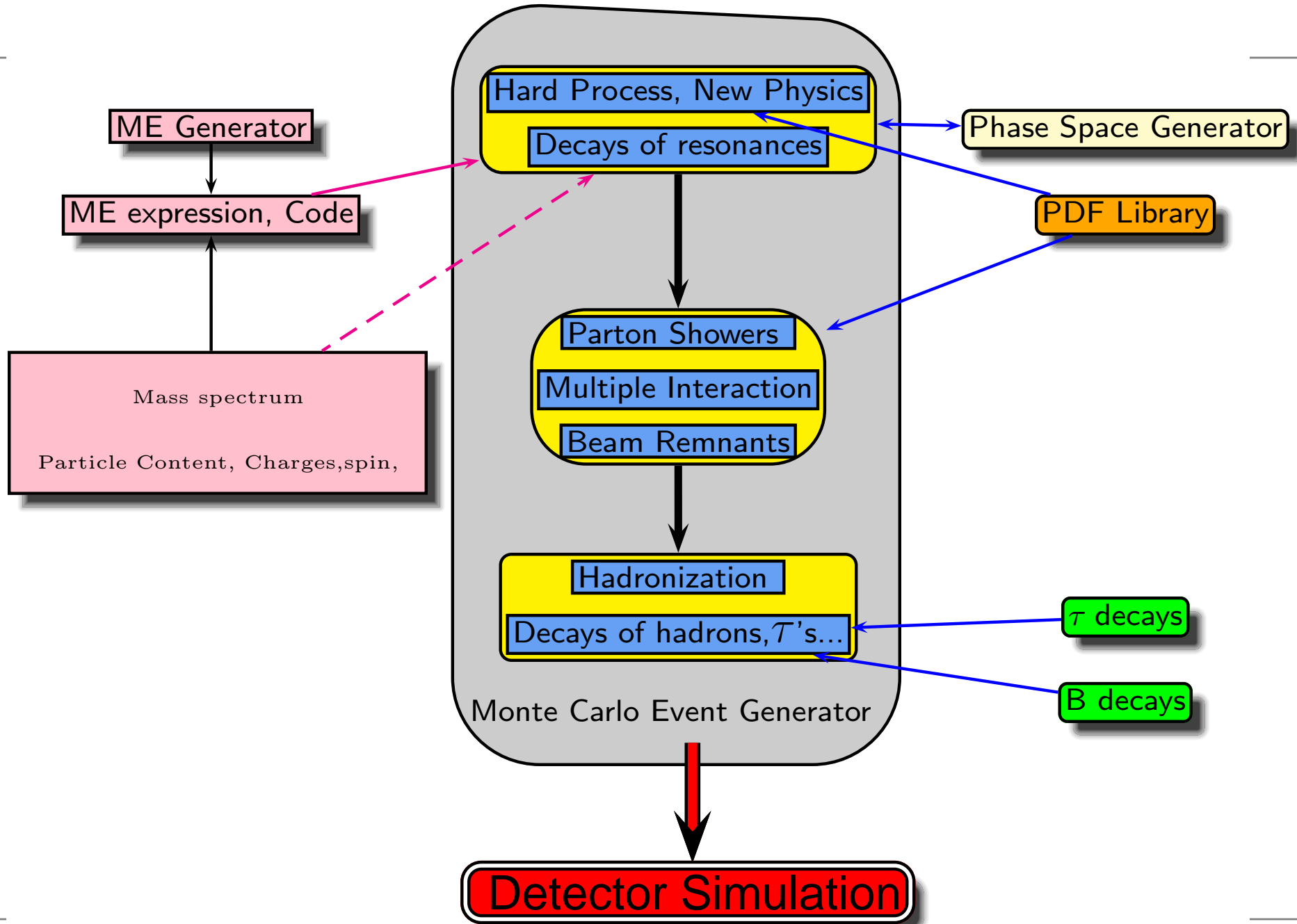
- generate events with as much details as possible:
  - $W$  will decay.
  - To  $\tau$ ?,  $\tau$  will decay,
  - there is no quark,
  - only hadrons,...
  - production comes with non negligible radiation
- $\sigma_{\text{final state}} = \sigma_{\text{hard process}} \mathcal{P}_{\text{tot}}$
- $\mathcal{P}_{\text{tot}} = \mathcal{P}_{\text{decay}} \mathcal{P}_{\text{ISR}} \mathcal{P}_{\text{FSR}} \mathcal{P}_{\text{remnants}} \mathcal{P}_{\text{hadronise}} \mathcal{P}_{\text{ord. dec.}}$
- **Divide and Conquer : each  $\mathcal{P}_i$  handled in turn**
- an event with  $n$  particles involve about  $10n$  random choices (flavour, mass, momentum, spin,...). At the LHC expect about 100 charged and 200 neutral particles, thus totalling a few thousand choices

## MC is probabilistic, divide and conquer

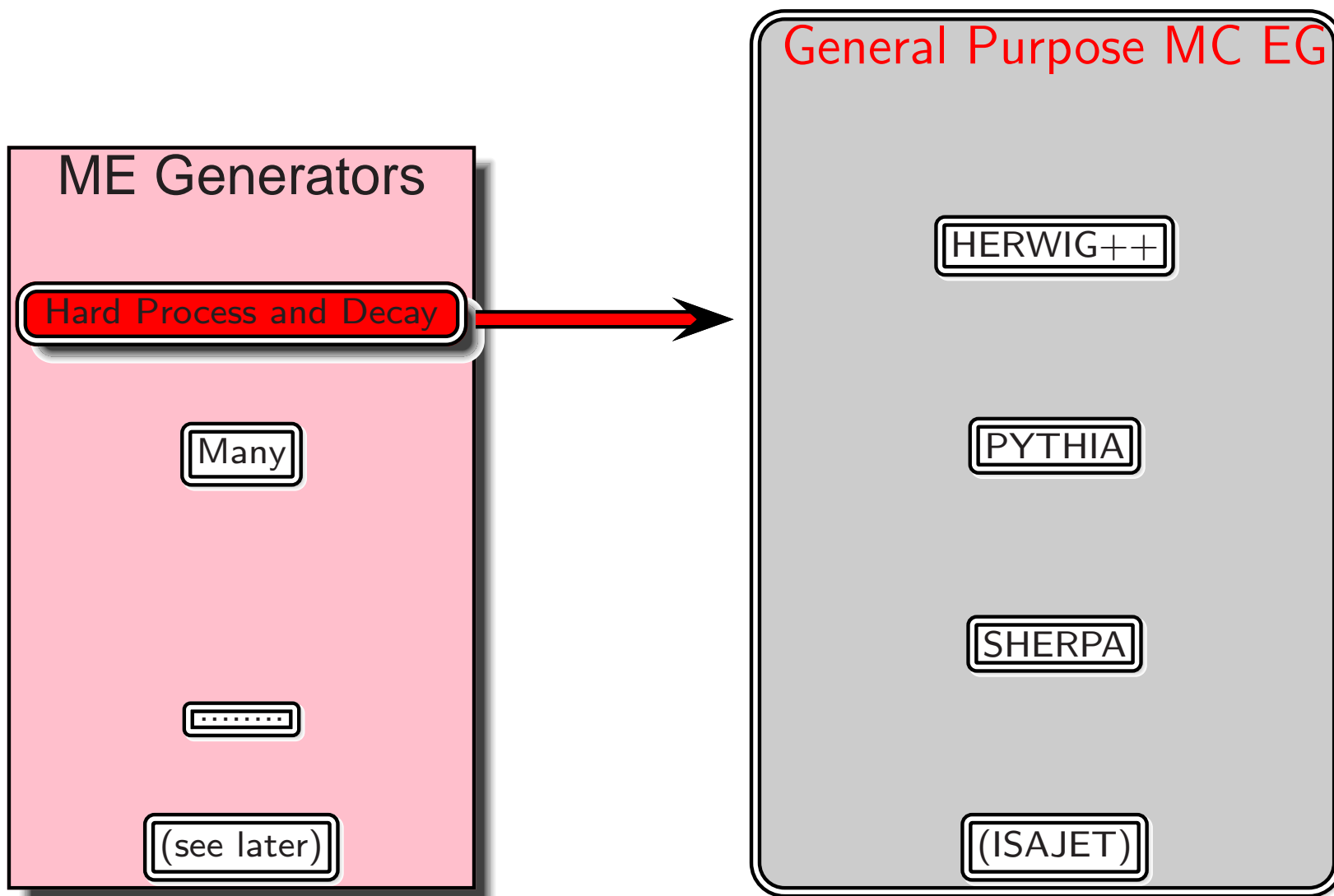
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**Divide and Conquer : each  $\mathcal{P}_i$  handled in turn  $\rightarrow$  Modular Structure**

## Putting all together



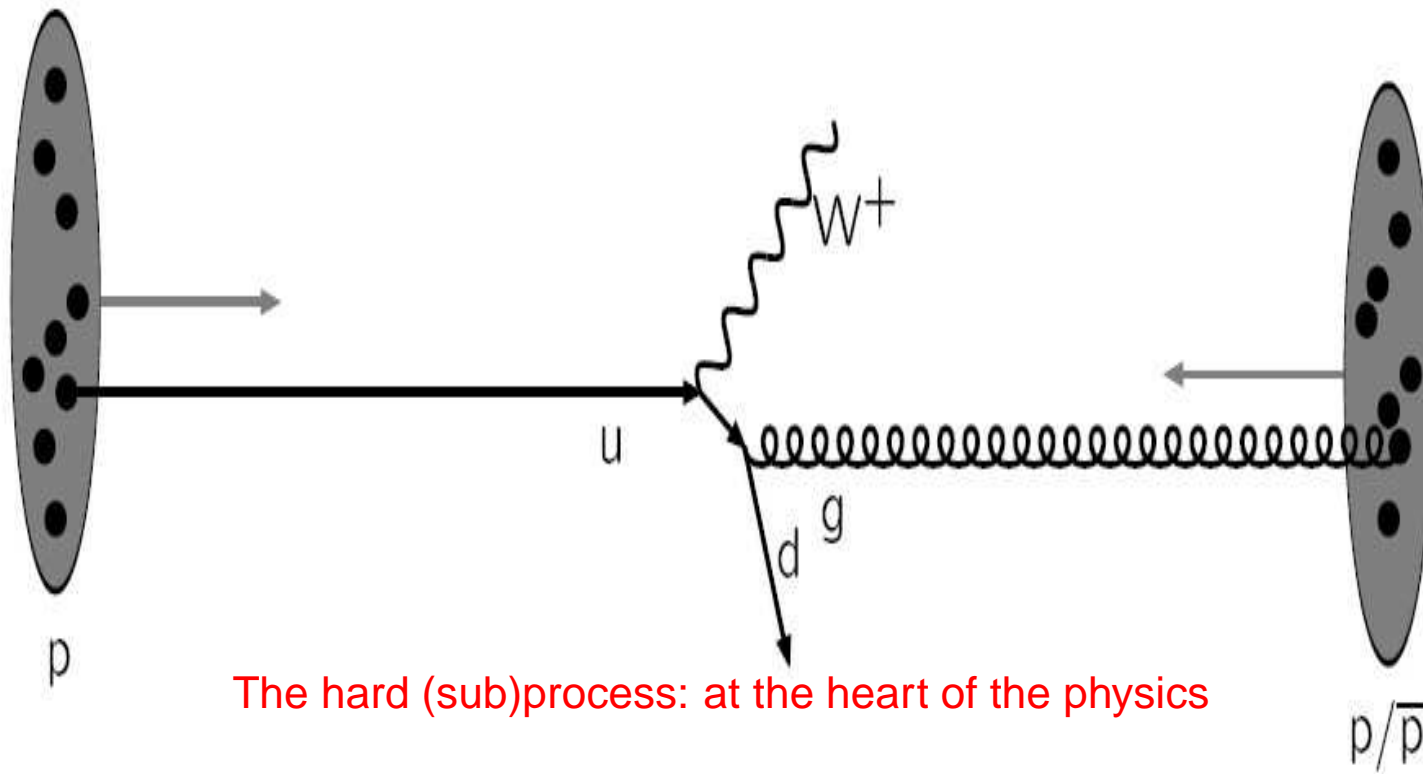
## MEG vs General purpose MC EG



## The Hard Process and MEG

1. Get the partonic matrix element or matrix element squared
2. convolute over the PDF
3. integrate over phase space
4. 2 and 3 means integration which requires numerical evaluation  $\rightsquigarrow$  MC techniques

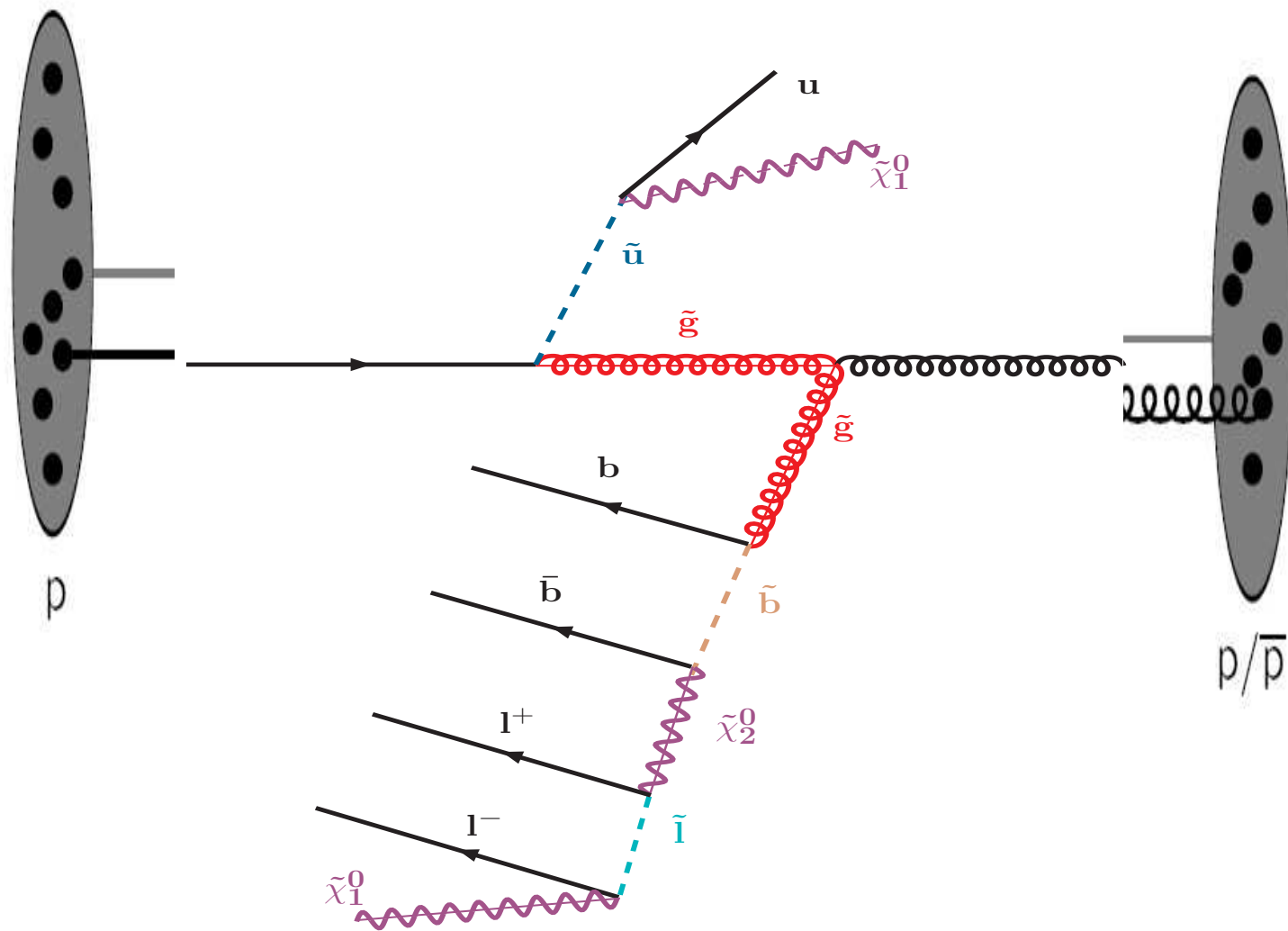
## The Hard Process





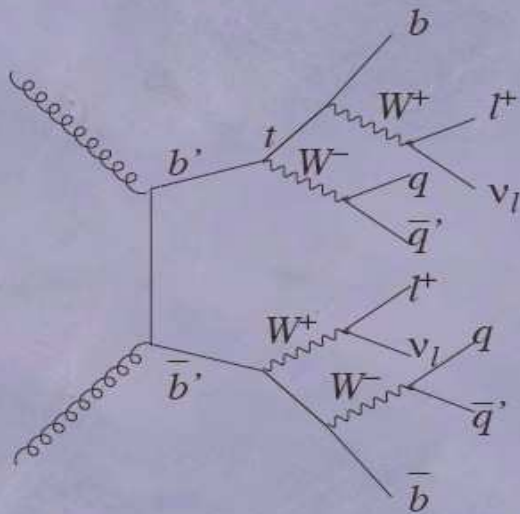




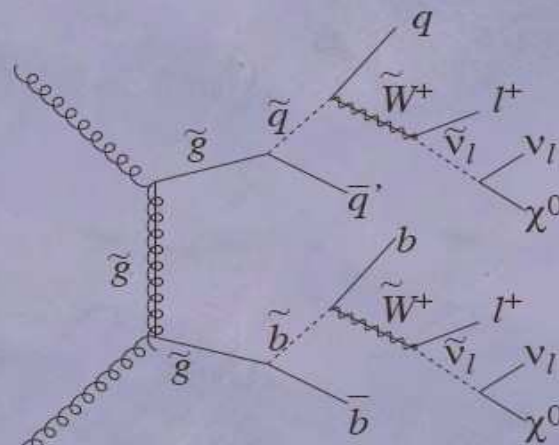


$2 \rightarrow 7$  process, successive decays, huge number of diagrams,...

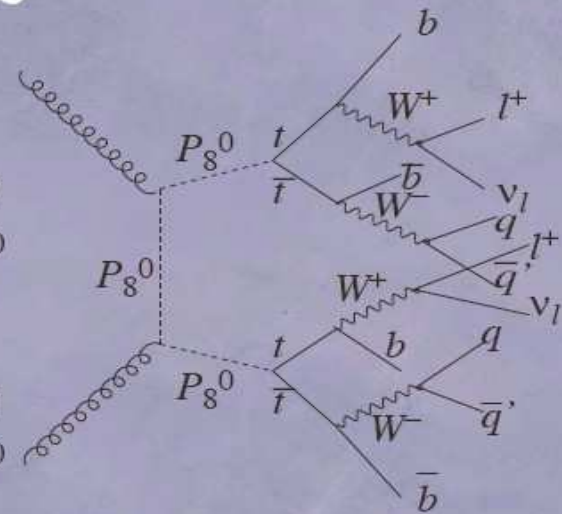
missing ET, multiple jets, b-jets,  
(like-sign) leptons



4th generation



SUSY



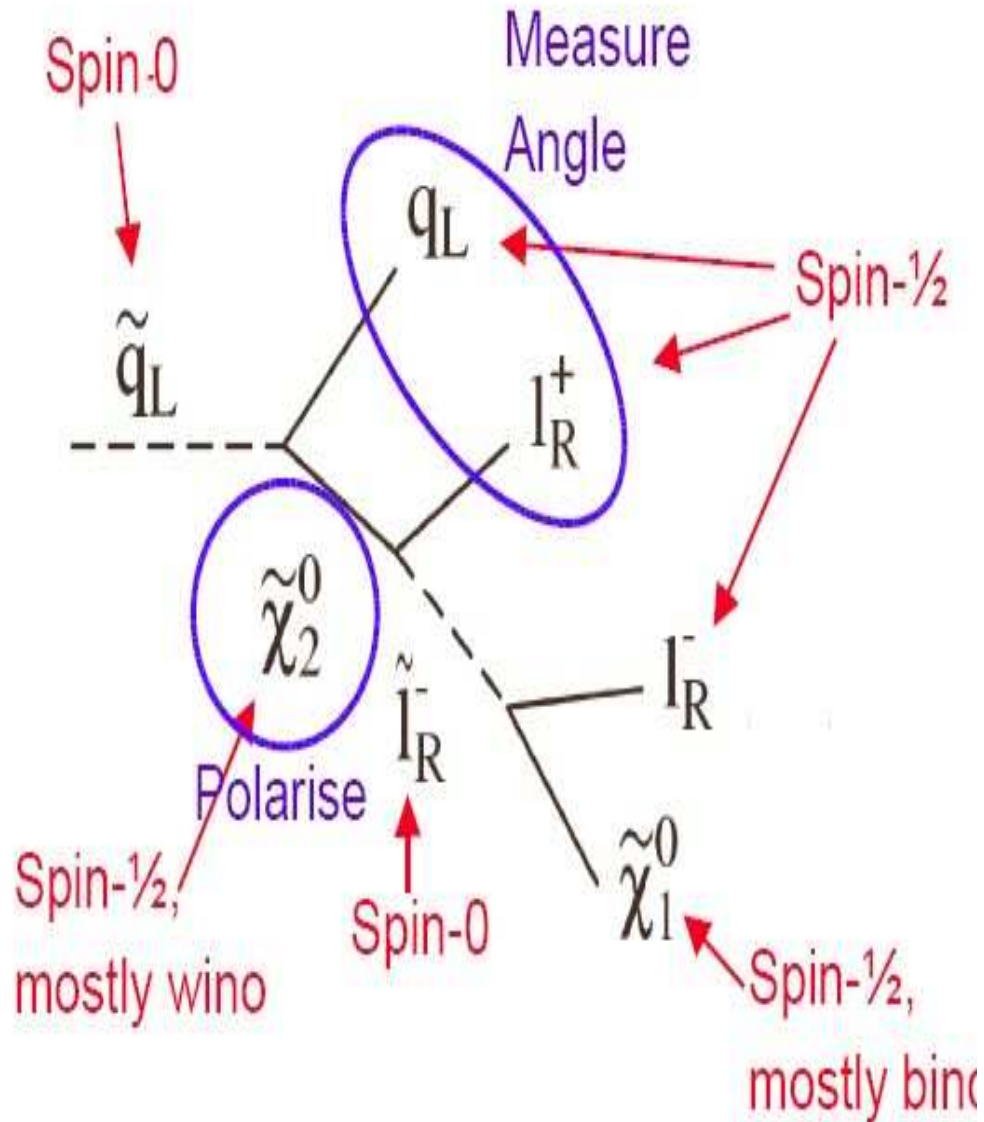
technicolor

+Universal extra dimension, little Higgs with T-parity

Is it necessarily DM candidate?

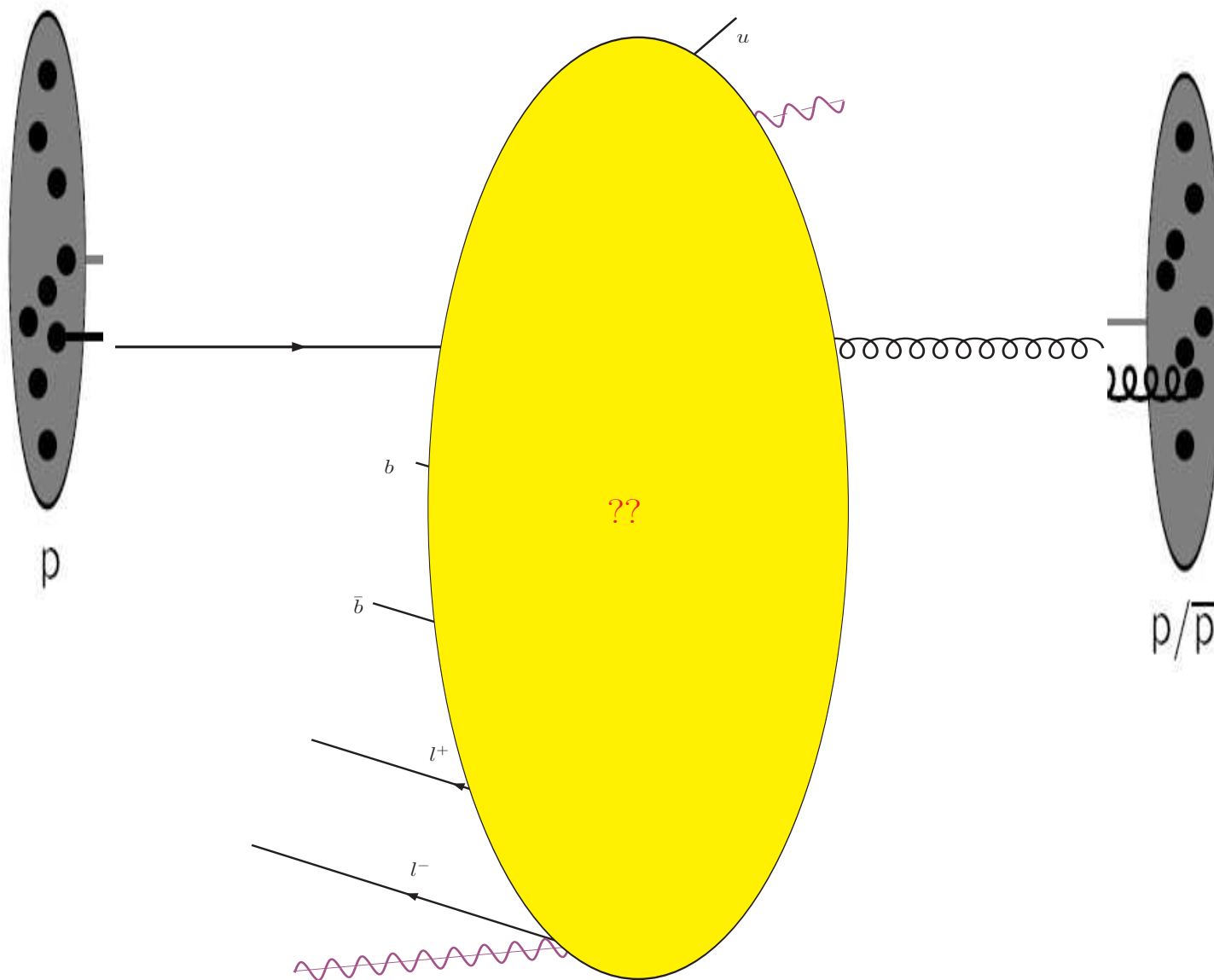
stable at the scale of LHC detectors, 1ms, not age of the Universe....

Next step: Properties of DM as an example, details of couplings and spin assignments.



$$\begin{aligned} \tilde{q}_L &\rightarrow \tilde{\chi}_2^0 \quad q \\ &\quad \downarrow \\ &\quad \tilde{\ell}_R^\pm \quad \ell^\mp \\ &\quad \quad \downarrow \\ &\quad \quad \tilde{\chi}_1^0 \quad \ell^\pm \end{aligned}$$

## Integration: PDF and Cross sections



## Factorisation and Parton Distribution Functions

$$\sigma_{pp \rightarrow X} = \sum_{a,b=q,\bar{q},g} \int dx_1 dx_2 f_a(x_1, \mu^2) f_b(x_2, \mu^2) \hat{\sigma}_{ab \rightarrow X}(\hat{s}, \mu^2)$$

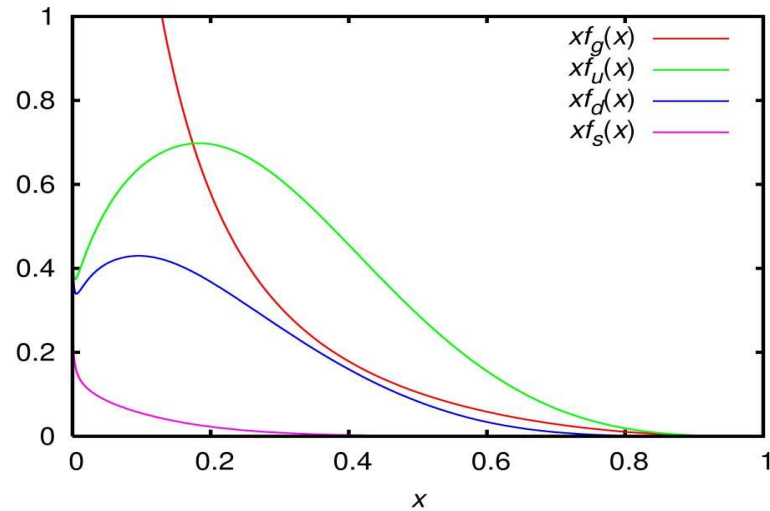
$f_i(x, \mu^2)$  is the Parton Distributions Function

$\mu^2$  is the factorisation scale !

Many libraries exist (CTEQ, MRSx)

reliable in the range

$10^{-3} < x < 0.8$   $(2\text{GeV})^2 < \mu^2 < (1\text{TeV})^2$





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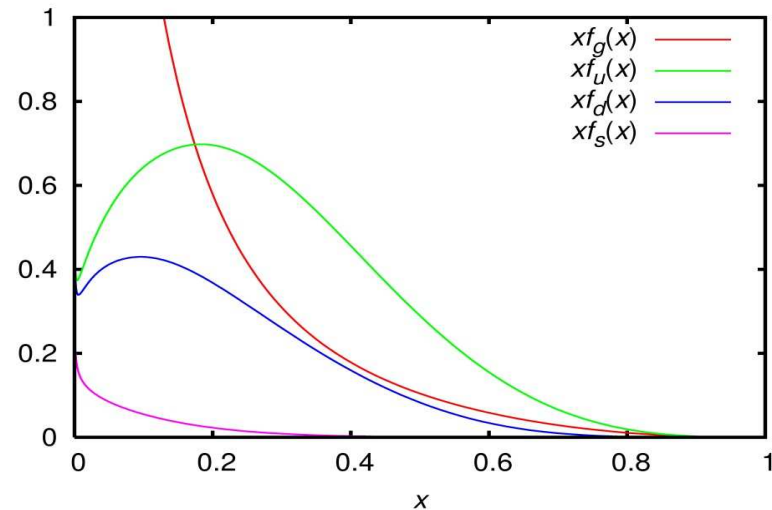
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Phase Space

$$\hat{\sigma}_{ab \rightarrow X} = \frac{1}{2\hat{s}} \sum_{spin,..} \int_{\Phi_N} |\mathcal{M}|^2 d\Phi_N$$

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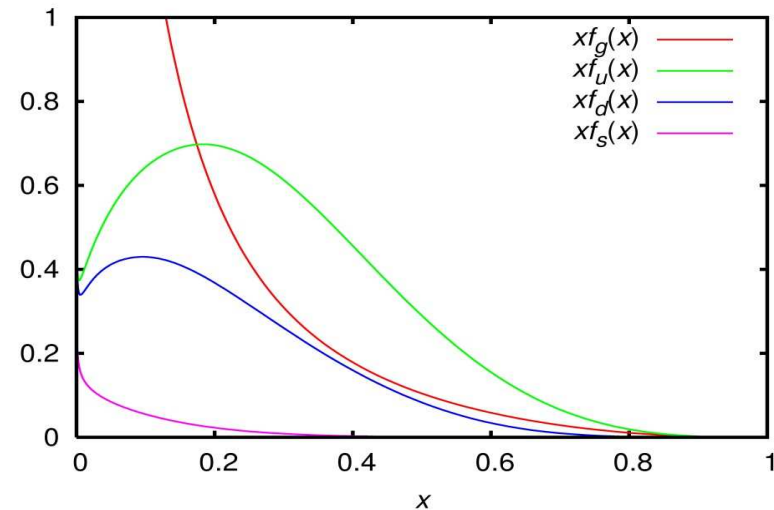
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Phase Space

$$\hat{\sigma}_{ab \rightarrow X} = \frac{1}{2\hat{s}} \sum_{spin,..} \int_{\Phi_N} |\mathcal{M}|^2 d\Phi_N$$

Integrals  $\longrightarrow \int$

# *Matrix Elements Generators*

Monte Carlo as Integrator

At the heart of the ME is the hard process, that is where the physics lies and that is what gives the probability of a particular event

For the hard process

- amplitude  $\mathcal{M} \rightarrow |\mathcal{M}|^2$  first part of what an MEG should get (the dynamics)
- $N_{\text{evt,cuts}} \propto \int d\sigma = \int |\mathcal{M}|^2 d\Phi(n)$
- Integration over a phase space with of large number  $n$  of dimensions, each particle  $\rightarrow 3$  variables (momenta)
- $\text{Dim}[d\Phi(n)] \sim 3n$

$$d\Phi(n) = \left( \prod_i^n \frac{d^2 p_i}{(2\pi)^3 (2E_i)} \right) (2\pi)^4 \delta \left( P_{in} - \sum_i^n p_i \right)$$

different MEG implement different techniques for the integration.

## Monte-Carlo Definition

- MC is a numerical method for calculating/estimating an integral based on a random evaluation of the integrand
- Particularly useful because one deals with a large number of (integration) variables (momenta of particles)
- Limits of integration (cuts) are often complicated
- Integrand is a convolution of different functions

## Summary of what you should have seen: Peter Skands and Tim Stelzer

- MC integration through random number generation
- MC converges as  $1/\sqrt{N}$  for any d-dim integral
- Trapezium, Simpson better but only for d-dim small, not the case of HEP
- Importance sampling (change of variables)
- Importance + Stratified sampling (VEGAS/BASES)
- Multi-channel
- event weight, event generation

No new NEW PHYSICS in here

JUMP ►

## One dimension, example

$$I = \int_{x_1}^{x_2} f(x) dx = (x_2 - x_1) \langle f(x) \rangle \quad (\text{usually } x_1 = 0, x_2 = 1)$$

The **average** can be calculated by selecting  $N$  values *randomly*  $x_i, i = 1, \dots, N$  from *uniform distribution*, calculate  $f(x_i)$

$$I = I_N = \frac{1}{N} (x_2 - x_1) \sum_{i=1}^{i=N} f(x_i) = \frac{1}{N} \sum_{i=1}^{i=N} W(x_i) \quad W(x_i) = \text{weight}$$

- Sum is invariant under reordering ( *randomize* )
- Obviously approximation better if number of points  $N$  is larger
- Error given by the **Central Limit Theorem**



## One dimension, example. The variance

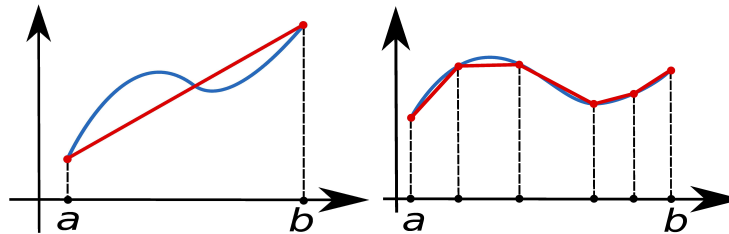
$$I \simeq I_N \pm \sqrt{\frac{V_N}{N}} = I_N \pm \sqrt{\frac{\langle f^2 \rangle - \langle f \rangle^2}{N}}$$

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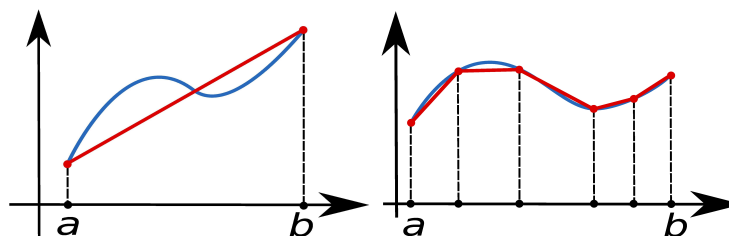
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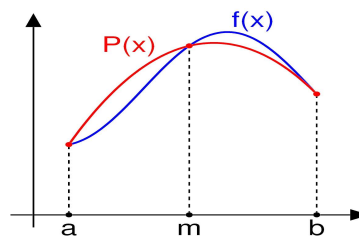
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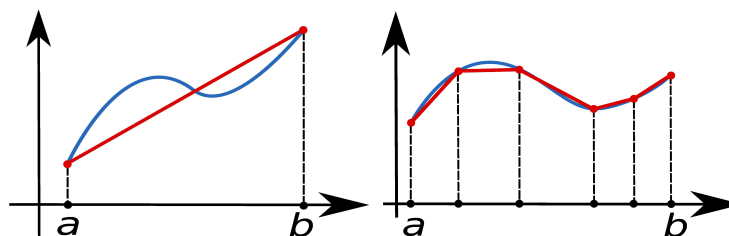
- Simpson (quadratic interpolation)  $\propto 1/N^4$  (if derivative exists)



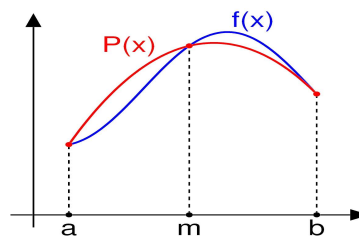
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- but this is only in one dimension!

- Convergence may seem slow  $\sqrt{1/N}$ , but it can be estimated easily
- MC error does not depend on # of dimensions,  $d$ ,  $\propto 1/\sqrt{N}$ 
  - Trapeze  $\propto 1/N^{2/d}$
  - Simpson  $\propto 1/N^{4/d}$
- in MC one can improve convergence by minimising  $V_N$  while keeping the same number of points  $N$
- **Importance Sampling:** non uniform sampling more efficient
- Convergence improved by putting more samples in regions where function is largest (where variance is largest)
- Hint: observe that if  $f(x) = cste$  then  $V_N = 0 \rightarrow$  **make  $f$  as a close to a constant as possible!**

$$I \simeq I_N \pm \sqrt{\frac{V_N}{N}} = I_N \pm \sqrt{\frac{\langle f^2 \rangle - \langle f \rangle^2}{N}}$$

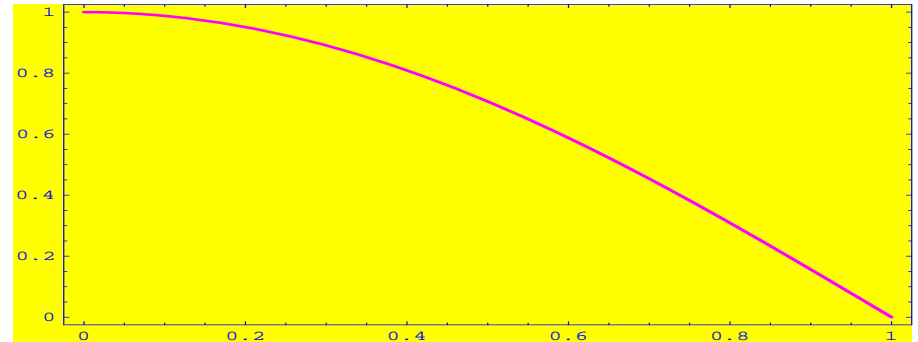
## Example: Importance Sampling

Take  $f(x) = \cos \pi x / 2$  then

$$I = 2/\pi = 0.637$$

$$\text{MC, } I_N = 0.637 \pm 0.308/\sqrt{N}$$

$$(0.308 = \sqrt{V_N} = \sqrt{1/2 - (2/\pi)^2})$$



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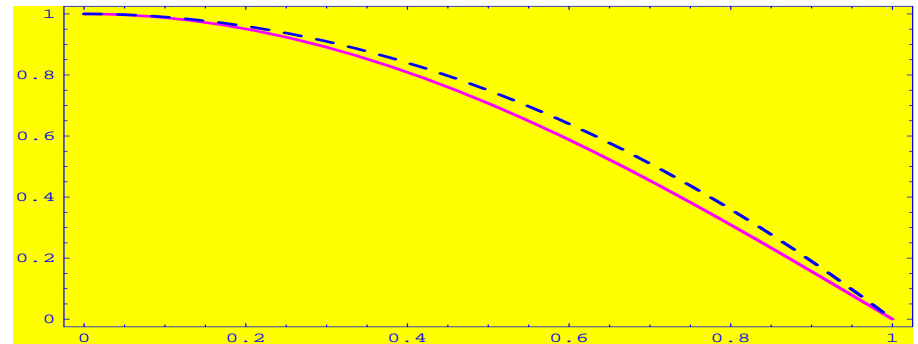
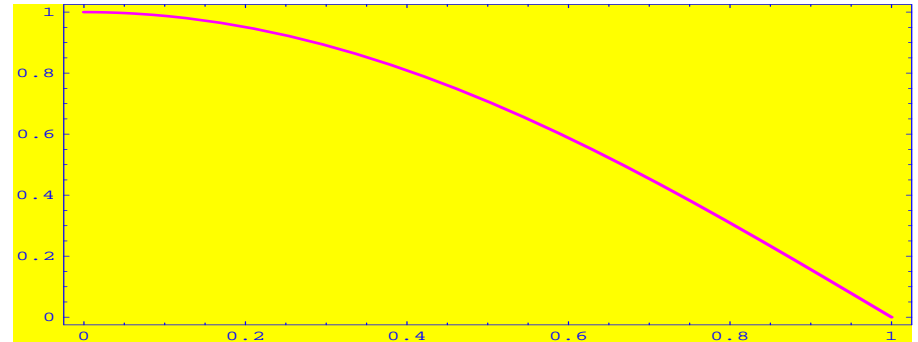
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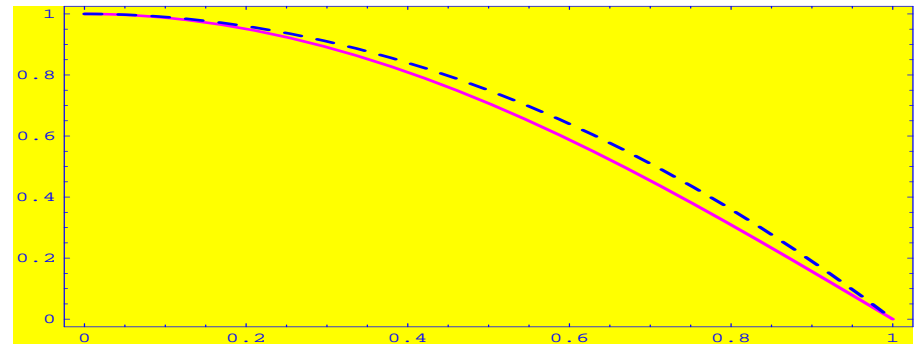
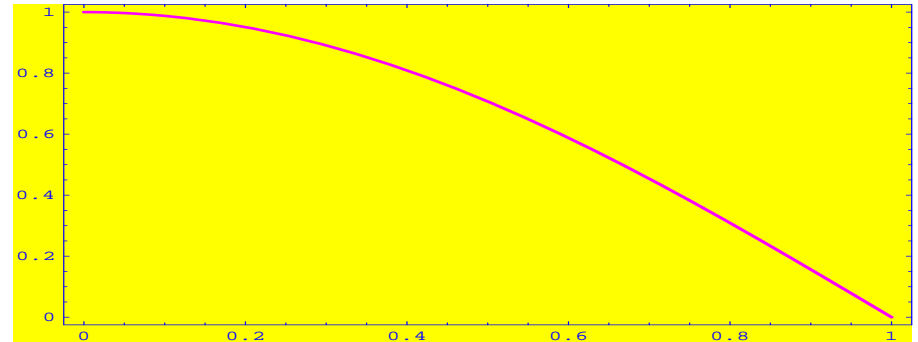
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- For the same accuracy  $N \rightarrow N/100$  events
- We have in fact made a change of variables
- Note however that change of variables may be not so trivial and requires that one knows the function, here is relatively ok  
 $y = x - x^3/3!$



## Over a Breit-Wigner distribution

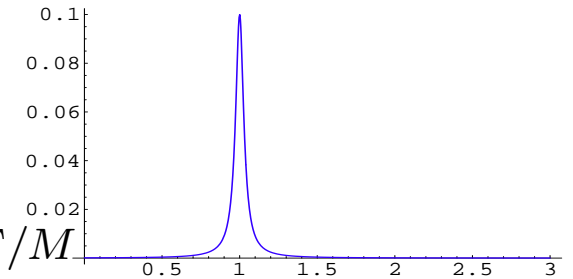
in HEP many sharp peaks from resonances, apart from peaks due to forward scattering,..

$$\begin{aligned} I &= \int_{m_{min}^2}^{m_{max}^2} dm^2 \frac{1}{(m^2 - M^2)^2 + M^2 \Gamma^2}, \quad \Gamma/M \ll 1 \\ &= \frac{1}{M^2} \int_{x_{min}}^{x_{max}} dx \frac{1}{(x - 1)^2 + \epsilon^2}, \quad x = m^2/M^2, \quad \epsilon = \Gamma/M \end{aligned}$$

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The peak has been smoothed out completely.

Flat distribution, the error has been reduced to 0

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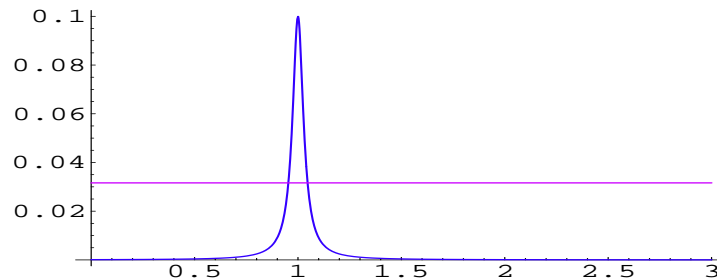
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## Non-uniform, importance sampling

Unfortunately we can not always do the Jacobian trick efficiently, we do not always know  $f(x)$

However, as we have seen, finding a simple function,  $p(x)$ , that approximate  $f(x)$  reduces the error drastically  
(up to normalisation) take

$$p(x), \int_{x_1}^{x_2} p(x) = 1, \quad \rightarrow I = \int_{x_1}^{x_2} dx p(x) \frac{f(x)}{p(x)}$$
$$I = \left\langle \frac{f}{p} \right\rangle \pm \frac{1}{\sqrt{N}} \sqrt{\left\langle \frac{f^2}{p^2} \right\rangle - \left\langle \frac{f}{p} \right\rangle^2}$$

Sample according to  $p(x)$  and make  $f/p$  as small as possible.

## VEGAS (BASES) Importance+Stratified Sampling

Unfortunately we usually do not know much about  $f(x)$

But as we sample we can know more, reconstruct  $p(x)$  piecemeal, with step function

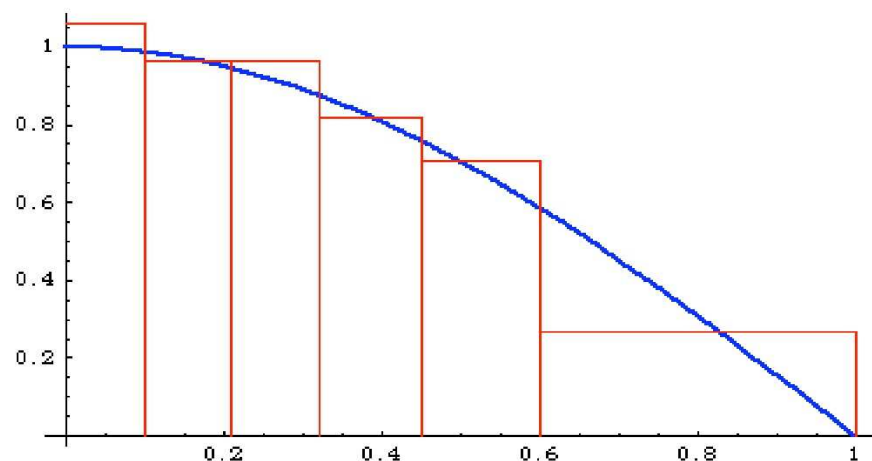
$$p(x) = \frac{1}{N_b} \Delta x_i \quad \text{for} \quad x_i - \Delta x_i \leq x \leq x_i$$

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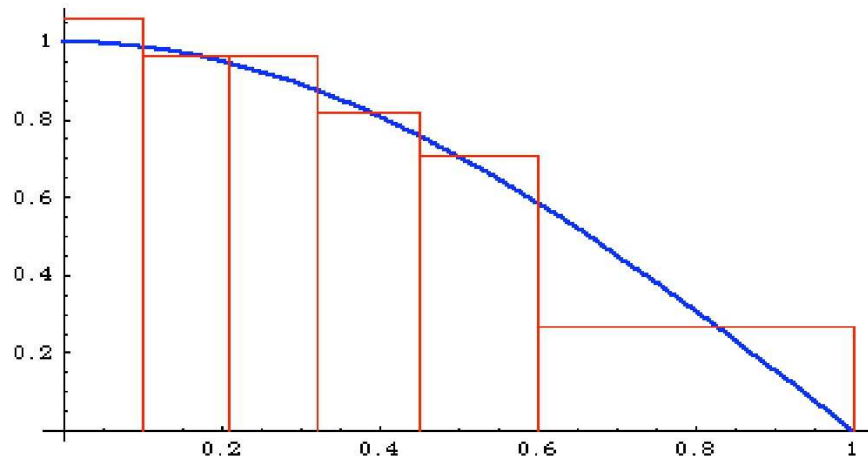


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- Improve the fit by generating more points where  $f(x)$  is large, *i.e.* where the variance is large
- Adjust the bin size so that **each bin** has the same area

Iterative algorithm: VEGAS

## Many variables, VEGAS bis

- The approach can be directly generalised to  $d$  dimensions if one can write the factorised from  $p(\vec{x}) = p(x) \times p(y) \times \dots$

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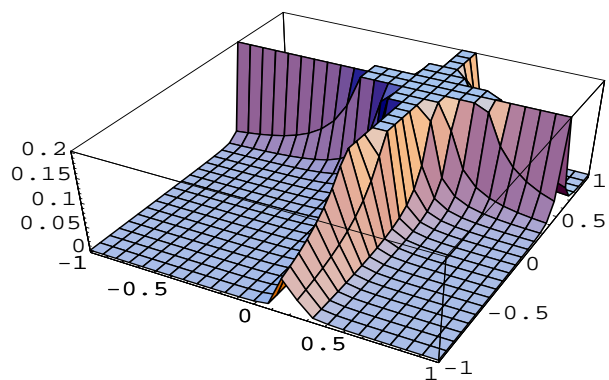
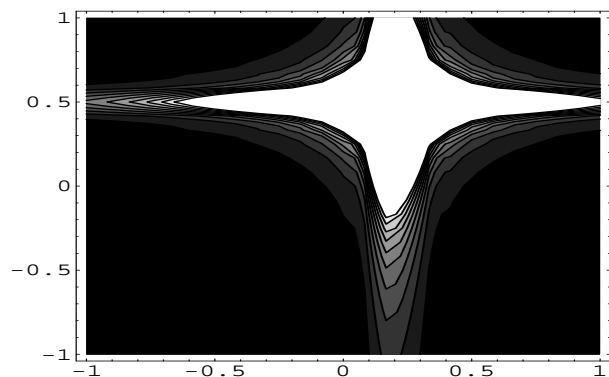
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- this means  $2^n$  possible kinematical invariants
- A scattering amplitude may have many peaks each aligned on a different invariant

## VEGAS and alignment



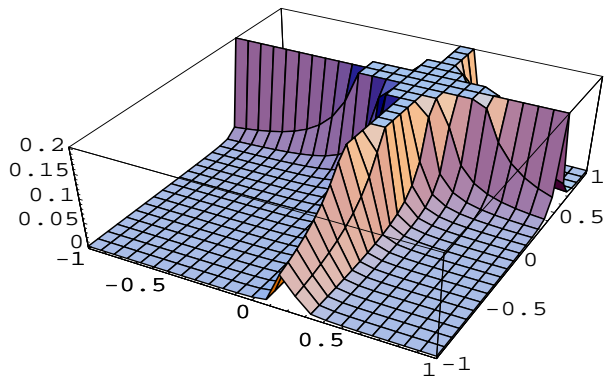
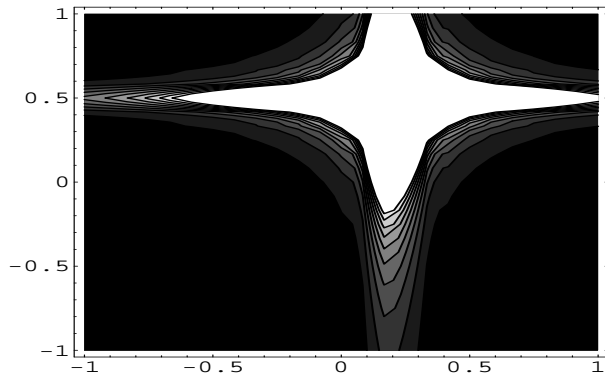
$$\left( ((x - 0.2)^2 + \epsilon)((y - 0.5)^2 + \epsilon) \right)^{-1}$$

$$\epsilon = 0.002$$

Ok for VEGAS, peaks aligned along the axes



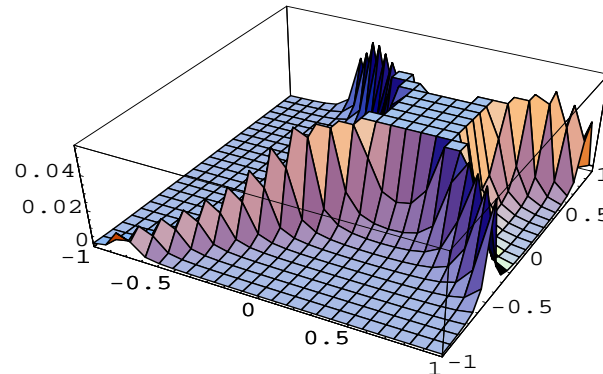
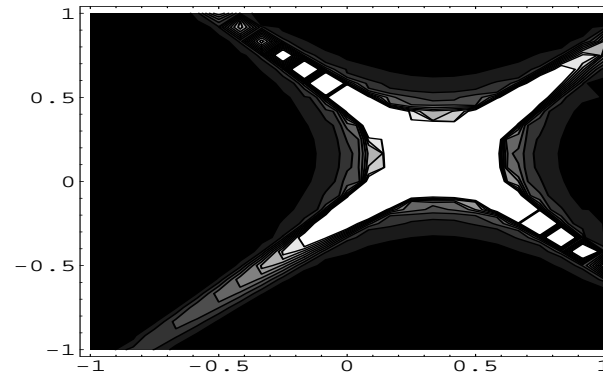
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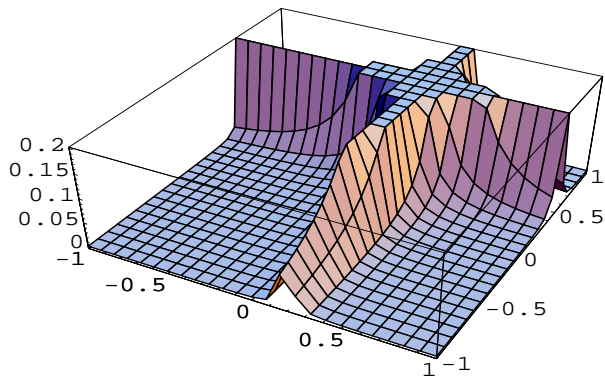
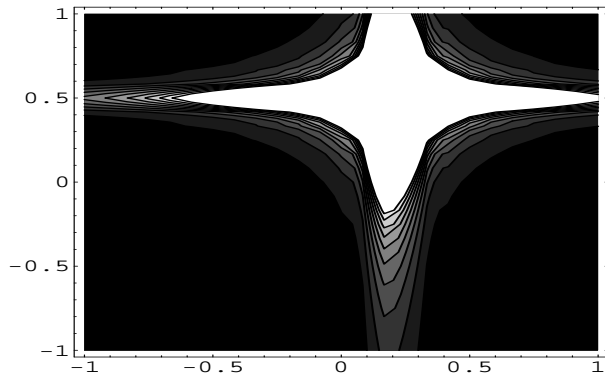
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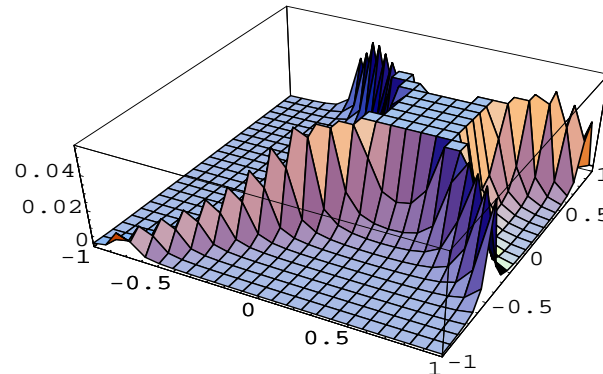
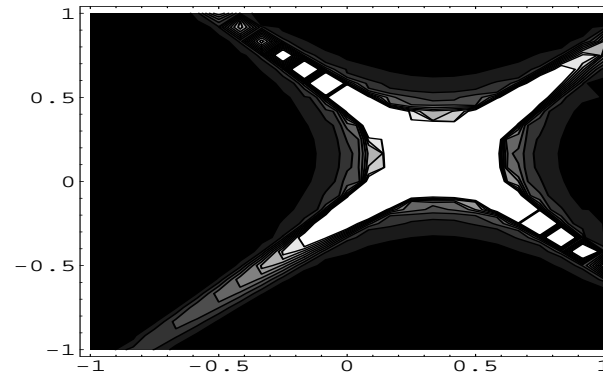


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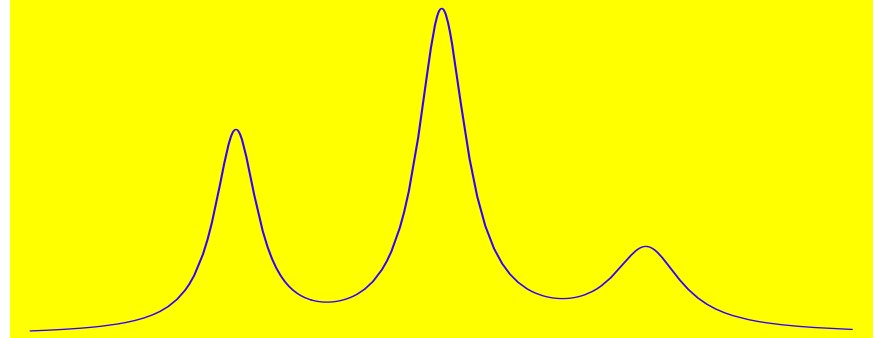
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## Multichannel $d=1$

Suppose instead of having one peak we have an integral with lots of peaks, say from the inclusion of excited  $\rho$  resonances in some process.

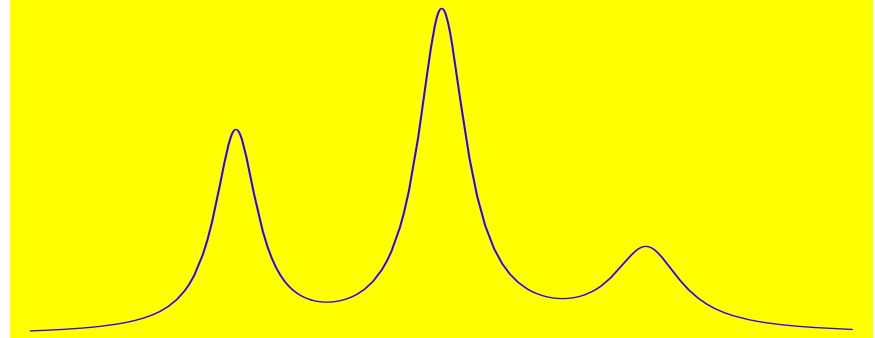
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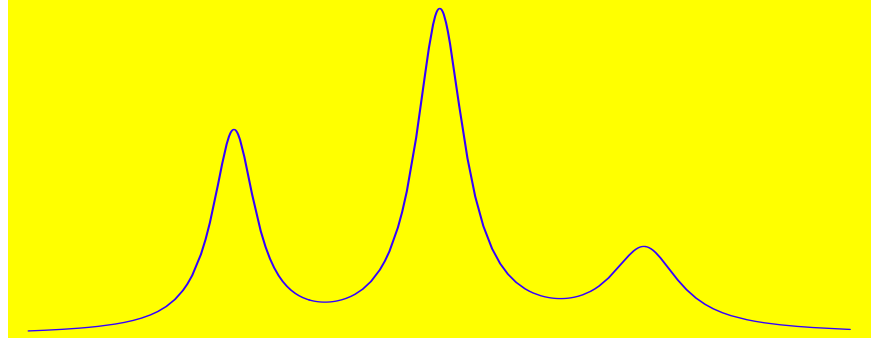
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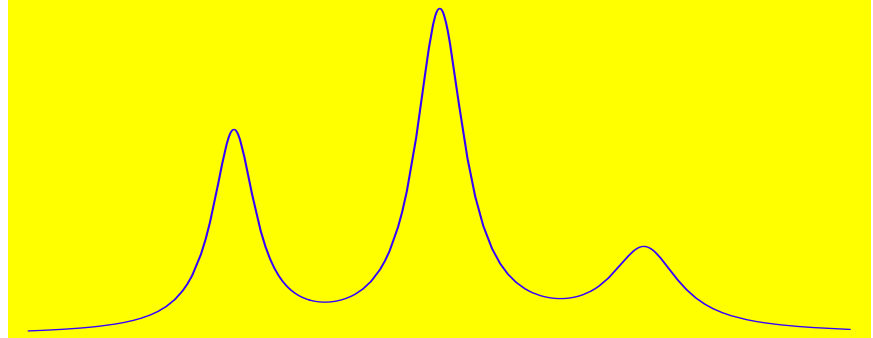


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 I &= \int_{m_{min}^2}^{m_{max}^2} dm^2 f(m^2) = \sum_i \alpha_i \int_{m_{min}^2}^{m_{max}^2} dm^2 g_i(m^2) \frac{f(m^2)}{h(m^2)} \\
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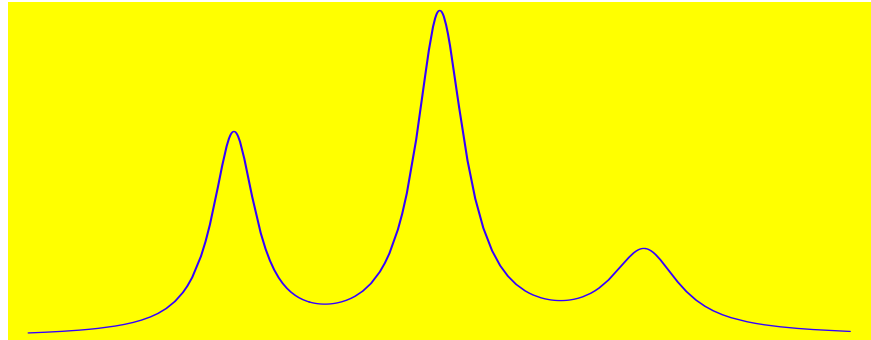
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Pick one of the integrals (channels) with prob  $\alpha_i$  then calc. weight

$\alpha_i$  can be automatised.  $\int$  does not depend on  $\alpha_i$  but  $V_N$  does

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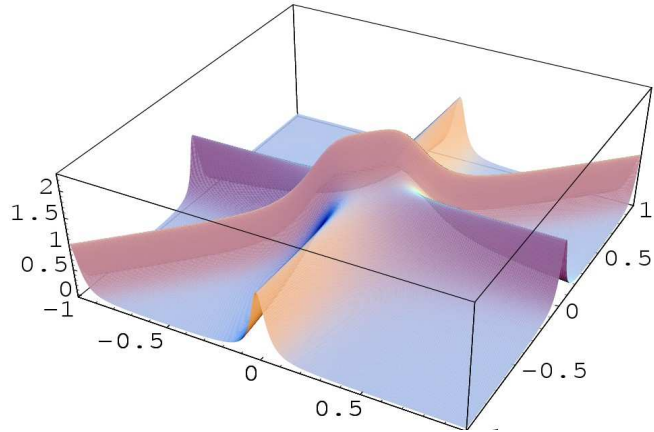
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In general not each channel is invertible  $\rightarrow g_i$  (peaking may be more complicated)  
 N coupled equations for  $\alpha_i$ , so best when number of channels small.

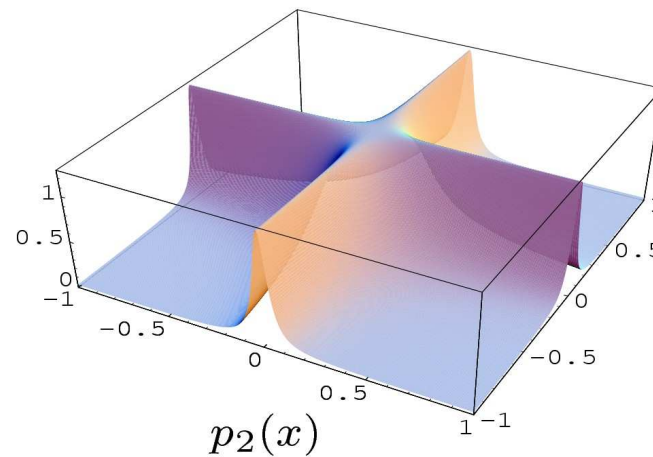
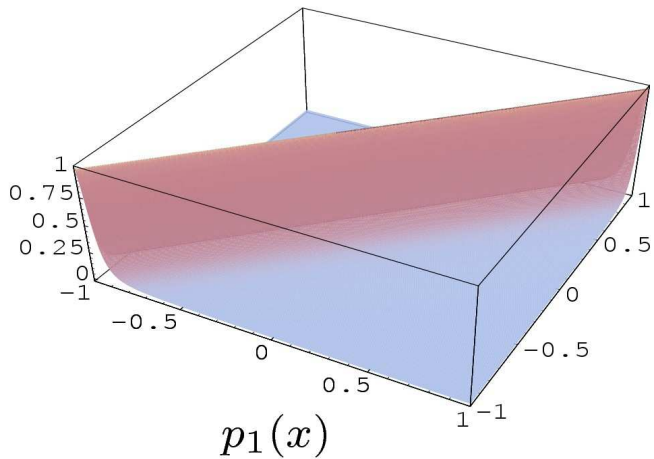
This is the method (multi-channel) used in the most sophisticated codes.



## Multichannel, many dimensions



- what to do here?
- decompose into different channels
- $\alpha_1 p_1(x) + \alpha_2 p_2(x)$



For physical processes we usually know where the peaks are

## cross section integrator vs event generator

$$d\sigma(u\bar{u} \rightarrow Z^0 \rightarrow d\bar{d}) = \frac{1}{\hat{s}} |\mathcal{M}|^2 \frac{d\cos\theta d\phi}{8(2\pi)^2}$$

- sample the phase space (2-dim)  $-1 < \cos\theta < 1, 0 < \phi < 2\pi$
- choosing  $\cos\theta, \phi$  variables using uniformly distributed random number generator defines a candidate event
- $d\sigma$  is **the event weight** (probability of the event)
- $\langle d\sigma \rangle \sim \int d\sigma$  converges to the cross section
- at this point candidate events  $\theta\phi$  are distributed flat and carry no physics

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# Unweighting

If function to be integrated is a **probability density** (positive definite,  $f(x) > 0$ ) one can convert it to arrive at a **simulation of physical processes or Event Generator**

- In addition to calculating the integral we often also want to select values of  $x$  (momenta,..) **at random according to  $f(x)$** . This is easy provided that we know the **maximum value of the function** in the region we are integrating over.
- Then we randomly generate values of  $x$  in the integration region and keep them with probability

$$\mathcal{P} = \frac{f(x)}{f_{\max}} \leq R$$

- which is easy to implement by generating a random number between 0 and 1 and keeping the value of  $x$  if the random number  $R$  is less than the probability.
- This is called **unweighting**.

## Unweighting, EG 2

Selection of  $x$  according to  $f(x)$ , in a random probabilistic way, event as they occur in Nature

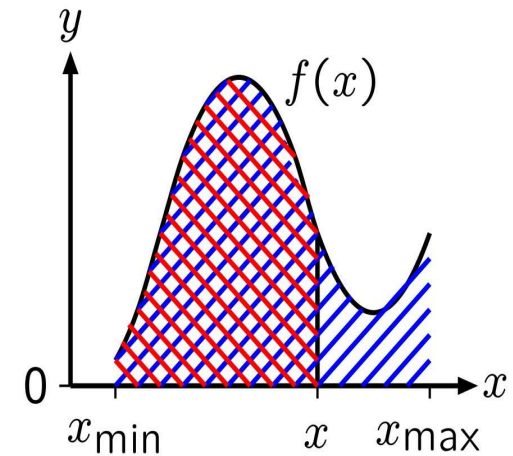
$$\int_{x_{min}}^x f(z) dz = R \int_{x_{min}}^{x_{max}} f(z) dz = RI$$

Selection of  $x$  according to  $f(x)$ , in a random probabilistic way, event as they occur in Nature

$$\int_{x_{min}}^x f(z) dz = R \int_{x_{min}}^{x_{max}} f(z) dz = RI$$

- Analytical (assumes primitive and its inverse known)

$$x = F^{-1}(F(x_{min}) + RI)$$



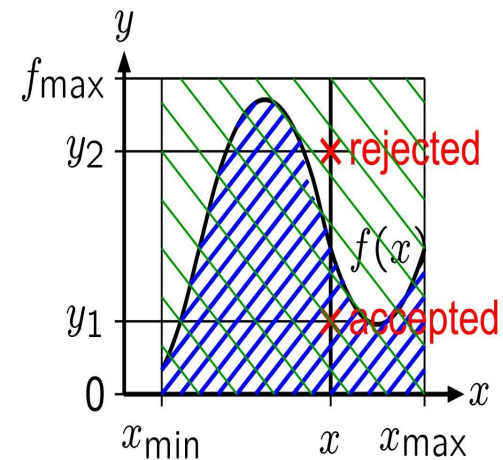
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$$\int_{x_{min}}^x f(z) dz = R \int_{x_{min}}^{x_{max}} f(z) dz = RI$$

●● Hit and miss: assumes  $f_{max}$  known

$$I = \int_{x_{min}}^{x_{max}} f(x) dx = f_{max}(x_{max} - x_{min}) \frac{N_{acc}}{N_{tries}}$$

$$\frac{N_{acc}}{N_{tries}} = \text{efficiency}$$



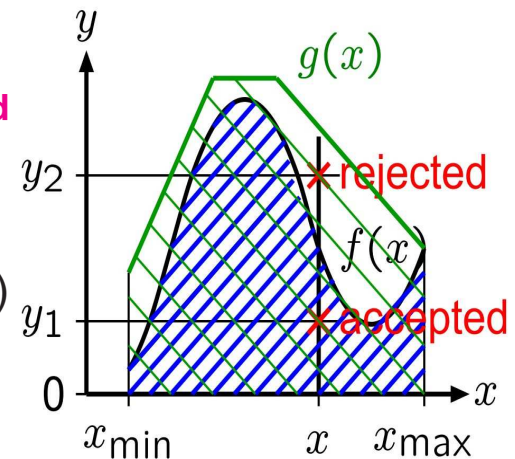
MC → Event Generator, involves acceptance/rejection

Selection of  $x$  according to  $f(x)$ , in a random probabilistic way, event as they occur in Nature

$$\int_{x_{min}}^x f(z)dz = R \int_{x_{min}}^{x_{max}} f(z)dz = RI$$

● ● ● Importance Sampling: take  $f(x) < g(x)$  where  $G(x)$  and  $G^{-1}$  simple

1. select  $x$  according to  $g(x)$
2. select  $y = Rg(x)$  (new  $R$ )
- if  $y > f(x)$  go back to 1





## Summary MC

- Advantages of Monte Carlo Fast convergence in many dimensions
  - Arbitrarily complex integration regions
  - Few points needed to get first estimate
  - Each additional point improves the accuracy
  - Easy error estimate
  - More than one quantity can be evaluated at once.
- Disadvantages of Monte Carlo Slow convergence in few dimensions, but that is hardly the case in particle physics
- MC is well suited for particle physics where phase space integration involves a lot of variables with a complicated often not smooth function representing the cross section

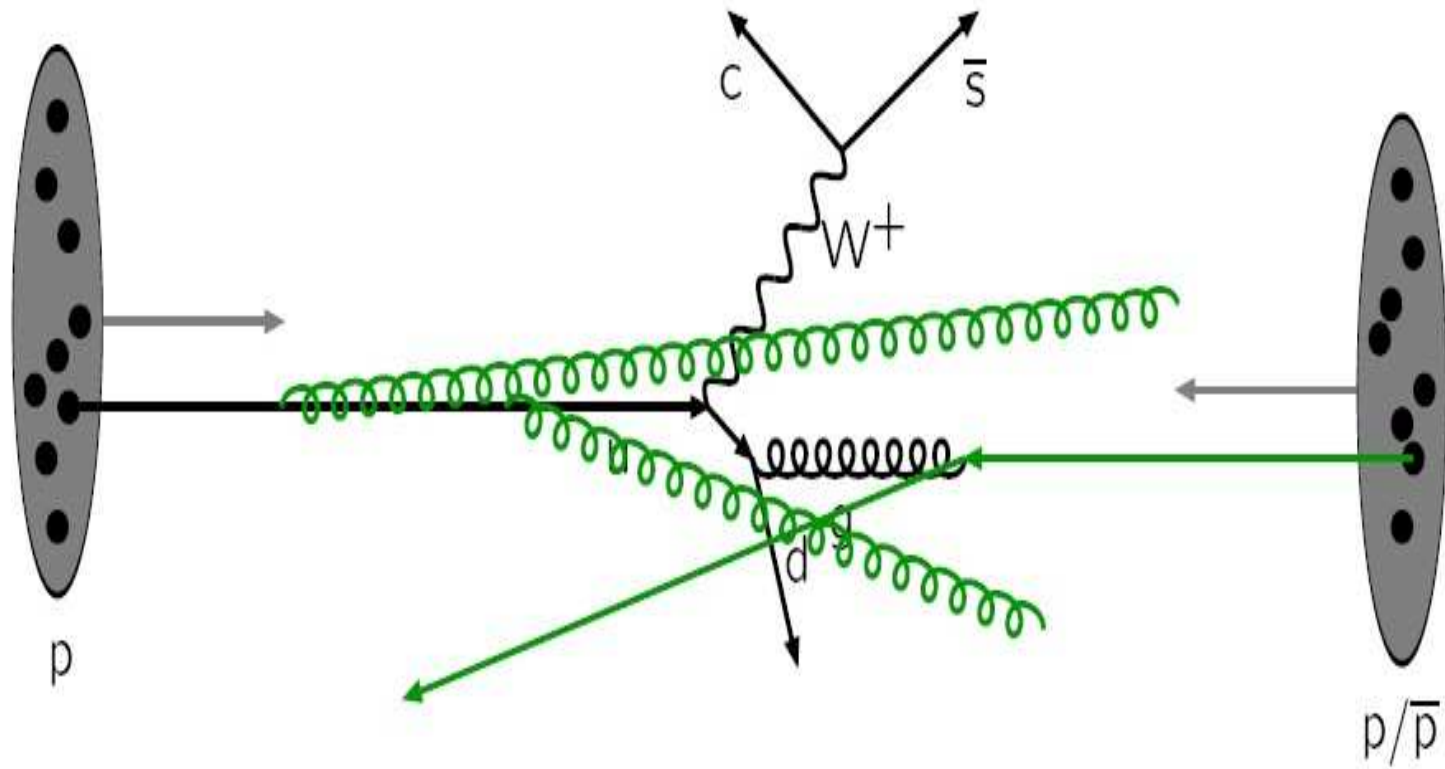
## Event Generator

- With an integrand that is positive definite, which is the case for MC at LO, one deals with a probability. This lends itself to an event generator
- Allows a fully exclusive treatment exactly like *real data*
- At the most basic level a Monte Carlo event generator is a program which simulates particle physics events with the same probability as they occur in nature.
- In essence it performs a large number of integrals and then unweights to give the momenta of the particles which interact with the detector

# *Event Generators*

Parton Showers

## Remember the Movie: The structure of an event, ISR and FSR

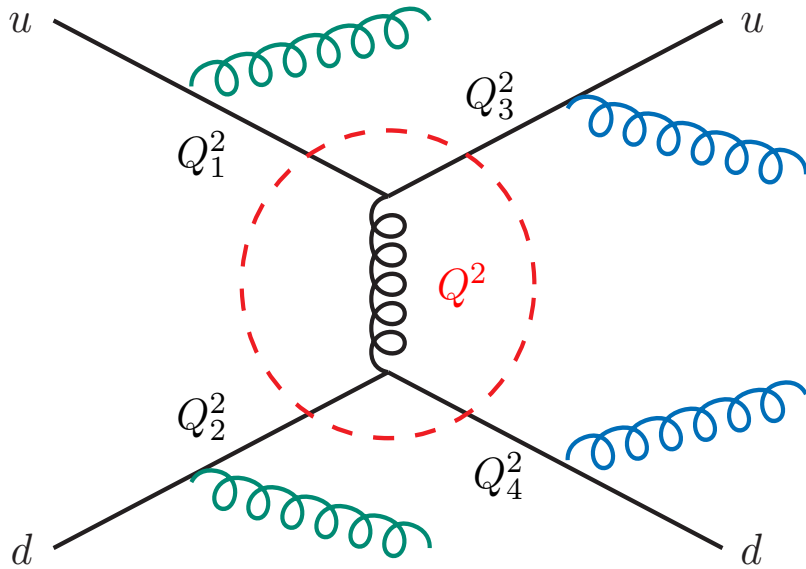


ISR: Initial State Radiation

# Parton Shower Approach

$\mathcal{P}_{\text{ISR/FSR}}$  Accelerated charged particles radiate

$$2 \rightarrow n = (2 \rightarrow 2)_{\text{On Shell}} + \text{ISR} + \text{FSR}$$



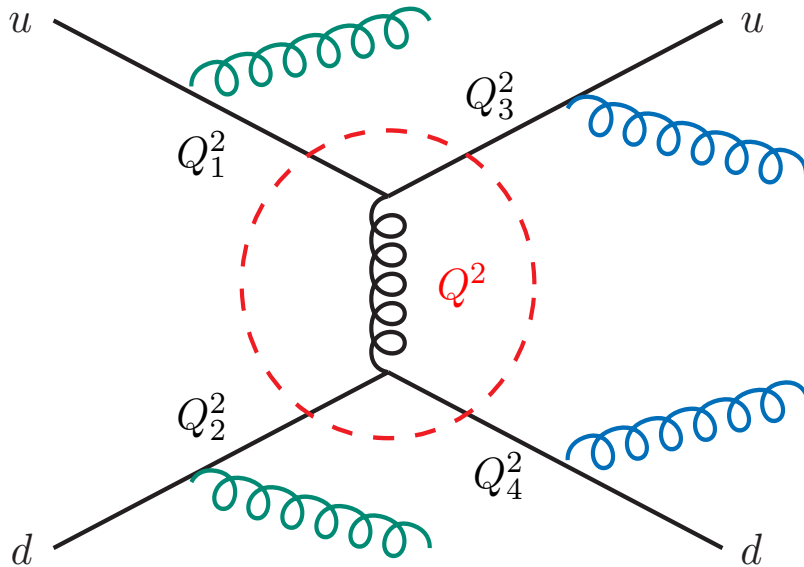
● FSR is time-like shower  $Q_i^2 > 0$  decreasing, relatively simple

● ISR is space-like shower  $Q_i^2 < 0$  increasing, physics complicated

# Parton Shower Approach

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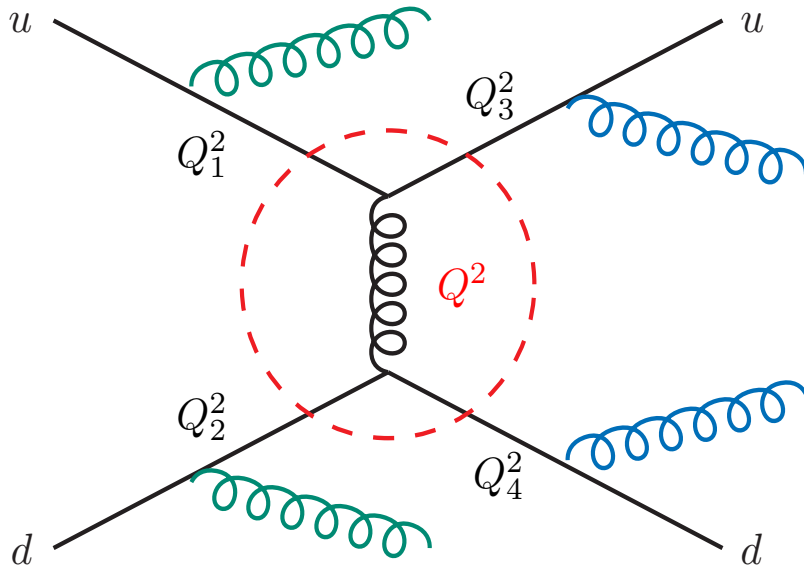
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- Shower is viewed as a probabilistic process which occurs with unit probability
- The (total ) cross section is not affected but indirectly it is since the event shape is changed
- Obviously it is an approximation to the full process

# Parton Shower Approach

$\mathcal{P}_{\text{ISR/FSR}}$  Accelerated charged particles radiate

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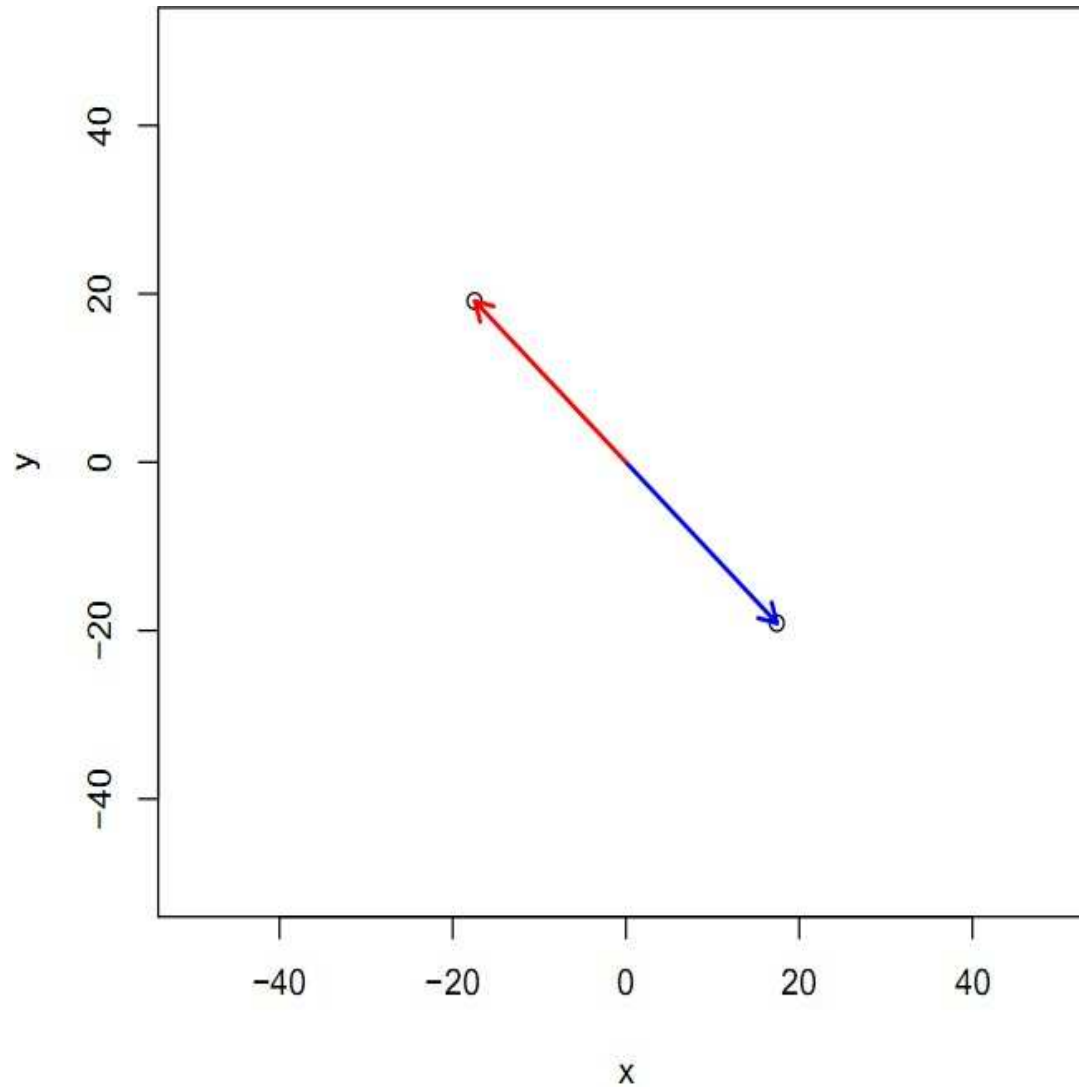
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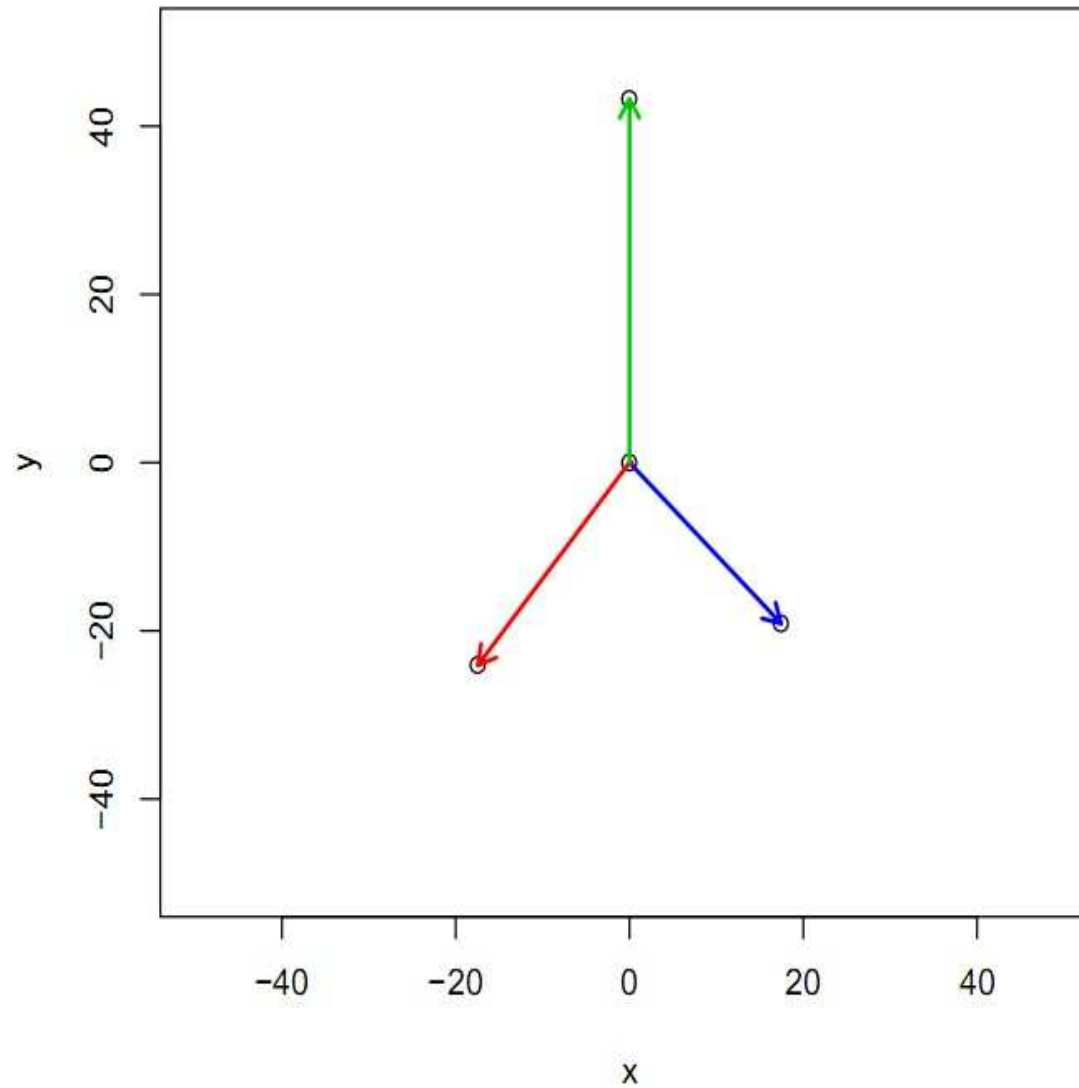
watch

# Parton Shower movie

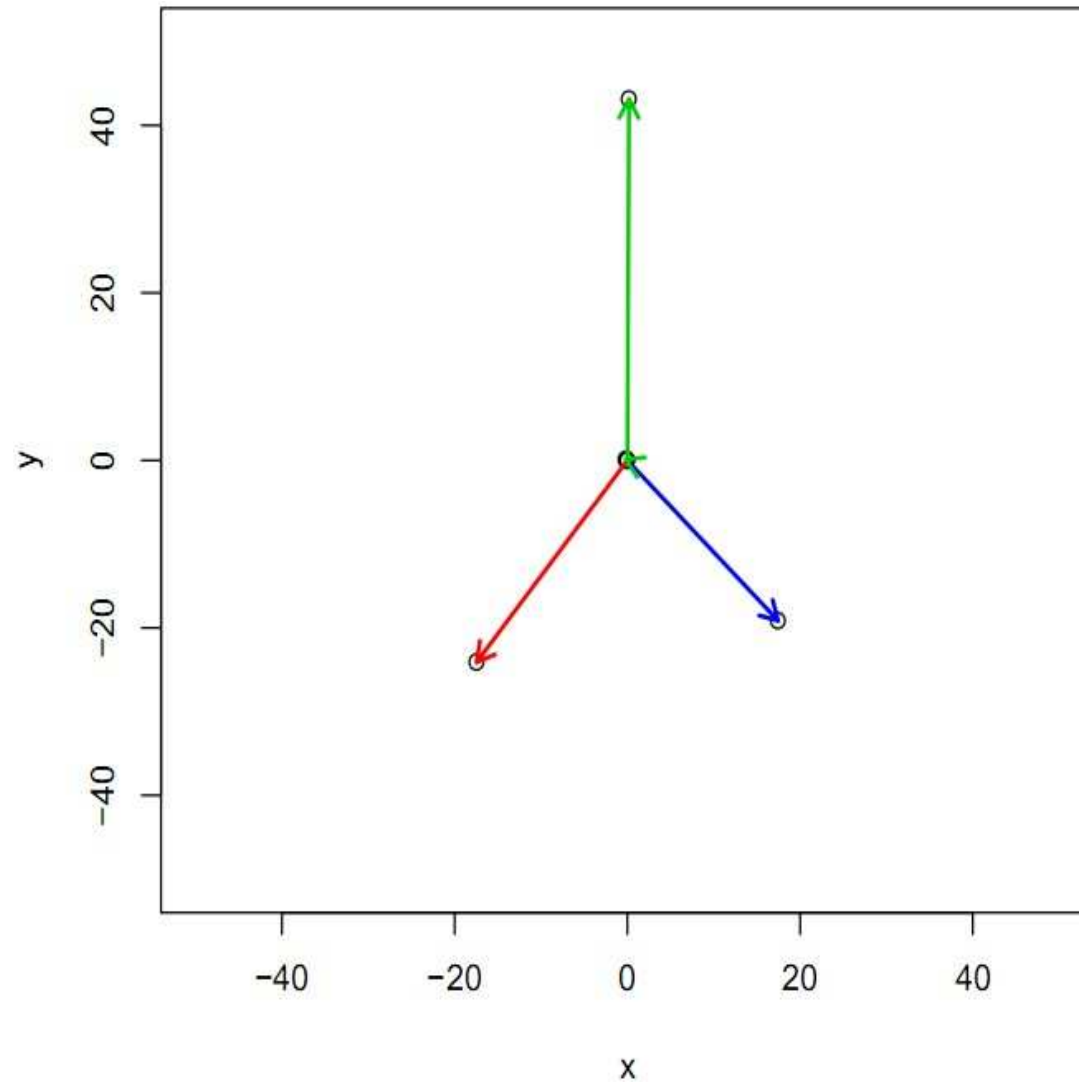




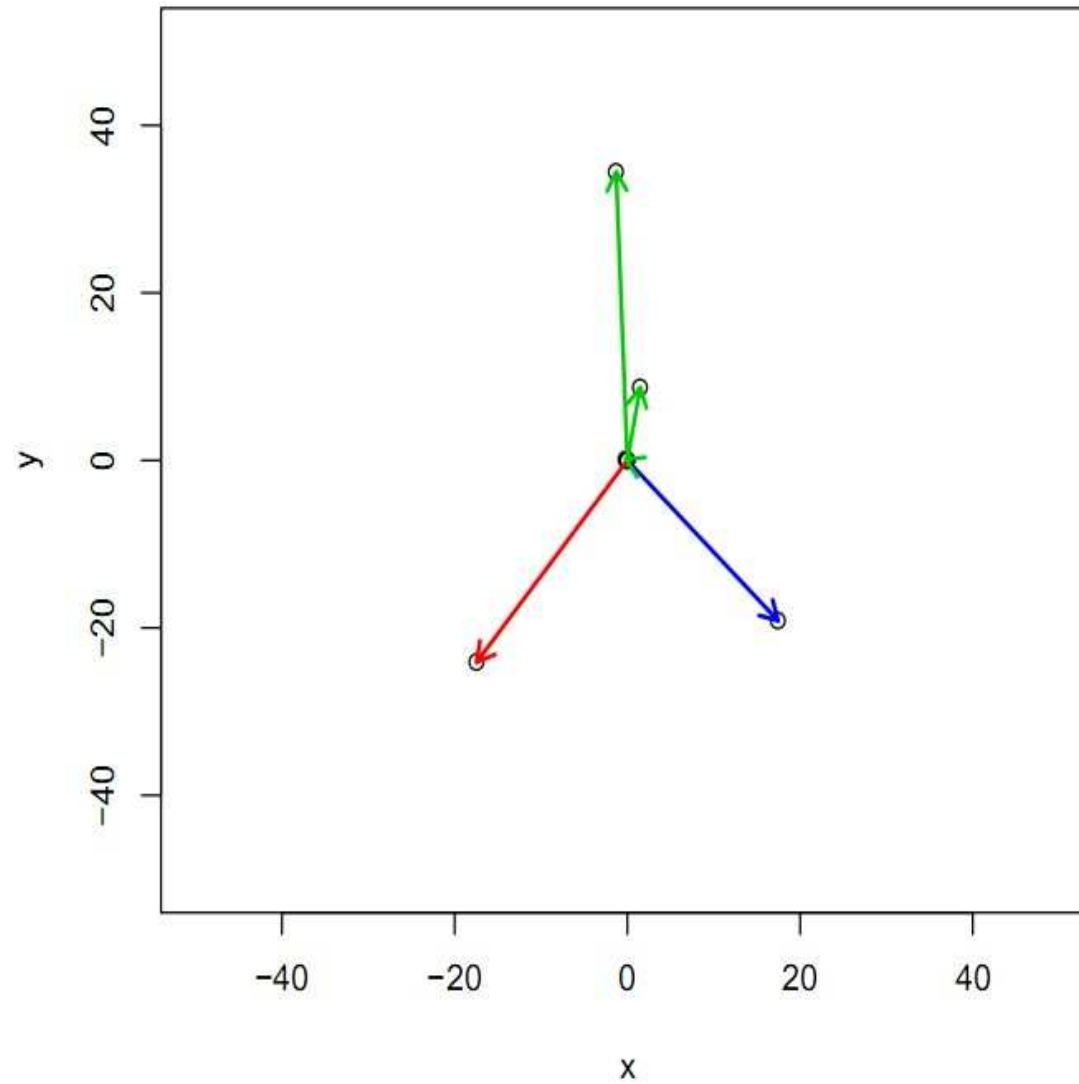
# Parton Shower movie



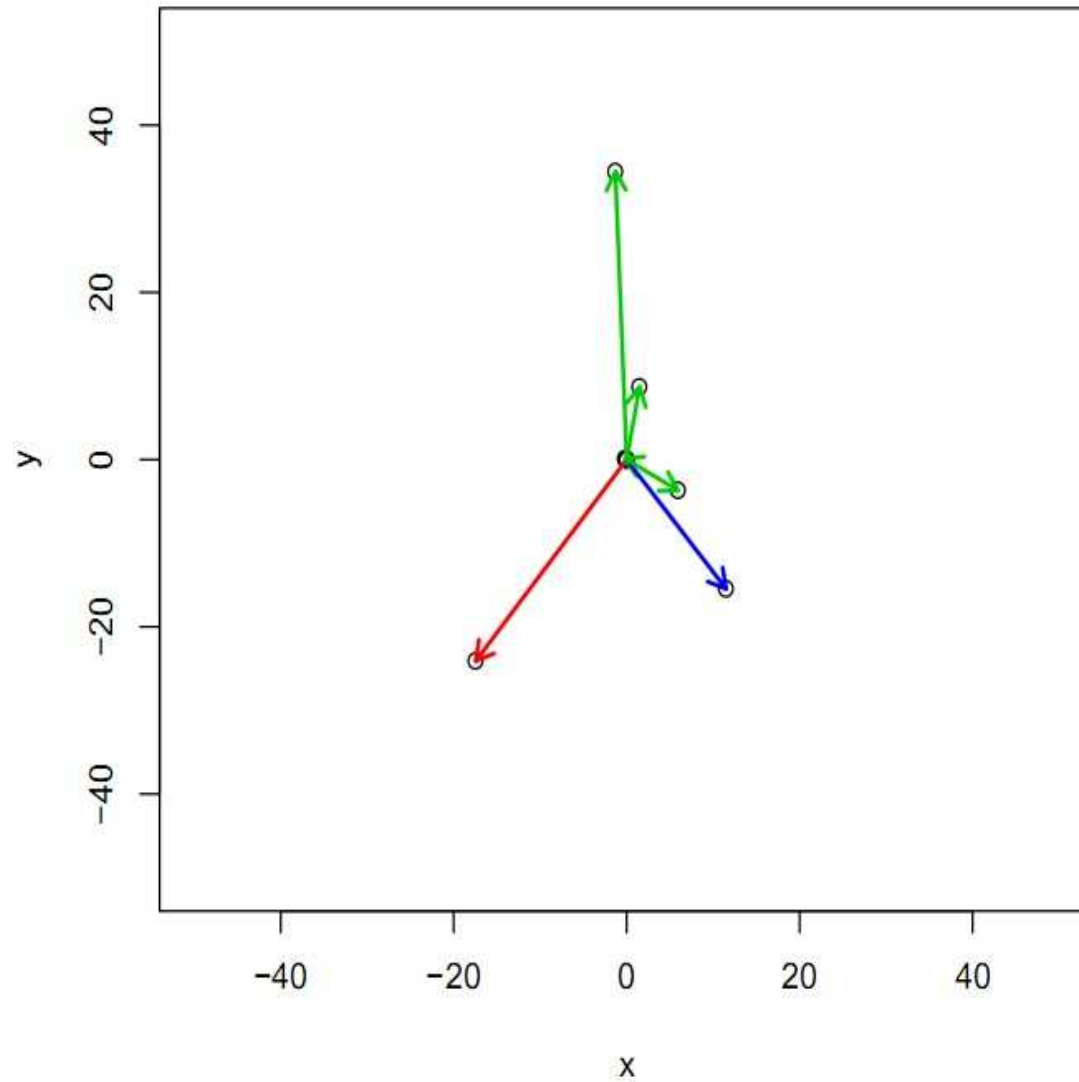
# Parton Shower movie



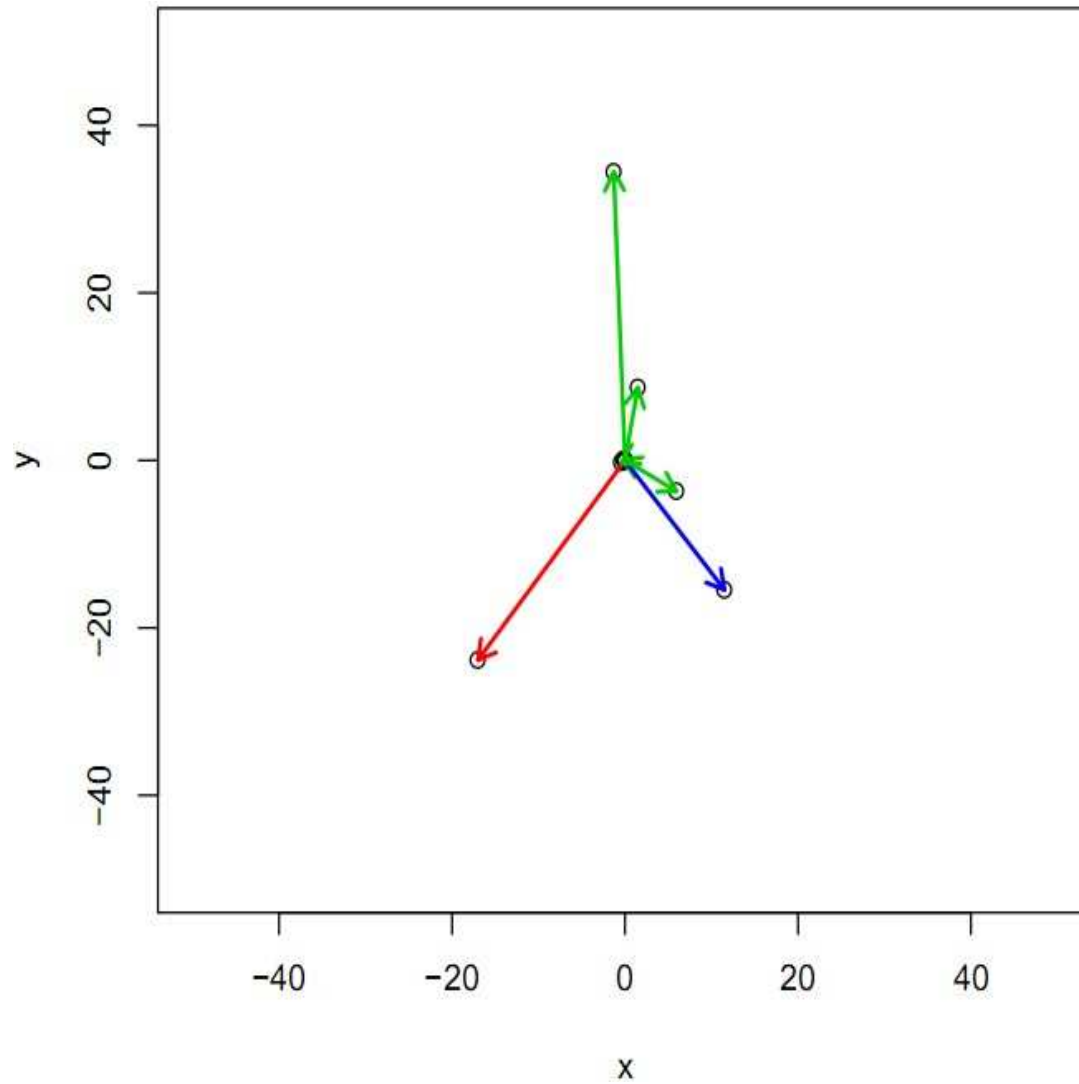
# Parton Shower movie



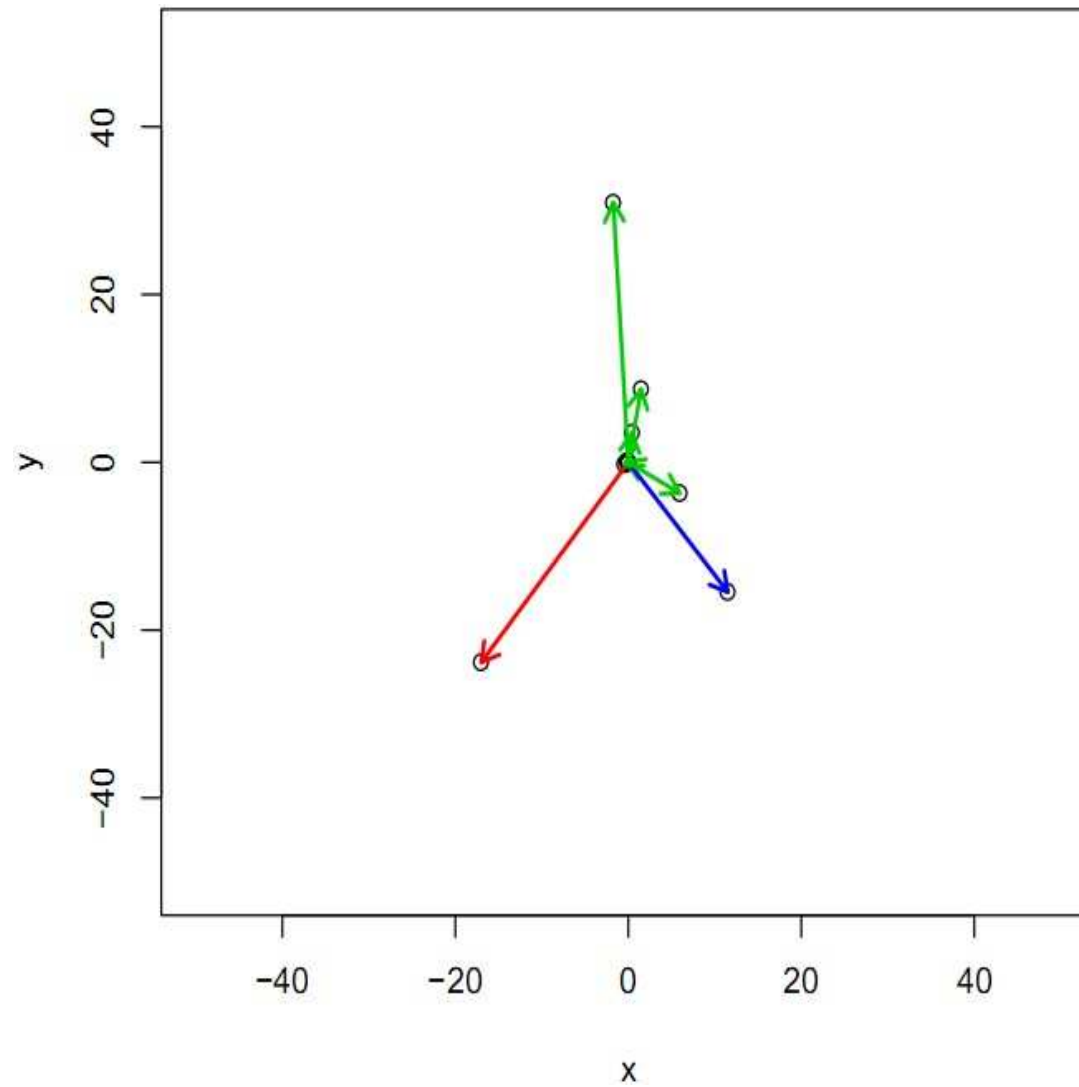
# Parton Shower movie



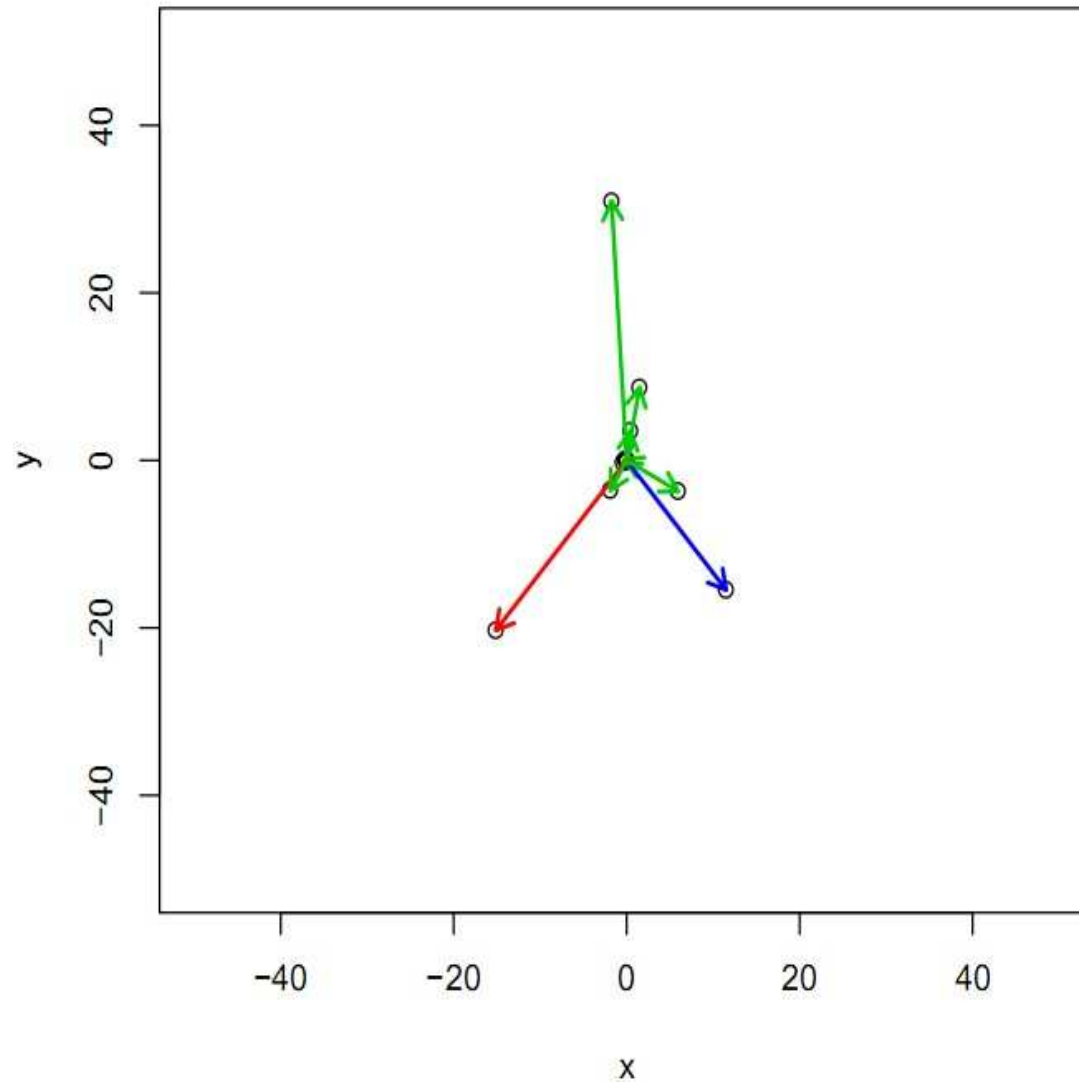
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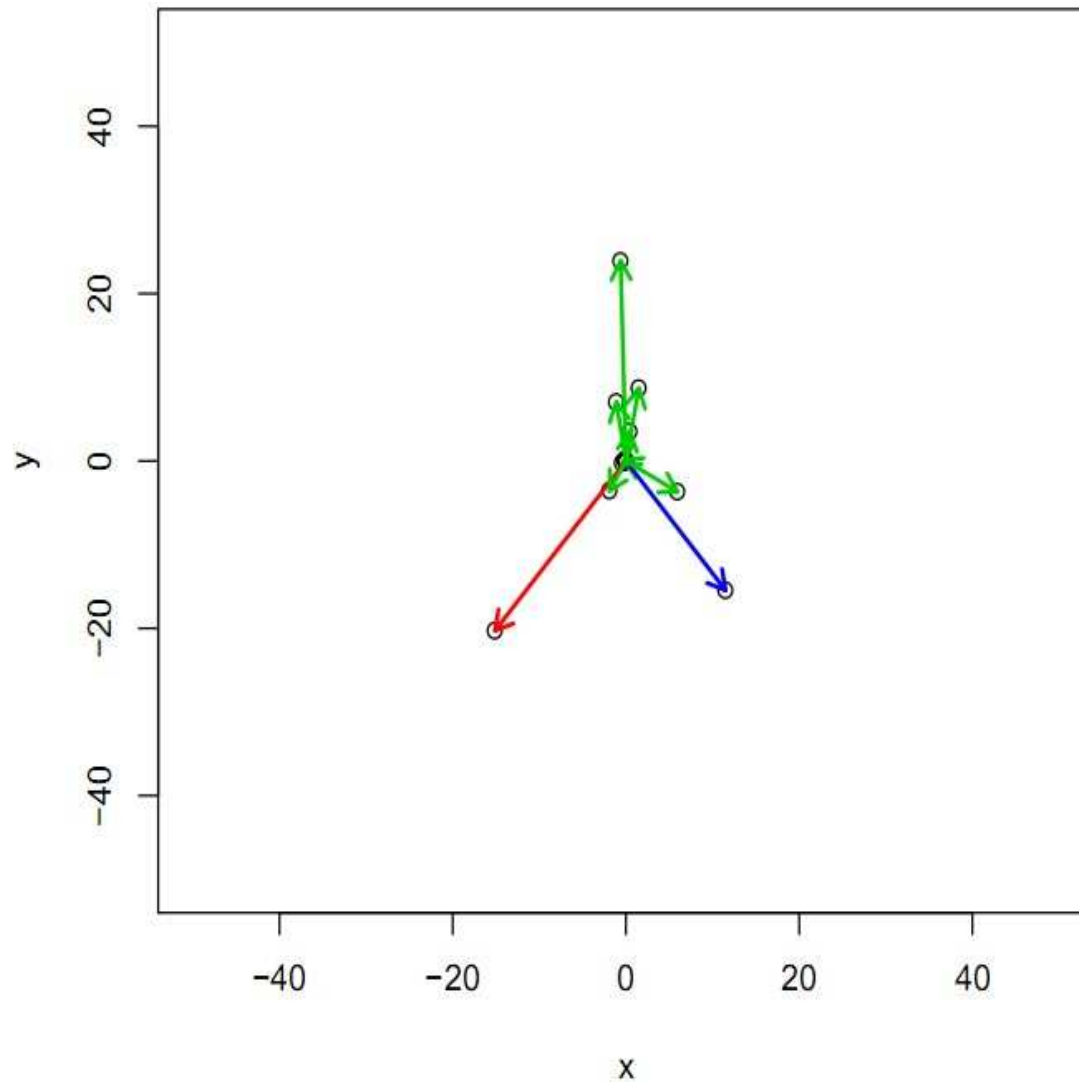
# Parton Shower movie



# Parton Shower movie

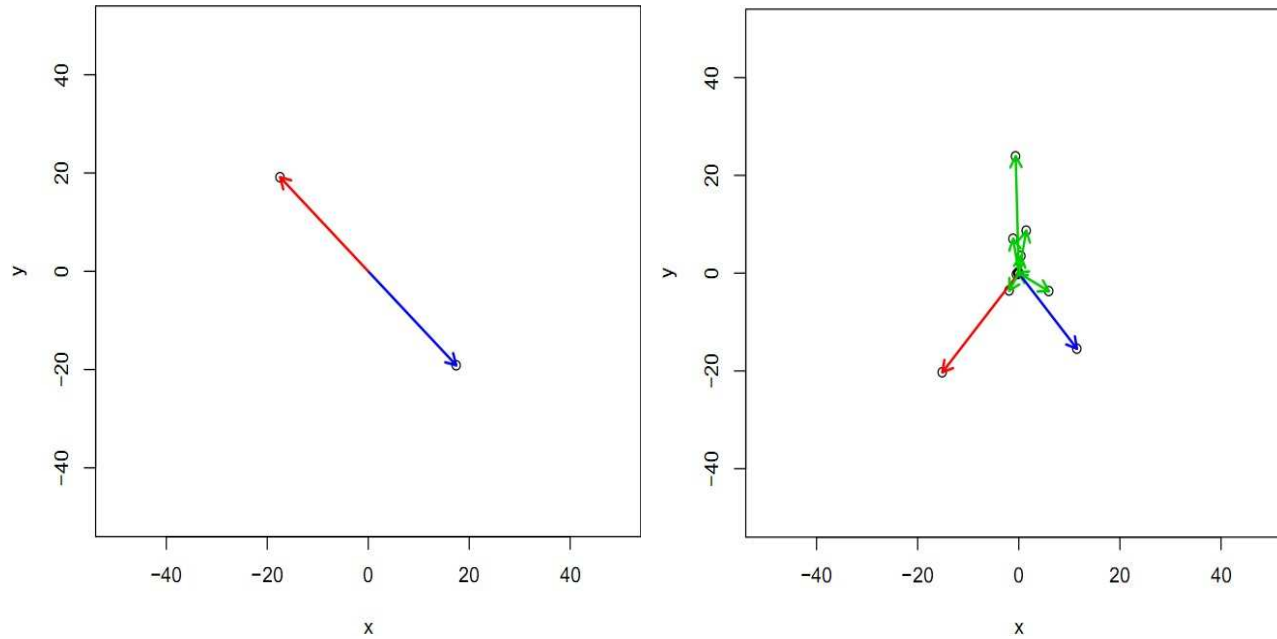


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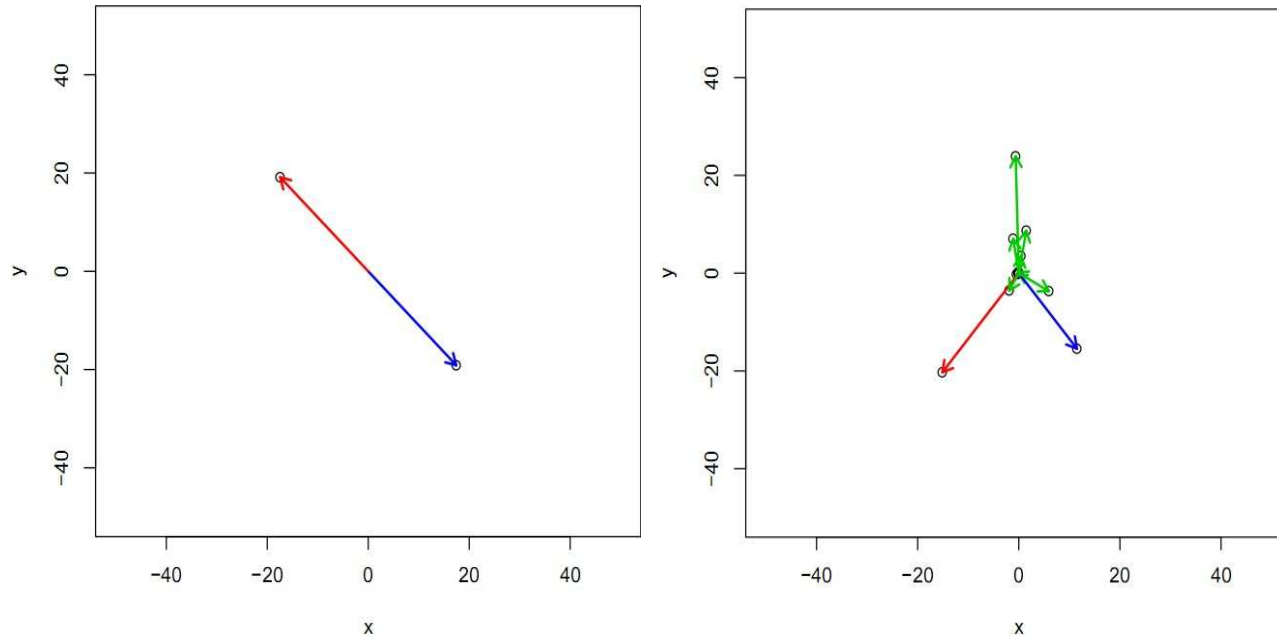


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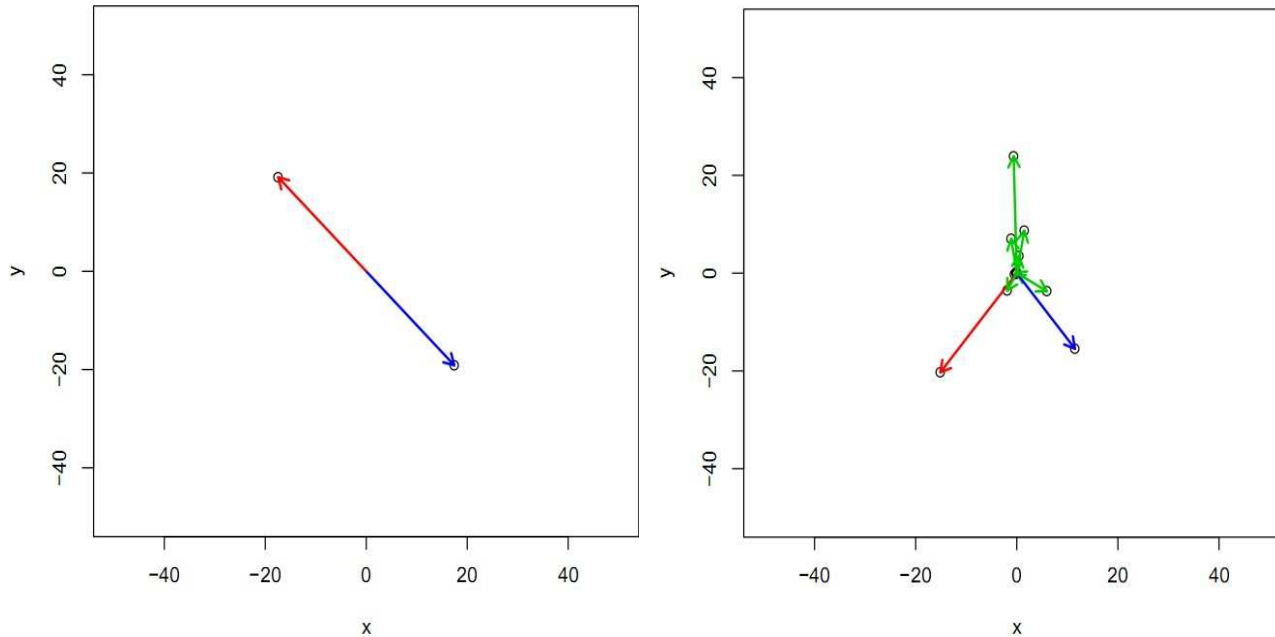
● The topology generated by the PS can be quite complicated

# Parton Shower movie



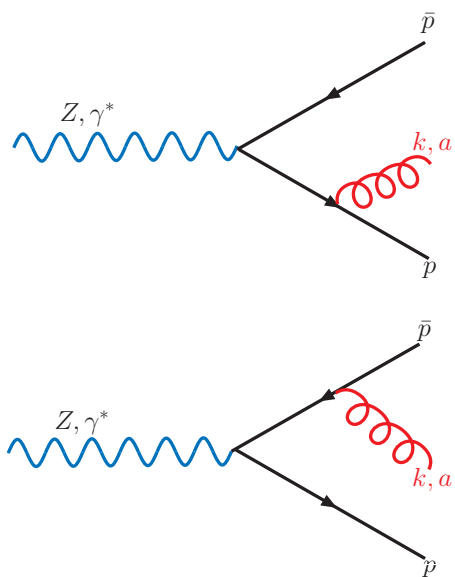
- The topology generated by the PS can be quite complicated
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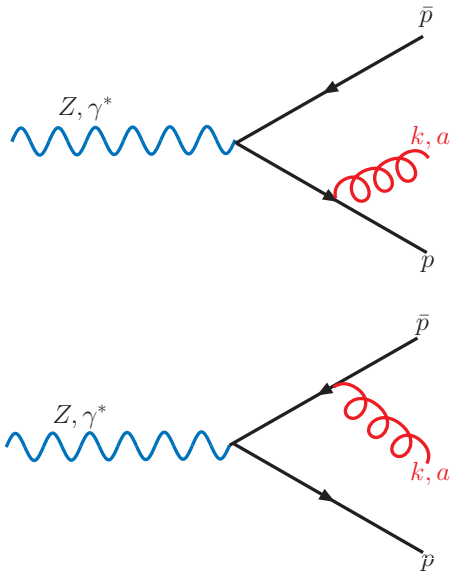


- The topology generated by the PS can be quite complicated
- These are events shape that can not be described by fixed order pert. calculations
- Total cross section still given by hard scattering (usually LO), experiments usually normalise to data

## Origin and justification of PS: soft and collinear divergencies



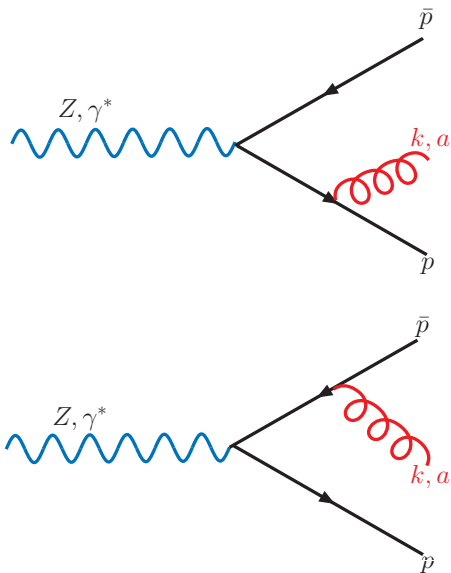
## Origin and justification of PS: soft and collinear divergencies



$$\begin{aligned}
 \mathcal{A}_\mu &= \bar{u}(p) \not{\epsilon} (-ig_s t_a) \frac{-i}{\not{p} + \not{k}} \Gamma_\mu v(\bar{p}) \quad m_q = 0 \\
 &+ \bar{u}(p) \Gamma_\mu \frac{i}{\not{p} + \not{k}} (-ig_s t_a) \not{\epsilon} v(\bar{p}) \\
 &= -g_s \left( \frac{\bar{u}(p) \not{\epsilon} (\not{p} + \not{k}) \Gamma_\mu v(\bar{p})}{2p \cdot k} - \frac{\bar{u}(p) \Gamma_\mu (\not{p} + \not{k}) \not{\epsilon} v(\bar{p})}{2\bar{p} \cdot k} \right) t_a
 \end{aligned}$$

$$2p \cdot k = 4E_g E_p \sin^2 \left( \frac{\theta_{pk}}{2} \right) \rightarrow 0 \text{ for } E_g \rightarrow 0, \theta_{pk} \rightarrow 0$$

## Origin and justification of PS: soft and collinear divergencies

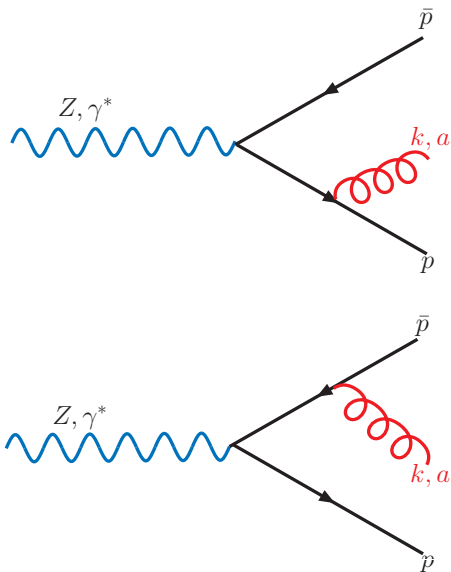


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$$\mathcal{A}_{\text{soft}}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_0 \quad \text{diverges } k \rightarrow 0$$

## Origin and justification of PS: soft and collinear divergencies

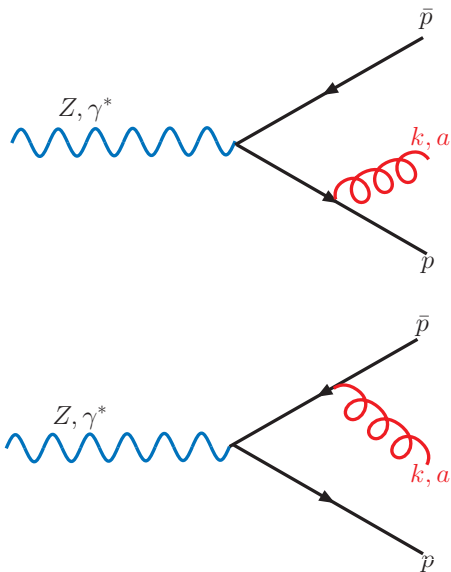


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$$\mathcal{A}_{1g}(k \rightarrow 0) = \left( -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \right) \mathcal{A}_{0g}$$

## Origin and justification of PS: soft and collinear divergencies



$$\begin{aligned}
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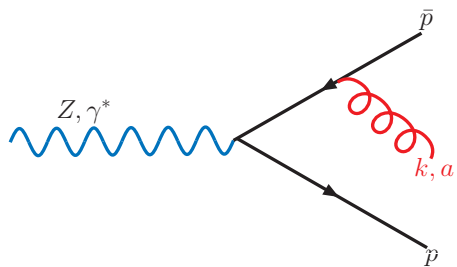
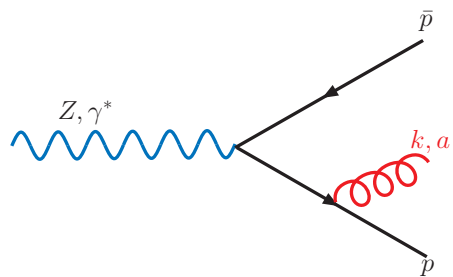
$$\mathcal{A}_{1g}(k \rightarrow 0) = \left( -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \right) \mathcal{A}_{0g}$$

Universal Radiator Factor

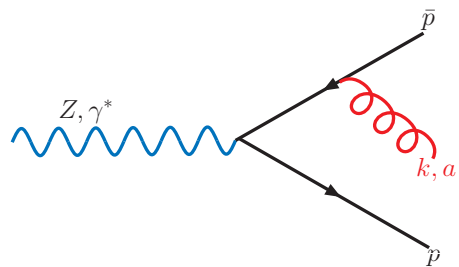
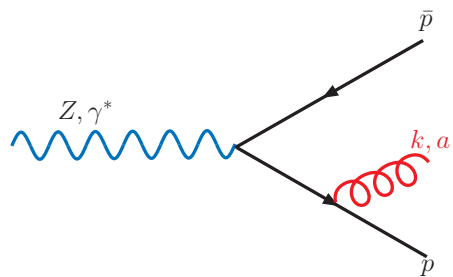
We have factorisation of the soft emission (long distance) from the short distance *i.e.* the **hard process**



## Squaring soft/collinear

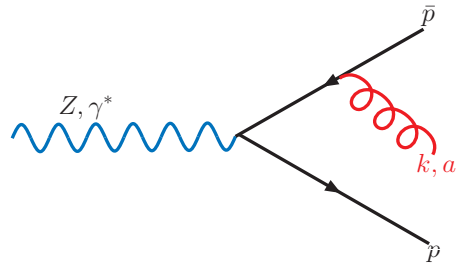
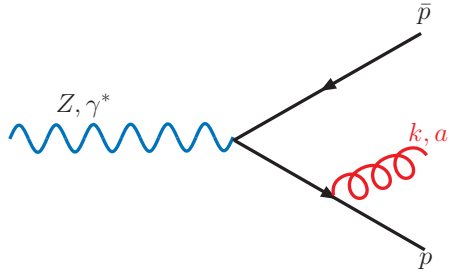


## Squaring soft/collinear



$$\mathcal{A}_{1g}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_{0g}$$

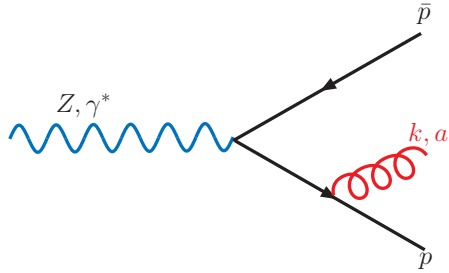
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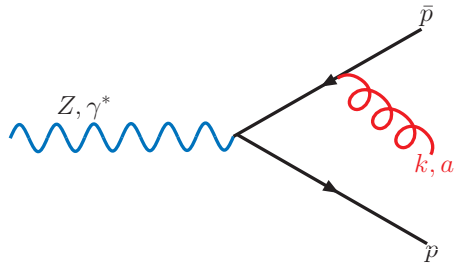
$$\mathcal{A}_{1g}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_{0g}$$

$$|\mathcal{M}_{1g}|^2 = \sum_{a, pol.(\epsilon)} |\mathcal{A}_{1g}(k \rightarrow 0)|^2 = C_F g_s^2 \frac{2p \cdot \bar{p}}{p \cdot k \bar{p} \cdot k} |\mathcal{M}_{0g}|^2$$

## Squaring soft/collinear



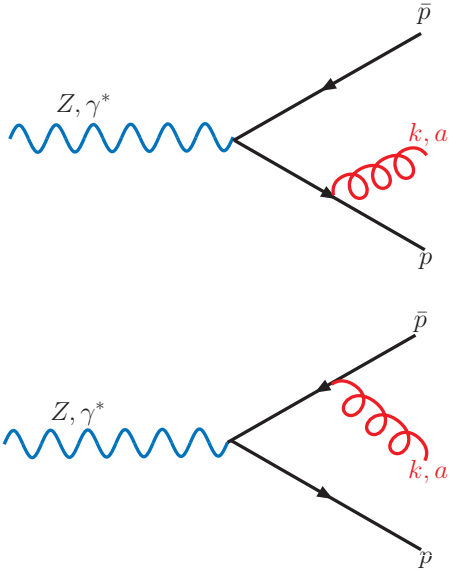
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Phase Space

$$|\mathcal{M}_{1g}|^2 d\Phi_{q\bar{q}g} = \left( |\mathcal{M}_{0g}|^2 d\Phi_{q\bar{q}} \right) d\mathcal{S}; \quad d\mathcal{S} \simeq \frac{d^3 \vec{k}}{2\omega_k (2\pi)^3} C_F g_s^2 \frac{2p \cdot \bar{p}}{p \cdot k \bar{p} \cdot k}$$

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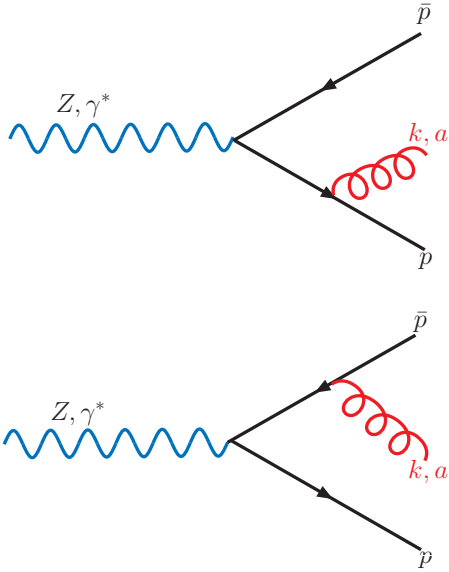
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$\theta = \theta_{\angle pk}$  ,  $\phi = \text{azimuth}$

$$d\mathcal{S} \simeq \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

## Squaring soft/collinear



$$\mathcal{A}_{1g}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_{0g}$$

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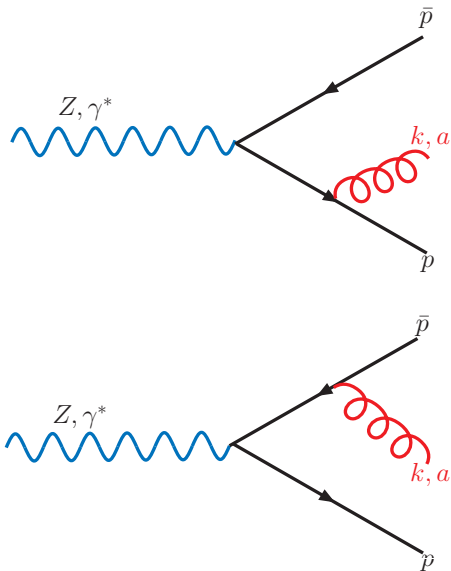
$$\theta = \theta_{\angle pk}, \quad \phi = \text{azimuth}$$

$$d\mathcal{S} \simeq \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

- $d\mathcal{S}$  diverges for  $\omega \rightarrow 0$ , **Infrared divergence** (needs virtual loop corrections, we'll say more if time permits)
- $d\mathcal{S}$  diverges for  $\theta \rightarrow 0$  and  $\theta \rightarrow \pi$ , **collinear divergence**

## Squaring soft/collinear

$$\mathcal{A}_{1g}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_{0g}$$



Phase Space

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$\theta = \theta_{\angle pk}$ ,  $\phi = \text{azimuth}$

$$d\mathcal{S} \simeq \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

$$x_i = 2E_i / E_{\text{tot}} \quad p \rightarrow 1, \quad k \rightarrow 3$$

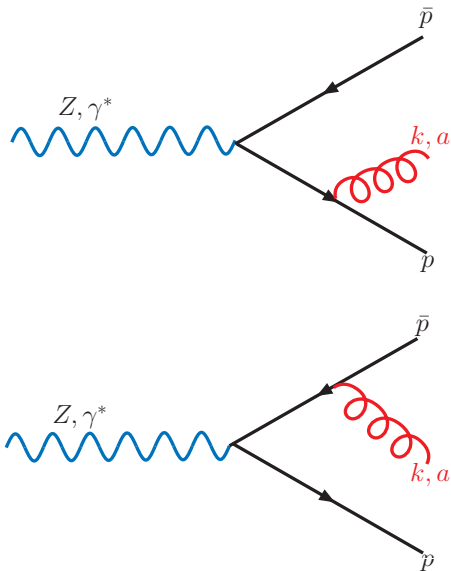
$$\begin{aligned} d\mathcal{S}_\phi &= \frac{\alpha_s C_F}{2\pi} dx_1 dx_2 \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} \\ &= \frac{\alpha_s C_F}{2\pi} \left( \frac{2}{\sin^2 \theta} \frac{1 + (1-x_3)^2}{x_3} - x_3 \right) d\cos \theta dx_3 \end{aligned}$$

●  $d\mathcal{S}$  diverges for  $\omega \rightarrow 0$ , **Infrared divergence** (needs virtual loop corrections, we'll say more if time permits)

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## Squaring soft/collinear

$$\mathcal{A}_{1g}(k \rightarrow 0) = -g_s t_a \left( \frac{p \cdot \epsilon}{p \cdot k} - \frac{\bar{p} \cdot \epsilon}{\bar{p} \cdot k} \right) \mathcal{A}_{0g}$$



Phase Space

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$\theta = \theta_{\angle pk}$ ,  $\phi = \text{azimuth}$

$$d\mathcal{S} \simeq \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

$$x_i = 2E_i / E_{\text{tot}} \quad p \rightarrow 1, \quad k \rightarrow 3$$

$$\begin{aligned} d\mathcal{S}_\phi &= \frac{\alpha_s C_F}{2\pi} dx_1 dx_2 \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} \\ &= \frac{\alpha_s C_F}{2\pi} \left( \frac{2}{\sin^2 \theta} \frac{1 + (1-x_3)^2}{x_3} - x_3 \right) d\cos \theta dx_3 \end{aligned}$$

●  $d\mathcal{S}$  diverges for  $\omega \rightarrow 0$ , **Infrared divergence** (needs virtual loop corrections, we'll say more if time permits)

●  $d\mathcal{S}$  diverges for  $\theta \rightarrow 0$  and  $\theta \rightarrow \pi$ , **collinear divergence**

● collinear divergence for  $x_1 \rightarrow 1$  or  $x_2 \rightarrow 1$  and **Infrared divergence** for  $x_3 \rightarrow 0$



## Splitting

$$\begin{aligned} d\mathcal{S}_\phi &\simeq \frac{\alpha_s C_F}{2\pi} \left( \frac{2}{\sin^2 \theta} \frac{1 + (1 - x_3)^2}{x_3} \right) d\cos \theta dx_3 \\ \frac{2d\cos \theta}{\sin^2 \theta} &= \frac{d\cos \theta}{1 - \cos \theta} + \frac{d\cos \theta}{1 + \cos \theta} = \frac{d\cos \theta}{1 - \cos \theta} + \frac{d\cos \bar{\theta}}{1 - \cos \bar{\theta}} \sim \frac{d\theta^2}{\theta^2} + \frac{d\bar{\theta}^2}{\bar{\theta}^2} \quad \text{for } \theta, \bar{\theta} \sim 0 \ll 1 \end{aligned}$$

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 d\mathcal{S}_\phi &\simeq \frac{\alpha_s C_F}{2\pi} \left( \frac{2}{\sin^2 \theta} \frac{1 + (1 - x_3)^2}{x_3} \right) d\cos\theta dx_3 \\
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 \end{aligned}$$

$q$  and  $\bar{q}$  as independent emitters, notion of splitting as a probability

$$d\sigma_{1g} \sim d\sigma_{0g} \sum_{q \rightarrow qg}^{\bar{q} \rightarrow \bar{q}g} C_F \frac{\alpha_s}{2\pi} \frac{d\theta^2}{\theta^2} \frac{1 + (1 - z)^2}{z} dz \quad (z \equiv x_3)$$

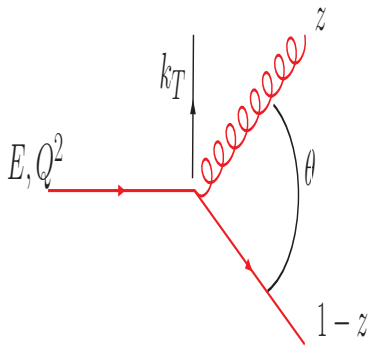
## Splitting

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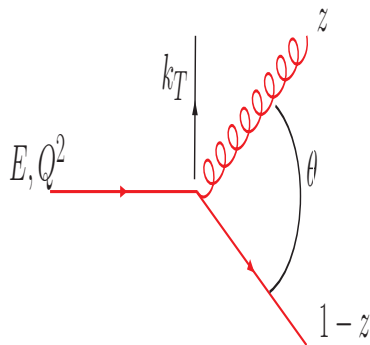
## Splitting

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different choices of the evolution variables, equivalent in the collinear limit (diff. in practice/different codes)

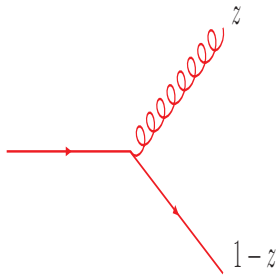
$$Q^2 = E^2 z(1-z)\theta^2 \quad k_T^2 = E^2 z^2(1-z)^2\theta^2$$

$$\frac{d\theta^2}{\theta^2} = \frac{dQ^2}{Q^2} = \frac{dk_T^2}{k_T^2}$$

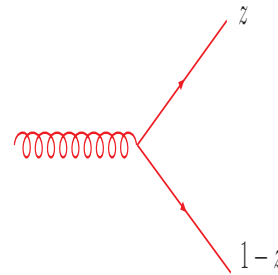
## DGLAP

This generalises to different parton branching (gluon, quarks)

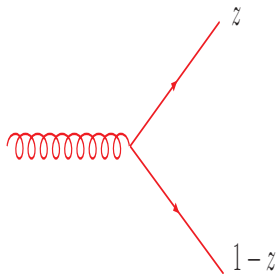
$$d\sigma_{bc} \sim d\sigma_a \frac{\alpha_s}{2\pi} \frac{d\theta^2}{\theta^2} P_{a \rightarrow bc}(z) dz$$



$$P_{gq}(z) = C_F \left( \frac{1+z^2}{1-z} \right)$$

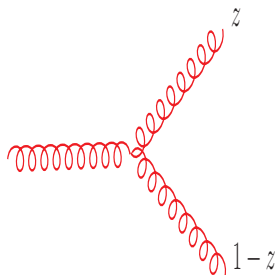


$$P_{qg}(z) = C_F \left( \frac{1+(1-z)^2}{z} \right)$$



$$P_{gg}(z) = T_R \left( z^2 + (1-z)^2 \right) \quad T_R = \frac{n_f}{2}$$

(divergences at  $z = 0, 1$  dealt with soft/virtual corr.)

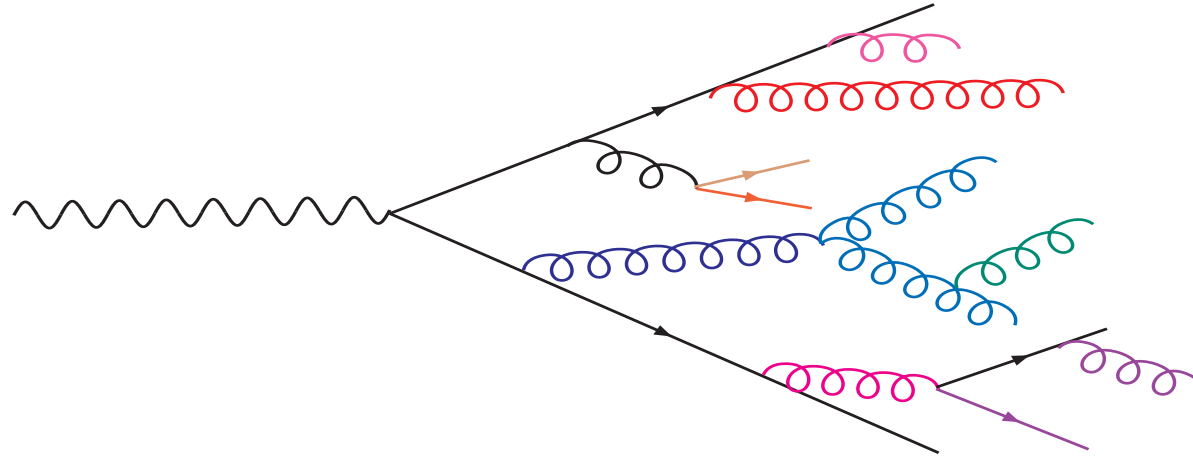


$$P_{gg}(z) = C_A \left( \frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right) \quad C_A = 3 \quad (C_F = 4/3)$$

**Gluons radiate the most**

$P(z, \phi)$  can be defined for polarisation effects

## Ex. Final State PS



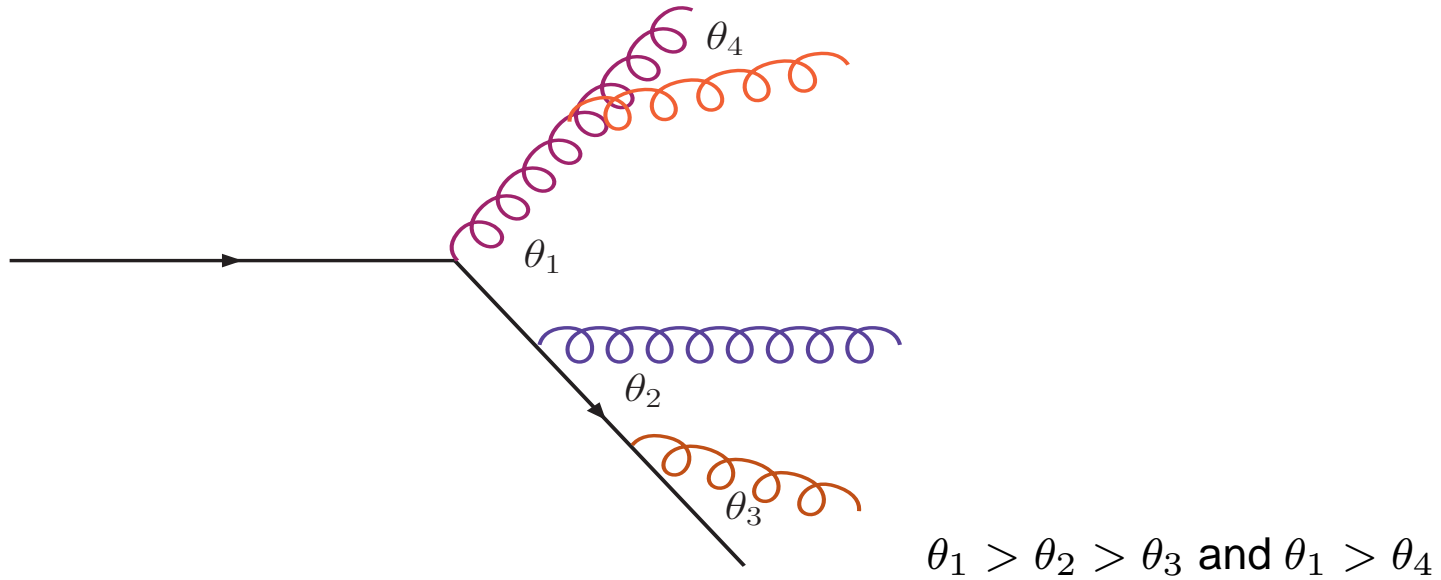
Need soft/collinear cut-offs to stay away from non perturbative physics. Details are model/code dependent

$$Q > m_0 = \min(m_{ij}) \sim 1\text{GeV}$$

$$z_{\min}(E, Q) < z < z_{\max}(E, Q)$$

$$k_T > k_{T,\min} \sim 0.5\text{GeV}$$

## Radiation is angle ordered



On average, emissions have decreasing angles with respect to emitters  
the jet is squeezed

## Sudakov Form Factor

### The Probability of real emission exponentiates

- Conservation of total probability  $\mathcal{P}_{\text{something}} + \mathcal{P}_{\text{nothing}} = 1 !$
- Product of probabilities as time evolves  $T \sim 1/Q$  evolves  
 $\mathcal{P}_{\text{nothing}}(0 < t < T) = \mathcal{P}_{\text{nothing}}(0 < t \leq T_1) \mathcal{P}_{\text{nothing}}(T_1 < t \leq T)$
- subdivide further  $T_i = (i/n)T, 0 \leq i \leq n$

$$\begin{aligned} \mathcal{P}_{\text{nothing}}(0 < t < T) &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} \mathcal{P}_{\text{nothing}}(T_i < t < T_{i+1}) \\ &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} (1 - \mathcal{P}_{\text{something}}(T_i < t < T_{i+1})) \\ &= \exp \left( - \lim_{n \rightarrow \infty} \sum_{i=0}^{n-1} \mathcal{P}_{\text{something}}(T_i < t < T_{i+1}) \right) \\ &= \exp \left( - \int_0^T \frac{d\mathcal{P}_{\text{something}}(t)}{dt} dt \right) \end{aligned}$$



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$$d\mathcal{P}_{\text{first}}(T) = d\mathcal{P}_{\text{something}}(T) \exp \left( - \int_0^T \frac{d\mathcal{P}_{\text{something}}(t)}{dt} dt \right)$$

The Probability of real emission exponentiates

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## Sudakov Form Factor

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$$d\mathcal{P}_{\text{first}}(T) = d\mathcal{P}_{\text{something}}(T) \exp\left(-\int_0^T \frac{d\mathcal{P}_{\text{something}}(t)}{dt} dt\right)$$

$$d\mathcal{P}_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \rightarrow bc}(z) dz \exp\left(-\sum_{bc} \int_{Q^2}^{Q_{\text{max}}^2} \frac{dQ'^2}{Q'^2} \int_{Q_0^2/Q^2}^{1-Q_0^2/Q^2} \frac{\alpha_s}{2\pi} P_{a \rightarrow bc}(z') dz'\right)$$

$Q_0$  = low cut-off scale

## Sudakov Form Factor

The Probability of real emission exponentiates

$$d\mathcal{P}_{\text{first}}(T) = d\mathcal{P}_{\text{something}}(T) \exp\left(-\int_0^T \frac{d\mathcal{P}_{\text{something}}(t)}{dt} dt\right)$$

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$Q_0$  = low cut-off scale

$\Delta(Q^2, Q_{\text{max}}^2)$ , Sudakov form factor

(probability of emitting no radiation between these 2 scales)

$\mathcal{P}_{\text{nothing}}$

(a given parton only branches once)

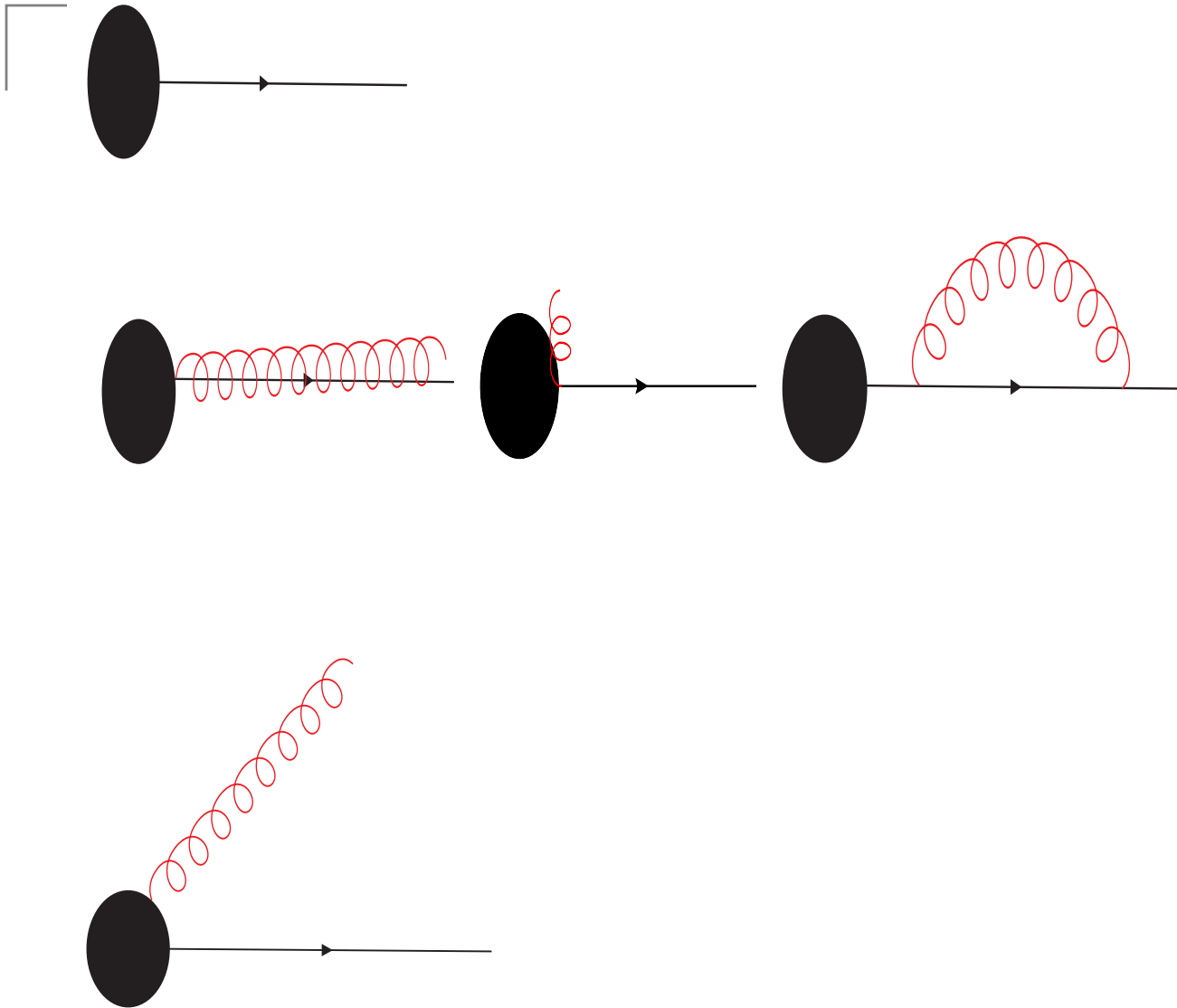
## Numerical MC Procedure of PS

- Start with a parton at high  $Q_{max}^2$  (typical of hard process)
- Work out the scale of the next branching,  $Q^2$  by generating a random number  $R \in [0, 1]$  and solving  $R = \Delta(Q_{max}^2, Q^2)$
- if no solution  $Q^2 > Q_0^2$  stop
- otherwise work out the type of the branching
- generate the momenta of the decay products using the splitting functions
- repeat the procedure for the newly produced partons

(some) differences between the MC for PS

- key difference is the evolution/scale variable
  - Angle  $\theta$  (ordering HERWIG)
  - Virtuality  $Q^2$
  - Transverse momentum  $k_T$
- $\int dQ^2/Q^2 = \log Q^2$ , LL the same but important sub-leading differences
- soft emission (coherence), recall this factorises at the amplitude level...

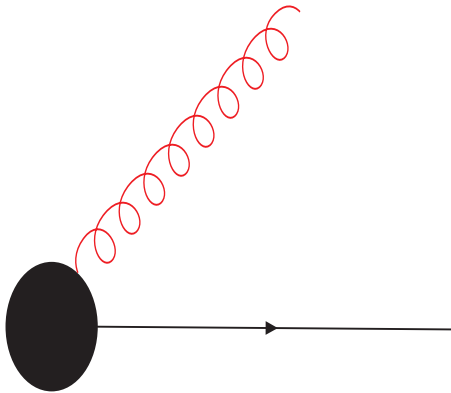
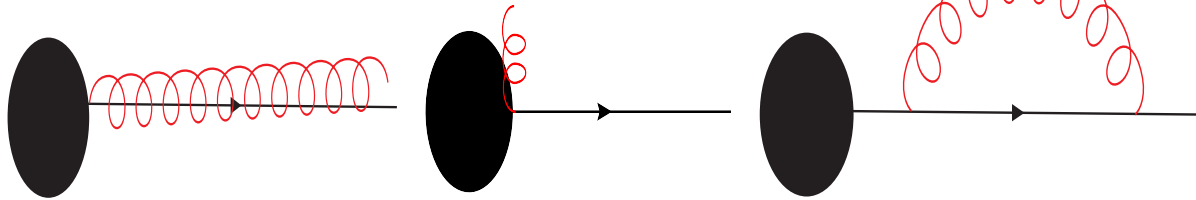
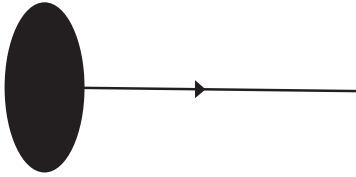
what difference?



Resolved and

what difference?

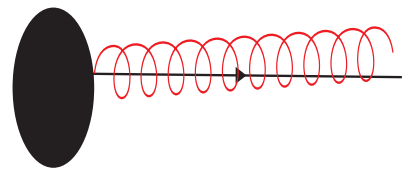
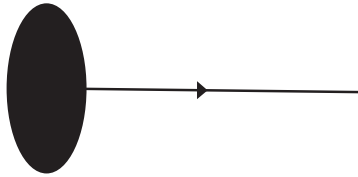
no radiation



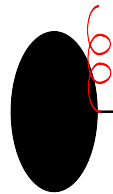
Resolved and

what difference?

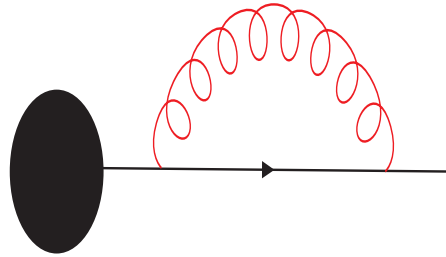
no radiation



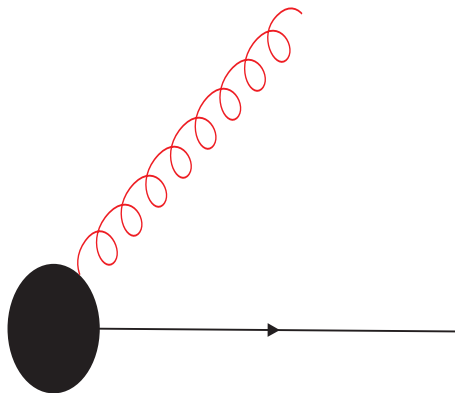
collinear



soft



virtual/loop



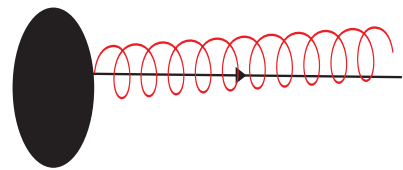
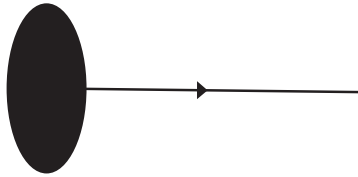
Resolved  $k_T > Q_0$



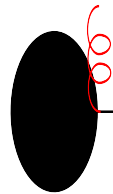
Resolved and

what difference?

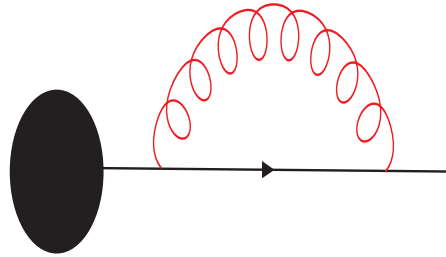
no radiation



collinear

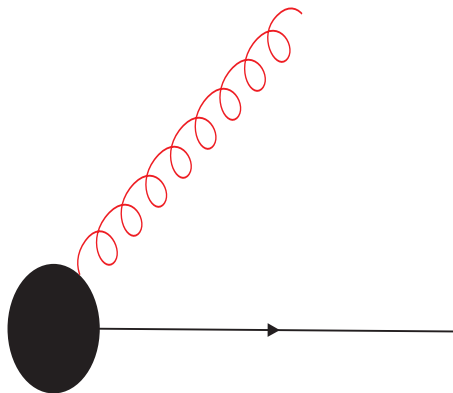


soft



virtual/loop

Unresolved from no radiation,  $k_T < Q_0$ . With addition of virtual, divergence tamed

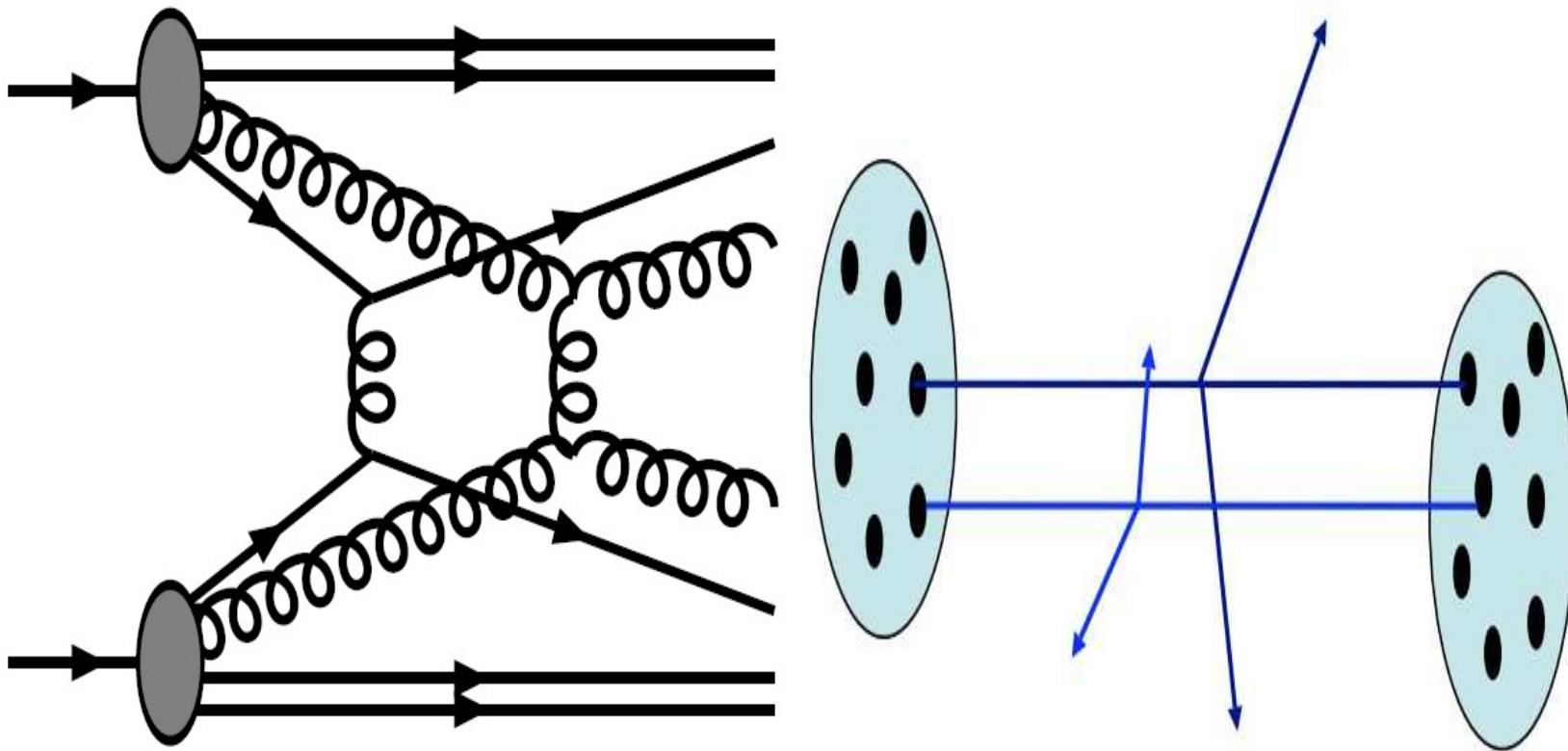


Resolved  $k_T > Q_0$

# *Event Generators*

Underlying Events and such

## Multiple Parton Interaction



## Multiple Parton Interaction

- For small  $p_{T,\min}$  and high energy inclusive parton-parton cross section is larger than proton-proton cross section
- More than one parton (per proton) scatter
- calls for a model of spatial distribution within the proton (perturbation theory gives n-scatter distribution)
- UE is not understood. It is modelled through some data that do not give strong constraints and hence extrapolation can be doubted.(minimum bias)

