

Heisenberg XXX/XXZ spin chains by Separation of Variables

recent advances

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Scalar products of separate states:

- N Kitanine, JM Maillet, G Niccoli, VT, J. Phys. A **49** (2016) 104002
- N Kitanine, JM Maillet, G Niccoli, VT, J. Phys. A **50** (2017) 224001
- N Kitanine, JM Maillet, G Niccoli, VT, arXiv:1807.05197

Recent Advances in Quantum Integrable Systems
Annecy, 10-14 September 2018

The Heisenberg spin-1/2 chain: an archetype of quantum integrable models

The XXZ spin-1/2 Heisenberg chain

$$H_{\text{XXZ}} = \sum_{m=1}^N \left\{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \right\}$$

- space of states: $\mathcal{H} = \bigotimes_{n=1}^N \mathcal{H}_n$ with $\mathcal{H}_n \simeq \mathbb{C}^2$
- $\sigma_m^{x,y,z} \in \text{End}(\mathcal{H}_n)$: local spin-1/2 operators (Pauli matrices) at site m
- $\Delta = \cosh \eta$: anisotropy parameter $\rightarrow \Delta = 1$ for XXX (isotropic) chain
- usually periodic boundary conditions are considered: $\sigma_{N+1}^\alpha = \sigma_1^\alpha$

- ★ First model solved via Bethe ansatz [Bethe, 1931]
- ★ More algebraic solution in the framework of the **Quantum Inverse Scattering Method** (QISM) [Faddeev, Sklyanin, Takhtajan, 1979]
 - ↪ solution based on the representation theory of the **Yang-Baxter algebra**

QISM framework for quantum integrable models

Yang-Baxter algebra \mathcal{A}_R :

- generators $T_{ij}(\lambda)$, $1 \leq i, j \leq n$ \leftarrow elements of the **monodromy matrix** $T(\lambda)$
 - commutation relations given by the **R-matrix** of the model:
$$R(\lambda - \mu) (T(\lambda) \otimes 1) (1 \otimes T(\mu)) = (1 \otimes T(\mu)) (T(\lambda) \otimes 1) R(\lambda - \mu)$$
 - $R(\lambda) \in \text{End}(\mathbb{C}^n \otimes \mathbb{C}^n)$ satisfies the **Yang-Baxter equation** (on $\mathbb{C}^n \otimes \mathbb{C}^n \otimes \mathbb{C}^n$):
$$R_{12}(\lambda_1 - \lambda_2) R_{13}(\lambda_1 - \lambda_3) R_{23}(\lambda_2 - \lambda_3) = R_{23}(\lambda_2 - \lambda_3) R_{13}(\lambda_1 - \lambda_3) R_{12}(\lambda_1 - \lambda_2)$$
- \hookrightarrow abelian subalgebra generated by $t(\lambda) = \text{tr } T(\lambda)$ \leftarrow **transfer matrix**
 $[t(\lambda), t(\mu)] = 0 \quad \forall \lambda, \mu$

A quantum integrable model with Hamiltonian H in the framework of the **Quantum Inverse Scattering Method** (QISM, Faddeev, Sklyanin, Takhtajan, 1979) is such that

- the space of states \mathcal{H} of the model is constructed as a representation space of \mathcal{A}_R
- H is obtained in terms of the transfer matrix $\rightarrow [H, t(\lambda)] = 0$
- the Yang-Baxter commutation relations are used to characterize the transfer matrix spectrum and eigenstates (\rightarrow spectrum and eigenstates of H)

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The Yang-Baxter algebra for the Heisenberg spin-1/2 chain

$\sigma_m^\alpha \longrightarrow$ **quantum Lax operator at site m**

$$L_m(\lambda) = \begin{pmatrix} \varphi(\lambda + \eta \sigma_m^z) & \varphi(\eta) \sigma_m^- \\ \varphi(\eta) \sigma_m^+ & \varphi(\lambda - \eta \sigma_m^z) \end{pmatrix} \in \text{End}(V_a \otimes \mathcal{H}_m)$$

$V_a \simeq \mathbb{C}^2$: auxiliary space

$\mathcal{H}_m \simeq \mathbb{C}^2$: local quantum spin space at site m

such that it satisfies the quadratic relation

$$R(\lambda - \mu) (L_m(\lambda) \otimes 1) (1 \otimes L_m(\mu)) = (1 \otimes L_m(\mu)) (L_m(\lambda) \otimes 1) R(\lambda - \mu)$$

where the R-matrix of the model is the following solution of the Yang-Baxter equation:

$$R(\lambda) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{\varphi(\lambda)}{\varphi(\lambda+\eta)} & \frac{\varphi(\eta)}{\varphi(\lambda+\eta)} & 0 \\ 0 & \frac{\varphi(\eta)}{\varphi(\lambda+\eta)} & \frac{\varphi(\lambda)}{\varphi(\lambda+\eta)} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{with} \quad \varphi(\lambda) = \begin{cases} \lambda & (\text{XXX chain}) \\ \sinh(\lambda) & (\text{XXZ chain}) \end{cases}$$

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\rightsquigarrow **monodromy matrix for a quasi-periodic chain with twist K ($K \in GL_2(\mathbb{C})$):**

$$\begin{aligned} T_K(\lambda) &= K L_N(\lambda) \dots L_2(\lambda) L_1(\lambda) \\ &= \begin{pmatrix} A_K(\lambda) & B_K(\lambda) \\ C_K(\lambda) & D_K(\lambda) \end{pmatrix}, \quad A_K(\lambda), B_K(\lambda), C_K(\lambda), D_K(\lambda) \in \text{End}(\mathcal{H}) \end{aligned}$$

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\rightsquigarrow **commuting transfer matrices:** $t_K(\lambda) = \text{tr } T_K(\lambda) = A_K(\lambda) + D_K(\lambda)$

$H_K \propto \frac{\partial}{\partial \lambda} \log t_K(\lambda) \Big|_{\lambda=0}$: Hamiltonian of the spin chain
with twisted boundary conditions: $\sigma_{N+1}^\alpha = K \sigma_1^\alpha K^{-1}$

Algebraic Bethe ansatz for the periodic chain

$$T(\lambda) = L_N(\lambda) \dots L_2(\lambda) L_1(\lambda) = \begin{pmatrix} A(\lambda) & B(\lambda) \\ C(\lambda) & D(\lambda) \end{pmatrix}$$

- there exists a **reference state** (the state $|0\rangle \equiv |\uparrow\uparrow \dots \uparrow\rangle$) such that

$$\begin{cases} C(\lambda)|0\rangle = 0 \\ A(\lambda)|0\rangle = a(\lambda)|0\rangle \\ D(\lambda)|0\rangle = d(\lambda)|0\rangle \end{cases}$$

- The eigenstates of the transfer matrix $t(\lambda)$ (and of the Hamiltonian) are constructed as **Bethe states**:

$$|\{\lambda\}\rangle = \prod_{k=1}^n B(\lambda_k)|0\rangle \in \mathcal{H}, \quad \langle\{\lambda\}| = \langle 0| \prod_{k=1}^n C(\lambda_k) \in \mathcal{H}^*$$

- eigenstates (“on-shell” Bethe states) if $\{\lambda\}$ solution of the **Bethe equations**:

$$a(\lambda_j) \prod_{k \neq j} \varphi(\lambda_j - \lambda_k - \eta) = d(\lambda_j) \prod_{k \neq j} \varphi(\lambda_j - \lambda_k + \eta), \quad 1 \leq j \leq n$$

- “off-shell” Bethe states otherwise

It is possible to have access to **correlation functions** from the **study of the periodic XXZ chain by algebraic Bethe Ansatz**

- either numerically [Caux et al. 2005...]
- either analytically: large distance asymptotic behavior at the thermodynamic limit... [Kitanine, Kozłowski, Maillet, Slavnov, VT 2008, 2011...]

Both approaches are based

- on the form factor decomposition of the correlation functions:

$$\langle \psi_g | \sigma_n^\alpha \sigma_{n'}^\beta | \psi_g \rangle = \sum_{\substack{\text{eigenstates} \\ | \psi_i \rangle}} \langle \psi_g | \sigma_n^\alpha | \psi_i \rangle \cdot \langle \psi_i | \sigma_{n'}^\beta | \psi_g \rangle$$

- on the **exact determinant representations for the form factors** $\langle \psi_i | \sigma_n^\alpha | \psi_j \rangle$ **in finite volume** [Kitanine, Maillet, VT 1999], obtained from
 - the action of local operators on Bethe states (using the solution of the quantum inverse problem, e.g. $\sigma_n^- = t(0)^{n-1} B(0) t(0)^{-n}$)
 - the use of **Slavnov's determinant representation** for the scalar products of Bethe states [Slavnov 89]

$$\langle \{ \mu \}_{\text{off-shell}} | \{ \lambda \}_{\text{on-shell}} \rangle \propto \det_{1 \leq j, k \leq n} \left[\frac{\partial \tau(\mu_j | \{ \lambda \})}{\partial \lambda_k} \right]$$

where $t(\mu_j) | \{ \lambda \} \rangle = \tau(\mu_j | \{ \lambda \}) | \{ \lambda \} \rangle$

Generalizations to more complicated integrable models ?

Limitations of the ABA approach:

- it requires the clear identification of a **reference state** $|0\rangle$
 - ↪ there are some interesting models for which ABA cannot be applied
- even if ABA is a priori applicable, the **completeness** of the eigenstate construction is a delicate issue
- the ABA Bethe states have a complicated combinatorial structure
 - ↪ the **generalization of Slavnov's formula for the scalar products of Bethe states** may be a very difficult problem

Integrable generalizations of the XXZ Heisenberg chain

It has several interesting generalizations which are still integrable (in the sense that one can still define a family of commuting transfer matrices):

- ★ **XYZ model** (related to 8-vertex model):

$$H_{\text{XYZ}} = \sum_{m=1}^N \{ J_x \sigma_m^x \sigma_{m+1}^x + J_y \sigma_m^y \sigma_{m+1}^y + J_z \sigma_m^z \sigma_{m+1}^z \}$$

- ★ **Open spin chains** (with boundary magnetic fields):

$$H_{\text{XXZ}}^{\text{open}} = \sum_{m=1}^{N-1} \{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \} \\ + h_-^x \sigma_1^x + h_-^y \sigma_1^y + h_-^z \sigma_1^z + h_+^x \sigma_N^x + h_+^y \sigma_N^y + h_+^z \sigma_N^z$$

- ★ higher spins or higher ranks. . .

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★ higher spins or higher ranks...

The reflection algebra for the XXZ open spin chain

The open spin chains are solvable in the framework of the representation theory of the **reflection algebra** (or **boundary Yang-Baxter algebra**) [Sklyanin 88]

- generators $\mathcal{U}_{ij}(\lambda)$, $1 \leq i, j \leq n$ \leftarrow elements of the **boundary monodromy matrix** $\mathcal{U}(\lambda)$
- commutation relations given by the **reflection equation**:

$$R_{12}(\lambda - \mu) \mathcal{U}_1(\lambda) R_{12}(\lambda + \mu - \eta) \mathcal{U}_2(\mu) = \mathcal{U}_2(\mu) R_{12}(\lambda + \mu - \eta) \mathcal{U}_1(\lambda) R_{12}(\lambda - \mu)$$

\hookrightarrow most general 2×2 solution of the refl. eq [de Vega, Gonzalez-Ruiz; Ghoshal, Zamolodchikov 93] :

$$K(\lambda; \zeta, \kappa, \tau) = \frac{1}{\sinh \zeta} \begin{pmatrix} \sinh(\lambda - \frac{\eta}{2} + \zeta) & \kappa e^{\tau} \sinh(2\lambda - \eta) \\ \kappa e^{-\tau} \sinh(2\lambda - \eta) & \sinh(\zeta - \lambda + \frac{\eta}{2}) \end{pmatrix}$$

\rightsquigarrow boundary matrices $K^{-}(\lambda) \equiv K(\lambda; \zeta_{-}, \kappa_{-}, \tau_{-})$ and $K^{+}(\lambda) \equiv K(\lambda + \eta; \zeta_{+}, \kappa_{+}, \tau_{+})$ describing most general boundary fields in left/right boundaries:

$$h_{\pm}^x = 2\kappa_{\pm} \cosh \tau_{\pm}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \tau_{\pm}, \quad h_{\pm}^z = \sinh \eta \coth \zeta_{\pm}$$

$$\rightsquigarrow \mathcal{U}(\lambda) = T(\lambda) K_{-}(\lambda) \sigma^y T^t(-\lambda) \sigma^y = \begin{pmatrix} \mathcal{A}(\lambda) & \mathcal{B}(\lambda) \\ \mathcal{C}(\lambda) & \mathcal{D}(\lambda) \end{pmatrix}$$

$$\rightsquigarrow \text{transfer matrix:} \quad \mathcal{T}(\lambda) = \text{tr}\{K^{+}(\lambda)\mathcal{U}(\lambda)\} \quad [\mathcal{T}(\lambda), \mathcal{T}(\mu)] = 0$$

$$H_{\text{XXZ}}^{\text{open}} \propto \frac{d}{d\lambda} \mathcal{T}(\lambda) \Big|_{\lambda=\eta/2}$$

The open spin chains: limitations of the solution by ABA

- ★ In the **diagonal case** ($\kappa_{\pm} = 0$, boundary fields along σ_1^z and σ_N^z only):
 - the state $|0\rangle$ can still be used as a reference state to construct the eigenstates as Bethe states in the ABA framework [Sklyanin 88]
$$|\{\lambda\}\rangle = \prod_{k=1}^n \mathcal{B}(\lambda_k) |0\rangle \in \mathcal{H}, \quad \langle\{\lambda\}| = \langle 0| \prod_{k=1}^n \mathcal{C}(\lambda_k) \in \mathcal{H}^*$$
 - \exists generalization of Slavnov's formula for the scalar products of Bethe states $\langle\{\mu\}_{\text{off-shell}}|\{\lambda\}_{\text{on-shell}}\rangle$ [Tsuchiya 98; Wang 02]
 - correlation functions can be computed (but no simple closed formula for the form factors) [Kitanine et al. 07]
- ★ it is possible to generalize Bethe ansatz equations to other cases with nevertheless some **constraints on the boundary fields** [Nepomechie 03], but
 - problems in the ABA construction of a **complete** set of Bethe states both in \mathcal{H} and \mathcal{H}^* [Cao et al 03; Yang, Zhang 07; Filali, Kitane 11]
 \rightsquigarrow scalar products and correlation functions cannot be computed
- ★ most general boundaries ? an ABA solution is missing...

A complementary approach to ABA: Sklyanin's quantum Separation of Variables (SOV)

Goal: identify a basis of the space of state which "separates the variables" for the transfer matrix spectral problem

Idea: In the QISM framework, use the "operator roots" \hat{b}_j of the operator $B(\lambda)$ from the monodromy matrix to construct this basis [Sklyanin 85,90]

↪ Conditions on $B(\lambda)$: $B(\lambda)$ is diagonalizable with simple spectrum

↪ N commuting "operators roots" \hat{b}_j (with $\text{Spec}(\hat{b}_j) \cap \text{Spec}(\hat{b}_k) = \emptyset$ if $j \neq k$) which can be used to define a basis of the space of states \mathcal{H} :

$$\begin{aligned} |\mathbf{b}\rangle \quad \text{with} \quad \mathbf{b} = (b_1, \dots, b_N) \in \text{Spec}(\hat{b}_1) \times \dots \times \text{Spec}(\hat{b}_N) \\ \hat{b}_n |\mathbf{b}\rangle = b_n |\mathbf{b}\rangle \end{aligned}$$

This basis is moreover such that

$$\begin{aligned} A(\hat{b}_n) |b_1, \dots, b_n, \dots, b_N\rangle &= \Delta_+(b_n) |b_1, \dots, b_n + \eta, \dots, b_N\rangle \\ D(\hat{b}_n) |b_1, \dots, b_n, \dots, b_N\rangle &= \Delta_-(b_n) |b_1, \dots, b_n - \eta, \dots, b_N\rangle \end{aligned}$$

↪ In this basis, the multi-dimensional spectral problem for the transfer matrix $t(\lambda) = A(\lambda) + D(\lambda)$ can be reduced to a set of N **one-dimensional finite-difference spectral problems**

A complementary approach to ABA: Sklyanin's quantum Separation of Variables (SOV)

↪ In this basis, the multi-dimensional spectral problem for the transfer matrix $t(\lambda) = A(\lambda) + D(\lambda)$ can be reduced to a set of N **one-dimensional finite-difference spectral problems**:

$$t(\lambda) |\Psi_\tau\rangle = \tau(\lambda) |\Psi_\tau\rangle,$$
$$\text{with } |\Psi_\tau\rangle = \sum_{\mathbf{b}=(b_1, \dots, b_N)} \psi_\tau(b_1, \dots, b_N) |\mathbf{b}\rangle,$$

is solved by

$$\psi_\tau(b_1, \dots, b_N) = \prod_{n=1}^N Q_\tau(b_n)$$

where $Q_\tau(b_n)$ and $\tau(b_n)$ are solution of a **discrete version of Baxter's T-Q equation**, for $n \in \{1, \dots, N\}$, $b_n \in \text{Spec}(\hat{b}_n)$:

$$\tau(b_n) Q_\tau(b_n) = \Delta_+(b_n) Q(b_n + \eta) + \Delta_-(b_n) Q_\tau(b_n - \eta)$$

Remark: the completeness is given by construction

SOV for the antiperiodic XXZ chain

One can apply this process to the **antiperiodic** monodromy matrix (with **inhomogeneity parameters** ξ_1, \dots, ξ_N):

$$\begin{aligned}\bar{T}(\lambda) &= \sigma^x L_N(\lambda - \xi_N) \dots L_2(\lambda - \xi_2) L_1(\lambda - \xi_1) \\ &= \begin{pmatrix} \bar{A}(\lambda) & \bar{B}(\lambda) \\ \bar{C}(\lambda) & \bar{D}(\lambda) \end{pmatrix} = \begin{pmatrix} C(\lambda) & D(\lambda) \\ A(\lambda) & B(\lambda) \end{pmatrix}\end{aligned}$$

- $\bar{B}(\lambda) = D(\lambda)$ is a (trigonometric) polynomial of degree N with N commuting operator roots \hat{b}_n
- $\text{Spec}(\hat{b}_n) = \{\xi_n, \xi_n - \eta\} \pmod{i\pi}$
→ the simplicity condition is fulfilled if $\xi_j \neq \xi_k, \xi_k \pm \eta \pmod{i\pi}$ for $j \neq k$

↪ **basis** $|\mathbf{b}\rangle$ of \mathcal{H} and $\langle \mathbf{b}|$ of \mathcal{H}^* which separate the variables for the spectral problem for the antiperiodic transfer matrix $\bar{t}(\lambda) = \bar{A}(\lambda) + \bar{D}(\lambda)$

Remark: Since \mathbf{b} is of the form $(\xi_1 - h_1\eta, \dots, \xi_N - h_N\eta)$ with $\mathbf{h} = (h_1, \dots, h_N) \in \{0, 1\}^N$, we shall use from now on the notation $|\mathbf{h}\rangle$ and $\langle \mathbf{h}|$ instead of $|\mathbf{b}\rangle$ and $\langle \mathbf{b}|$.

SOV for the antiperiodic XXZ chain

$$\bar{T}(\lambda) = \sigma^x L_N(\lambda - \xi_N) \dots L_2(\lambda - \xi_2) L_1(\lambda - \xi_1) = \begin{pmatrix} \bar{A}(\lambda) & \bar{B}(\lambda) \\ \bar{C}(\lambda) & \bar{D}(\lambda) \end{pmatrix}$$

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\rightsquigarrow basis $|\mathbf{b}\rangle$ of \mathcal{H} and $\langle \mathbf{b}|$ of \mathcal{H}^* (denoted by $|\mathbf{h}\rangle$ and $\langle \mathbf{h}|$ with $\mathbf{h} = (h_1, \dots, h_N) \in \{0, 1\}^N$, $b_n \equiv \xi_n - h_n \eta$) which separate the variables for the spectral problem for the antiperiodic transfer matrix $\bar{t}(\lambda) = \bar{A}(\lambda) + \bar{D}(\lambda)$

\rightsquigarrow eigenvalues $\tau(\lambda)$ of $\bar{t}(\lambda) = \bar{A}(\lambda) + \bar{D}(\lambda)$: (trigonometric) polynomials of degree $N - 1$ s. t. there exists $Q_\tau \in \text{Fun}(\cup_{j=1}^N \{\xi_j, \xi_j - \eta\}) \pmod{i\pi}$ satisfying

$$\tau(b_n) Q_\tau(b_n) = -a(b_n) Q_\tau(b_n - \eta) + d(b_n) Q_\tau(b_n + \eta),$$

for $b_n \in \{\xi_n, \xi_n - \eta\}$, $1 \leq n \leq N$.

\rightsquigarrow corresponding eigenvectors: $|\Psi_\tau\rangle = \sum_{\mathbf{h} \in \{0,1\}^N} \prod_{a=1}^N Q_\tau(\xi_a - h_a \eta) V_{\xi+h\eta} |\mathbf{h}\rangle$

where $V_{\xi+h\eta} = \prod_{b < a} \varphi(\xi_a + h_a \eta - \xi_b - h_b \eta)$

Scalar products/form factors in antiperiodic XXZ chain

- The transfer matrix eigenstates are particular cases of “separate states”:

$$\langle \alpha | = \sum_{\mathbf{h} \in \{0,1\}^N} \prod_{a=1}^N \alpha(\xi_a - h_a \eta) V_{\xi - h\eta} \langle \mathbf{h} |$$

$$| \beta \rangle = \sum_{\mathbf{h} \in \{0,1\}^N} \prod_{a=1}^N \beta(\xi_a - h_a \eta) V_{\xi + h\eta} | \mathbf{h} \rangle$$

where α, β are arbitrary functions on $\cup_{j=1}^N \{\xi_j, \xi_j - \eta\} \pmod{i\pi}$

- scalar product for SOV states: $\langle \mathbf{h} | \mathbf{k} \rangle = \frac{\delta_{\mathbf{h}, \mathbf{k}}}{V_{\xi - h\eta}}$

where $V_{\xi} = \prod_{k < j} \varphi(\xi_j - \xi_k) = \det_{1 \leq i, j \leq N} [\tilde{\varphi}(\xi_i)^{j-1}]$

↪ determinant representation for the scalar product of left/right separate states (for XXX):

$$\langle \alpha | \beta \rangle = \det_{1 \leq i, j \leq N} \left[\sum_{h=0}^1 \alpha(\xi_i - h\eta) \beta(\xi_i - h\eta) (\xi_i + h\eta)^{j-1} \right]$$

- action of σ_m^{α} on $| \mathbf{h} \rangle \rightarrow$ form factors reduce to scalar products of separate states

↪ determinant representations for the finite-size form factors

The XXZ open spin chain by SOV (non diagonal case)

- **Similar construction** can be performed for the **inhomogeneous** XXZ open spin chain with (at least) one **triangular boundary matrix** [Niccoli 13]
- In the XXX case, the most general boundaries can be reduced to this case by means of the $SU(2)$ symmetry [cf. also Frahm et al. 08]
- In the XXZ case, the most general boundaries can be reduced to this case by means of a **Vertex-IRF transformation** (dynamical gauge transformation) [cf. Baxter 73; Felder & Varchenko 93; Cao et al, 03...]

$$R_{12}(\lambda - \mu) S_1(\lambda|\beta) S_2(\mu|\beta + \sigma_1^z) = S_2(\mu|\beta) S_1(\lambda|\beta + \sigma_2^z) R_{12}^{\text{dyn}}(\lambda - \mu|\beta)$$

$$K_-^{\text{dyn}}(\lambda|\beta) = S^{-1}(-\lambda + \eta/2|\beta) K_-(\lambda) S(\lambda - \eta/2|\beta)$$

with

$$S(\lambda|\beta) = \begin{pmatrix} e^{\lambda - \eta(\beta + \alpha)} & e^{\lambda + \eta(\beta - \alpha)} \\ 1 & 1 \end{pmatrix}$$

↪ new boundary monodromy matrix $\mathcal{U}_-^{\text{dyn}}(\lambda|\beta)$

$$\begin{aligned} R_{21}^{\text{dyn}}(\lambda - \mu|\beta) \mathcal{U}_1^{\text{dyn}}(\lambda|\beta + \sigma_2^z) R_{12}^{\text{dyn}}(\lambda + \mu - \eta|\beta) \mathcal{U}_2^{\text{dyn}}(\mu|\beta + \sigma_1^z) \\ = \mathcal{U}_2^{\text{dyn}}(\mu|\beta + \sigma_1^z) R_{21}^{\text{dyn}}(\lambda + \mu - \eta|\beta) \mathcal{U}_1^{\text{dyn}}(\lambda|\beta + \sigma_2^z) R_{12}^{\text{dyn}}(\lambda - \mu|\beta) \end{aligned}$$

↪ spectrum and eigenvectors of

$$\mathcal{T}^{\text{dyn}}(\lambda|\beta) = S_{1\dots N}(\{\xi\}|\beta)^{-1} \mathcal{T}(\lambda) S_{1\dots N}(\{\xi\}|\beta)$$

- Similar formulas also hold for the scalar products of separate states

Problems...

All these results (characterization of the transfer matrix spectrum and eigenstates, expressions for the scalar products/form factors...) **depend on a non-trivial way on the inhomogeneity parameters** of the model

↪ the study of the **homogeneous** (\rightarrow physical model) or **thermodynamic** limits is not easy !

↪ **2 main problems to be solved:**

- 1 reformulate the **discrete** characterization (in terms of discrete T-Q equations) of the spectrum in a more convenient way, i.e. in terms of **continuous** T-Q equations
↪ Bethe equations (and Bethe-type representation for the eigenstates)
- 2 transform the determinant representations for the scalar products/form factors into a more convenient form for the consideration of the homogeneous/thermodynamic limit

From discrete to continuous T-Q equations

In the **antiperiodic XXX/XXZ case**, the SOV characterization of the spectrum (in terms of discrete T-Q eq) can be equivalently **reformulated in terms of solutions of a functional T-Q equation**:

An entire function $\tau(\lambda)$ is an eigenvalue of the antiperiodic transfer matrix iff **there exists a unique function** $Q(\lambda) \in \Sigma_Q$ such that

$$\tau(\lambda) Q(\lambda) = -a(\lambda) Q(\lambda - \eta) + d(\lambda) Q(\lambda + \eta).$$

where Σ_Q is the class of functions $Q(\lambda)$ of the form:

- for XXX: $Q(\lambda) = \prod_{j=1}^R (\lambda - \lambda_j), \quad R \leq N,$
- for XXZ: $Q(\lambda) = \prod_{j=1}^N \sinh\left(\frac{\lambda - \lambda_j}{2}\right), \quad [\text{Batchelor et al. 95; Niccoli, VT 15}]$

with $\lambda_j \in \mathbb{C} \setminus \{\xi_1, \dots, \xi_N\}$.

↪ **complete** description of the spectrum in terms of the corresponding Bethe equations for the roots λ_j of $Q(\lambda)$

Remark: In the XXZ case, one has to impose moreover that $\tau(\lambda)$ satisfies the periodicity condition $\tau(\lambda + i\pi) = (-1)^{N-1} \tau(\lambda)$

From discrete to continuous T-Q equations

In the (non-diagonal) open XXX/XXZ case, such a reformulation is not known in general. However, it can be shown [Kitanine, Maillet, Niccoli 13] that the SOV characterization of the spectrum (in terms of discrete T-Q eq) can be equivalently reformulated in terms of polynomials (in λ^2 for XXX and in $\cosh 2\lambda$ for XXZ) Q-solutions of a functional T-Q equation with an inhomogeneous term (cf also [Cao et al. 13; Belliard, Crampé 13...]):

An entire function $\tau(\lambda)$ is an eigenvalue of the antiperiodic transfer matrix iff there exists a unique function $Q(\lambda) \in \Sigma_Q$ such that

$$\tau(\lambda) Q(\lambda) = \mathbf{A}(\lambda) Q(\lambda - \eta) + \mathbf{A}(-\lambda) Q(\lambda + \eta) + \mathbf{F}(\lambda),$$

where $\mathbf{A}(\lambda) \equiv \mathbf{A}_{\zeta_{\pm}, \kappa_{\pm}}(\lambda)$ and $\mathbf{F}(\lambda) \equiv \mathbf{F}_{\zeta_{\pm}, \kappa_{\pm}, \tau_{\pm}}(\lambda)$ depend on the boundary parameters, with $\mathbf{F}(\xi_n) = \mathbf{F}(\xi_n - \eta) = 0$, $n = 1, \dots, N$.

$\mathbf{F} = 0$ identically \iff Nepomechie's constraint on the boundary parameters

\rightsquigarrow If Nepomechie's constraint on the boundary parameters is satisfied, one recovers a complete characterization of the spectrum in terms of polynomials (in λ^2 for XXX and in $\cosh 2\lambda$ for XXZ) Q-solutions of

$$\tau(\lambda) Q(\lambda) = \mathbf{A}(\lambda) Q(\lambda - \eta) + \mathbf{A}(-\lambda) Q(\lambda + \eta)$$

+ the SOV construction also provides the complete set of eigenstates (both in \mathcal{H} and \mathcal{H}^*)

Determinant representations for the scalar products and form factors: antiperiodic XXX case [Kitanine, Maillet, Niccoli, VT 15]

For two separate states

$$\langle \alpha | = \sum_{\mathbf{h} \in \{0,1\}^N} \prod_{a=1}^N \alpha(\xi_a - h_a \eta) V_{\xi - h\eta} \langle \mathbf{h} |, \quad | \beta \rangle = \sum_{\mathbf{h} \in \{0,1\}^N} \prod_{a=1}^N \beta(\xi_a - h_a \eta) V_{\xi + h\eta} | \mathbf{h} \rangle$$

$$\text{with } \alpha(\lambda) = \prod_{j=1}^p (\lambda - \alpha_j), \quad \beta(\lambda) = \prod_{j=1}^q (\lambda - \beta_j) \quad \text{and} \quad V_{\xi} = \det_{1 \leq i, j \leq N} [\xi_i^{j-1}]$$

$$\langle \alpha | \beta \rangle = \det_{1 \leq i, j \leq N} \left[\sum_{h=0}^1 \alpha(\xi_i - h\eta) \beta(\xi_i - h\eta) (\xi_i + h\eta)^{j-1} \right] \quad (1)$$

Determinant representations for the scalar products and form factors: antiperiodic XXX case [Kitanine, Maillet, Niccoli, VT 15]

For two separate states $\langle \alpha |$ and $|\beta \rangle$, $\alpha(\lambda) = \prod_{j=1}^p (\lambda - \alpha_j)$, $\beta(\lambda) = \prod_{j=1}^q (\lambda - \beta_j)$

$$\langle \alpha | \beta \rangle = \det_{1 \leq i, j \leq N} \left[\sum_{h=0}^1 \alpha(\xi_i - h\eta) \beta(\xi_i - h\eta) (\xi_i + h\eta)^{j-1} \right] \quad (1)$$

- When $p + q = N$, (1) can be transformed, through some algebraic identities, into an **Izergin determinant** (symmetric in the two sets of variables $\{\xi_j\}$ and $\{\alpha_j\} \cup \{\beta_j\}$), and then into a **similar determinant in which the role of the set of variables $\{\xi_j\}$ and $\{\gamma_j\} \equiv \{\alpha_j\} \cup \{\beta_j\}$ are exchanged**:

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{h=0}^1 \prod_{\ell=1}^N (\gamma_i + h\eta - \xi_\ell) (\gamma_i - h\eta)^{j-1} \right] \quad (2)$$

- Generalization to $p + q \neq N$ by considering limits of the previous result
- In its turn, (2) can be transformed into a **generalized version of Slavnov's determinant** (which reduces to the usual Slavnov determinant when $p = q$ and when one of the state is an eigenstate)

⇒ One can express the form factors of local operators in a form similar to ABA

Determinant representations for the scalar products: open XXX chain (non-diagonal case) [Kitanine, Maillet, Niccoli, VT 16]

For two separate states $\langle \alpha |, | \beta \rangle$, with $\alpha(\lambda) = \prod_{j=1}^p (\lambda^2 - \alpha_j^2)$, $\beta(\lambda) = \prod_{j=1}^q (\lambda^2 - \beta_j^2)$

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq N} \left[\sum_{\epsilon = \pm} f_{\bar{\zeta}_+, \bar{\zeta}_-}(\epsilon \xi_i) \alpha\left(\xi_i - \epsilon \frac{\eta}{2}\right) \beta\left(\xi_i - \epsilon \frac{\eta}{2}\right) \left(\xi_i + \epsilon \frac{\eta}{2}\right)^{2(j-1)} \right] \quad (3)$$

where $f_{\bar{\zeta}_+, \bar{\zeta}_-}(\lambda)$ depends on combinations $\bar{\zeta}_{\pm}$ of the \pm boundary parameters

- When $p + q = N$, (3) can be transformed, similarly as in the closed XXX case, into a determinant which is symmetric by exchange of the two sets of variables $\{\xi_j\}$ and $\{\alpha_j\} \cup \{\beta_j\}$ with $\bar{\zeta}_{\pm} \rightarrow \frac{\eta}{2} - \bar{\zeta}_{\pm}$:

$$\langle \alpha | \beta \rangle \propto \mathcal{I}_{\bar{\zeta}_+, \bar{\zeta}_-}(\{\xi\}, \{\alpha\} \cup \{\beta\}) = \mathcal{I}_{\frac{\eta}{2} - \bar{\zeta}_+, \frac{\eta}{2} - \bar{\zeta}_-}(\{\alpha\} \cup \{\beta\}, \{\xi\})$$

$$\text{with } \mathcal{I}_{\xi_+, \xi_-}(\{x\}, \{y\}) \propto \det_N \left[\sum_{\epsilon = \pm} \epsilon \frac{(x + \epsilon \xi_+)(x + \epsilon \xi_-)}{x[(x + \epsilon \frac{\eta}{2})^2 - y^2]} \right]$$

and then into a **similar determinant in which the role of the set of variables $\{\xi_j\}$ and $\{\gamma_j\} \equiv \{\alpha_j\} \cup \{\beta_j\}$ are exchanged:**

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{\epsilon = \pm} f_{\frac{\eta}{2} - \bar{\zeta}_+, \frac{\eta}{2} - \bar{\zeta}_-}(\epsilon \gamma_i) \prod_{\ell=1}^N \left(\left(\gamma_i - \epsilon \frac{\eta}{2} \right)^2 - \xi_{\ell}^2 \right) \left(\xi_i + \epsilon \frac{\eta}{2} \right)^{2(j-1)} \right]$$

Determinant representations for the scalar products: open XXX chain (non-diagonal case) [Kitanine, Maillet, Niccoli, VT 16]

For two separate states $\langle \alpha |, | \beta \rangle$, with $\alpha(\lambda) = \prod_{j=1}^p (\lambda^2 - \alpha_j^2)$, $\beta(\lambda) = \prod_{j=1}^q (\lambda^2 - \beta_j^2)$

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq N} \left[\sum_{\epsilon = \pm} f_{\bar{\xi}_+, \bar{\xi}_-}(\epsilon \xi_i) \alpha\left(\xi_i - \epsilon \frac{\eta}{2}\right) \beta\left(\xi_i - \epsilon \frac{\eta}{2}\right) \left(\xi_i + \epsilon \frac{\eta}{2}\right)^{2(j-1)} \right] \quad (3)$$

where $f_{\bar{\xi}_+, \bar{\xi}_-}(\lambda)$ depends on combinations $\bar{\xi}_{\pm}$ of the \pm boundary parameters

- When $p + q = N$, (3) can be transformed into a **similar determinant in which the role of the set of variables $\{\xi_j\}$ and $\{\gamma_j\} \equiv \{\alpha_j\} \cup \{\beta_j\}$ are exchanged:**

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{\epsilon = \pm} f_{\frac{\eta}{2} - \bar{\xi}_+, \frac{\eta}{2} - \bar{\xi}_-}(\epsilon \gamma_i) \prod_{\ell=1}^N \left(\left(\gamma_i - \epsilon \frac{\eta}{2} \right)^2 - \xi_{\ell}^2 \right) \left(\xi_i + \epsilon \frac{\eta}{2} \right)^{2(j-1)} \right]$$

- Generalization to $p + q \neq N$ by considering limits of the previous result
- In its turn, this new determinant can be transformed into a **generalized version of Slavnov's determinant**
- In the **case with a constraint**, the determinant simplifies if one of the state is an eigenstate

Determinant representations for the scalar products: open XXZ chain (non-diagonal case) [Kitanine, Maillet, Niccoli, VT 18]

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq N} \left[\sum_{\epsilon = \pm} f_{\{a\}}(\epsilon \xi_i) \alpha \left(\xi_i - \epsilon \frac{\eta}{2} \right) \beta \left(\xi_i - \epsilon \frac{\eta}{2} \right) \cosh^{j-1}(2\xi_i + \epsilon \eta) \right] \quad (4)$$

$$\text{with } \alpha(\lambda) = \prod_{j=1}^p (\cosh 2\lambda - \cosh 2\alpha_j), \quad \beta(\lambda) = \prod_{j=1}^q (\cosh 2\lambda - \cosh 2\beta_j),$$

where $f_{\{a\}}(\lambda)$ depends on combinations $\{a\} \equiv \{\alpha_+, \alpha_-, \beta_+, \beta_-\}$ of the \pm boundary parameters $\zeta_{\pm}, \kappa_{\pm}$

- When $p + q = N$, (4) can be transformed, using similar identities as in the XXX cases into a new determinant, **but this determinant cannot be made completely symmetric by exchange of the two sets of variables $\{\xi_j\}$ and $\{\alpha_j\} \cup \{\beta_j\}$ with $\{a\} \rightarrow \{\frac{\eta}{2} - a\}$!**

It is nevertheless possible to exchange the role of the set of variables $\{\xi_j\}$ and $\{\gamma_j\} \equiv \{\alpha_j\} \cup \{\beta_j\}$ in (4) **at the price of modifying the last column:**

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{\epsilon = \pm} f_{\{\frac{\eta}{2} - a\}}(\epsilon \gamma_i) \prod_{\ell=1}^N \left(\cosh(2\gamma_i - \epsilon \eta) - \cosh 2\xi_{\ell} \right) \cosh^{j-1}(2\gamma_i + \epsilon \eta) \right. \\ \left. + \delta_{j,N} g_{\{a\}}^{(p+q)}(\gamma_i) \right]$$

Determinant representations for the scalar products: open XXZ chain (non-diagonal case) [Kitanine, Maillet, Niccoli, VT 18]

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq N} \left[\sum_{\epsilon = \pm} f_{\{a\}}(\epsilon \xi_i) \alpha \left(\xi_i - \epsilon \frac{\eta}{2} \right) \beta \left(\xi_i - \epsilon \frac{\eta}{2} \right) \cosh^{j-1}(2\xi_i + \epsilon \eta) \right] \quad (4)$$

with $\alpha(\lambda) = \prod_{j=1}^p (\cosh 2\lambda - \cosh 2\alpha_j)$, $\beta(\lambda) = \prod_{j=1}^q (\cosh 2\lambda - \cosh 2\beta_j)$,

where $f_{\{a\}}(\lambda)$ depends on combinations $\{a\} \equiv \{\alpha_+, \alpha_-, \beta_+, \beta_-\}$ of the \pm boundary parameters $\zeta_{\pm}, \kappa_{\pm}$

- When $p + q = N$, (4) can be transformed as

$$\langle \alpha | \beta \rangle \propto \det_{1 \leq i, j \leq p+q} \left[\sum_{\epsilon = \pm} f_{\{\frac{\eta}{2} - a\}}(\epsilon \gamma_i) \prod_{\ell=1}^N \left(\cosh(2\gamma_i - \epsilon \eta) - \cosh 2\xi_{\ell} \right) \cosh^{j-1}(2\gamma_i + \epsilon \eta) + \delta_{j,N} g_{\{a\}}^{(p+q)}(\gamma_i) \right]$$

- Generalization to $p + q \neq N$ by considering limits of the previous result
 - In its turn, this new determinant can be transformed into a **generalized version of Slavnov's determinant** (much more complicated than in the XXX case !)
 - In the **case with a constraint**, the determinant simplifies drastically if one of the state is an eigenstate thanks to Bethe equations
- ↪ usual Slavnov formula if $p = q$!

Generalized Slavnov determinant for open XXZ

Example: the case $p = q$

$$\langle \alpha | \beta \rangle \propto \det_p \mathcal{S}$$

$$\begin{aligned} \mathcal{S}_{i,k} = & \sum_{\epsilon \in \{+, -\}} f(\epsilon \beta_i) X(\beta_i + \epsilon \eta) \left[\frac{f(-\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k + \frac{\eta}{2})} - \frac{f(\alpha_k) \varphi(\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k - \frac{\eta}{2})} \right. \\ & \left. + \frac{f(-\alpha_k) - f(\alpha_k) \varphi(\alpha_k)}{1 + \sum_{\ell=1}^p X_{f,\ell}^g} \sum_{j=1}^p \frac{X_{f,j}^g}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_j - \frac{\eta}{2})} \right] + \frac{g(\beta_i)}{X(\beta_i)} \frac{f(-\alpha_k) - f(\alpha_k) \varphi(\alpha_k)}{1 + \sum_{\ell=1}^p X_{f,\ell}^g}. \end{aligned}$$

$$\text{with} \quad \varsigma(\lambda) = \frac{\cosh(2\lambda)}{2}, \quad X(\lambda) = \prod_{\ell=1}^p [\varsigma(\lambda) - \varsigma(\alpha_\ell)],$$

$$\text{and} \quad X_{f,k}^g = \frac{g(\alpha_k) \sinh(2\alpha_k - \eta)}{f(-\alpha_k) X'(\alpha_k) X(\alpha_k - \eta)}, \quad \varphi(\lambda) = \frac{\sinh(2\lambda - \eta) X(\lambda + \eta)}{\sinh(2\lambda + \eta) X(\lambda - \eta)}.$$

The functions f and g depend on the boundary parameters.

Generalized Slavnov determinant for open XXZ

Example: the case $p = q$

$$\langle \alpha | \beta \rangle \propto \det_p \mathcal{S}$$

$$\begin{aligned} \mathcal{S}_{i,k} = & \sum_{\epsilon \in \{+, -\}} f(\epsilon \beta_i) X(\beta_i + \epsilon \eta) \left[\frac{f(-\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k + \frac{\eta}{2})} - \frac{f(\alpha_k) \varphi(\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k - \frac{\eta}{2})} \right. \\ & \left. + \frac{f(-\alpha_k) - f(\alpha_k) \varphi(\alpha_k)}{1 + \sum_{\ell=1}^p X_{f,\ell}^g} \sum_{j=1}^p \frac{X_{f,j}^g}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_j - \frac{\eta}{2})} \right] + \frac{g(\beta_i)}{X(\beta_i)} \frac{f(-\alpha_k) - f(\alpha_k) \varphi(\alpha_k)}{1 + \sum_{\ell=1}^p X_{f,\ell}^g}. \end{aligned}$$

In the case with a constraint, the **Bethe equations** are

$$f(-\alpha_k) - f(\alpha_k) \varphi(\alpha_k) = 0, \quad k = 1, \dots, p$$

↪ if $|\alpha\rangle$ is an eigenstate the determinant simplifies into

$$\begin{aligned} \mathcal{S}_{i,k} = & \sum_{\epsilon \in \{+, -\}} f(\epsilon \beta_i) X(\beta_i + \epsilon \eta) \left[\frac{f(-\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k + \frac{\eta}{2})} - \frac{f(\alpha_k) \varphi(\alpha_k)}{\varsigma(\beta_i + \epsilon \frac{\eta}{2}) - \varsigma(\alpha_k - \frac{\eta}{2})} \right] \\ & \propto \frac{\partial \tau(\beta_j | \{\alpha\})}{\partial \alpha_k} \end{aligned}$$

Further problems

- ★ correlations functions for open XXX/XXZ (with a constraint) ?
- ★ solution of the functional T-Q equation for the general open chain (case without constraint) ?

- ★ **Scalar products for antiperiodic XXZ case ?**

separates states should a priori be associated with functions of the form

$$\alpha(\lambda) = \prod_{j=1}^p \sinh\left(\frac{\lambda - \alpha_j}{2}\right), \quad \beta(\lambda) = \prod_{j=1}^q \sinh\left(\frac{\lambda - \beta_j}{2}\right)$$

whereas Sklyanin measure is $V_{\xi} = \prod_{k < j} \sinh(\xi_j - \xi_k)$

↪ the naive generalization of the algebraic identities used in the XXX case does not enable us to transform the determinant for $\langle \alpha | \beta \rangle$