Nested Bethe ansatz for orthogonal and symplectic open spin chains

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Historical timeline

- \mathfrak{gl}_2 closed chain (ABA) Faddeev–Sklyanin–Takhtadjan'79
- \mathfrak{gl}_N closed chain (NBA) Kulish-Reshetikhin'81
- \mathfrak{sp}_{2n} closed chain (NBA) Reshetikhin'85
- \$0_{2n} closed chain (NBA) de Vega–Karowski'87
- gl₂ open chain (ABA) Sklyanin'88
- gl_N open chain (NBA) Martin-Galleas'04; Belliard-Ragoucy'09
- $\mathfrak{osp}_{M|2n}$ open chain (Analytical BA) Doikou et. al.'03
- \mathfrak{so}_{2n} open chain (NBA) Gombor-Palla'16

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Notation and definitions

ullet Throughout, \pm will distinguish the orthogonal and symplectic cases.

$$\mathfrak{g}_{2n} = \begin{cases} \mathfrak{so}_{2n} & \text{with upper sign.} \\ \mathfrak{sp}_{2n} & \text{with lower sign.} \end{cases}$$

• The \mathfrak{gl}_n invariant *R*-matrix (Yang'68),

$$R(u) := I - \frac{P}{u} \in \operatorname{End}(\mathbb{C}^n \otimes \mathbb{C}^n).$$

• The \mathfrak{g}_{2n} invariant R-matrix (Zamolodchikov'78),

$$\mathbb{R}(u) := I - \frac{P}{u} - \frac{Q}{\kappa - u} \in \mathrm{End}(\mathbb{C}^{2n} \otimes \mathbb{C}^{2n}),$$

where $Q = P^t$, $Q^2 = 2nQ$ and $\kappa = n \mp 1$.

The orthogonal/symplectic open spin chain

The state space of the chain is given by,

$$M = L_1(\lambda_1) \otimes \cdots \otimes L_\ell(\lambda_\ell) \otimes M_{\ell+1}(\mu).$$

• Each $L_i(\lambda_i)$ is a highest weight \mathfrak{g}_{2n} module of weight

$$\lambda_i = \begin{cases} (k_i, \underbrace{0, \dots, 0}) & \text{for } \mathfrak{so}_{2n}, \\ \underbrace{(\underbrace{1, \dots, 1}_{k_i}, \underbrace{0, \dots, 0}_{n-k_i})} & \text{for } \mathfrak{sp}_{2n}. \end{cases}$$

• $M_{\ell+1}(\mu)$ is a one-dimensional vector space corresponding to one of two distinct diagonal boundary types

$$\mathcal{K} = \begin{cases} \operatorname{diag}(\underbrace{1,\ldots,1}_{p},\underbrace{-1,\ldots,-1}_{2q},\underbrace{1,\ldots,1}_{p}) & \mathfrak{g}_{2p} \oplus \mathfrak{g}_{2q} \\ \operatorname{diag}(\underbrace{1,\ldots,1}_{n},\underbrace{-1,\ldots,-1}_{n}) & \mathfrak{gl}_{n} \end{cases}$$

The monodromy matrix

• The double-row monodromy matrix $S(u) \in \operatorname{End}(\mathbb{C}^{2n} \otimes M)$ is

$$S_a(u) \equiv \mathcal{L}_{a1}(u) \cdots \mathcal{L}_{a\ell}(u) K_a(u) \mathcal{L}_{a\ell}^t(\kappa - u) \cdots \mathcal{L}_{a1}^t(\kappa - u)$$

• Lax operators $\mathcal{L}_{ai}(u) \in \operatorname{End}(\mathbb{C}^{2n} \otimes L_i(\lambda_i))$ are constructed via fusion and satisfy

$$\mathbb{R}_{ab}(u-v)\mathcal{L}_{ai}(u)\mathcal{L}_{bi}(v) = \mathcal{L}_{bi}(v)\mathcal{L}_{ai}(u)\mathbb{R}_{ab}(u-v).$$

- Boundary Lax operator $K(u) \in \operatorname{End}(\mathbb{C}^{2n})$ is a diagonal matrix .
- ullet The monodromy matrix S(u) satisfies the reflection equation

$$\mathbb{R}_{ab}(u-v)S_a(u)\mathbb{R}_{ab}(u+v)S_b(v) = S_b(v)\mathbb{R}_{ab}(u+v)S_b(u)\mathbb{R}_{ab}(u-v).$$

Problem

Diagonalise $\tau(u) := \operatorname{tr} S(u)$ on the spin chain M

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The symmetry relation

• The entries of S(u) are not algebraically independent, which is in part summarised by the *symmetry relation* (Guay–Regelskis'16),

$$S^{t}(u) = \gamma S(\kappa - u) \pm \frac{S(u) - S(\kappa - u)}{2u - \kappa} + \frac{\operatorname{tr}(K(u)) S(u) - \operatorname{tr}(S(u))}{2u - 2\kappa}.$$

where $\gamma = +1$ or $\gamma = -1$, depending on the boundary type.

• Multiplication by a certain scalar factor $\mathbf{S}(u) = g(u) S(u)$ leads to a "boundary independent" symmetry relation

$$S^{t}(u) = -\left(1 \pm \frac{1}{2u - \kappa}\right)S(\kappa - u) \pm \frac{S(u)}{2u - \kappa} - \frac{\operatorname{tr} S(u)}{2u - 2\kappa}.$$

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Nesting procedure - \mathfrak{gl}_n open spin chain

• In the \mathfrak{gl}_n case,

$$S^{(\mathfrak{gl}_n)}(u) = \begin{pmatrix} a(u) & B(u) \\ \hline C(u) & D(u) \end{pmatrix}.$$

• As an $(n-1) \times (n-1)$ matrix of operators, D(u) satisfies

$$R'_{ab}(u-v)D_a(u)R'_{ab}(u+v)D_b(v) = D_b(v)R'_{ab}(u+v)D_a(u)R'_{ab}(u-v).$$

• Creation operators $B_{a_i}(u_i)$ give rise to the Bethe vector:

$$\Phi(\mathbf{u}) = B_{a_1}(u_1) \cdots B_{a_m}(u_m) \cdot \Phi'_{a_1, \dots, a_m}.$$

where $\mathbf{u} = (u_1, \dots, u_m)$ and a_1, \dots, a_m label auxiliary spaces, each being a copy of \mathbb{C}^n , and Φ'_{a_1,\dots,a_m} is a "nested" Bethe vector for the residual \mathfrak{gl}_{n-1} open spin chain (Belliard–Ragoucy'09).

Nesting procedure - \mathfrak{g}_{2n} open spin chain

• For \mathfrak{g}_{2n} , we split the matrix S(u) into four $n \times n$ submatrices:

• As an $n \times n$ matrix of operators, A(u) satisfies

$$\begin{split} R_{ab}(u-v)A_{a}(u)R_{ab}(u+v)A_{b}(v) &= A_{b}(v)R_{ab}(u+v)A_{a}(u)R_{ab}(u-v) \\ &+ R_{ab}(u-v)B_{a}(u)U_{ab}(u+v)C_{b}(v) \\ &+ B_{b}(v)U_{ab}(u+v)C_{a}(u)R_{ab}(u-v), \end{split}$$

with the \mathfrak{gl}_n -invariant R-matrix R(u), and $U(u) := -P/u - Q/(\kappa - u)$.

Top level of nesting - creation operator

- B(u) matrix contains creation operators for the top-level excitations, that correspond to n^{th} root vectors of \mathfrak{g}_{2n} .
- We reinterpret B(u) as a row vector in two auxiliary spaces,

$$\beta_{\tilde{a}a}(u) := \sum_{i,j=1}^{n} b_{n-i+1,j}(u) \otimes e_{i}^{*} \otimes e_{j}^{*} \in B(u) \otimes (\mathbb{C}^{n})^{*} \otimes (\mathbb{C}^{n})^{*}$$

Bethe vector with m top-level excitations,

$$\Psi(\boldsymbol{u}) = \left(\prod_{i=1}^m \beta_{\tilde{a}_i a_i}(u_i) \prod_{j=i-1}^1 R_{a_j \tilde{a}_i}(-u_i - u_j)\right) \cdot \Phi_{\tilde{a}_1 a_1, \dots \tilde{a}_m a_m}.$$

where
$$\boldsymbol{u}=(u_1,\ldots,u_m)$$
 and $\Phi_{\tilde{a}_1a_1,\ldots\tilde{a}_ma_m}\in(\mathbb{C}^n)^{\otimes 2m}\otimes M$.

Symmetry relation in block form

• In block form, the symmetry relation gives a linear relation between the A and D blocks of S(u),

$$D^t(u) = -\left(1 \pm \frac{1}{2u - \kappa}\right) A(\kappa - u) \pm \frac{A(u)}{2u - \kappa} - \left\{\frac{\operatorname{tr} A(u)}{2u - \kappa}\right\}^u,$$

where brace brackets denote symmetrisation

$$\{f(u)\}^u := f(u) + f(\kappa - u).$$

• In particular, the rescaled transfer matrix may be written in terms of the block A of S(u) only:

$$\tau(u) := \operatorname{tr} \mathbf{S}(u) = \frac{2u - 2\kappa}{g(u)} \Big\{ p(u) \operatorname{tr} A(u) \Big\}^{u}.$$

where
$$p(u) = 1/(2u - \kappa)$$
.

Exchange relation

Using the symmetry relation, the AB exchange relation may be written

$$\begin{split} \left\{ p(v) A_a(v) \right\}^v \beta_{\tilde{a}_1 a_1}(u) &= \beta_{\tilde{a}_1 a_1}(u) \left\{ p(v) S'_{a; \tilde{a}_1 a_1}(v; u) \right\}^v \\ &+ \frac{1}{p(u)} \left\{ p(v) \frac{\beta_{\tilde{a}_1 a_1}(v)}{u - v} \right\}^v \underset{w \to u}{\mathrm{Res}} \left\{ p(w) S'_{a; \tilde{a}_1 a_1}(w; u) \right\}^w, \end{split}$$

where

$$\begin{split} S'_{a;\tilde{a}_{1}a_{1}}(v;u) &= R^{t}_{\tilde{a}_{1}a}(u-v)R^{t}_{a_{1}a}(\kappa-u-v)A_{a}(v) \\ &\times R^{t}_{a_{1}a}(u-v\pm 1)R^{t}_{\tilde{a}_{1}a}(\kappa-u-v\pm 1). \end{split}$$

Exchange relation for multiple excitations

Acting with the transfer matrix on the Bethe vector we find

$$\tau(v) \cdot \Psi(\mathbf{u}) = \left(\prod_{i=1}^{m} \beta_{\tilde{a}_{i}a_{i}}(u_{i}) \prod_{j=i-1}^{1} R_{a_{j}\tilde{a}_{i}}(-u_{i} - u_{j}) \right) \times \left\{ p(v) \operatorname{tr} S'_{a}(v; \mathbf{u}) \right\}^{v} \cdot \Phi_{\tilde{a}_{1}a_{1}, \dots \tilde{a}_{m}a_{m}} + UWT,$$

where $S'_a(v; \mathbf{u})$ is the nested monodromy matrix,

$$S_a'(v; \boldsymbol{u}) := \prod_{i=1}^m R_{\tilde{a}_i a}^t(u_i - v) \prod_{i=1}^m R_{a_i a}^t(\kappa - u_i - v)$$

$$\times A_a(v) \prod_{i=m}^1 R_{a_i a}^t(u_i - v \pm 1) \prod_{i=m}^1 R_{\tilde{a}_i a}^t(\kappa - u_i - v \pm 1)$$

and UWT stands for the unwanted terms.

Reduction to \mathfrak{gl}_n open spin chain

The nested spin chain has the state space

$$M' = V_{\tilde{a}_1} \otimes \cdots \otimes V_{a_m} \otimes L'_1(\lambda_1) \otimes \cdots \otimes L'_\ell(\lambda_\ell) \otimes M'_{\ell+1}(\mu).$$

- $V_{\tilde{a}_i}$, V_{a_i} are auxiliary spaces, all being copies of \mathbb{C}^n .
- $L'_i(\lambda_i) \subset L_i(\lambda_i)$ are subspaces annihilated by the C block of $\mathbf{S}(u)$, and are \mathfrak{gl}_n -irreps with the same weight λ_i .
- $M'_{\ell+1}(\mu) = M_{\ell+1}(\mu)$ is the one-dimensional "reduced" boundary space with the same weight μ .

The nested monodromy matrix $S'_a(v; \boldsymbol{u})$ satisfies the reflection equation on the space M',

$$\begin{split} R_{ab}(w-v)S_a'(w;\boldsymbol{u})R_{ab}(w+v)S_b'(v;\boldsymbol{u})\cdot M' &= \\ S_b'(v;\boldsymbol{u})R_{ab}(w+v)S_a'(w;\boldsymbol{u})R_{ab}(w-v)\cdot M' \\ &+ \underbrace{\text{extra-terms}\cdot M'}. \end{split}$$

Solution for the \mathfrak{gl}_n open spin chain

The transfer matrix of the \mathfrak{gl}_n nested system has eigenvalue (Belliard–Ragoucy'09)

$$\Gamma(v; \mathbf{u}) = \sum_{k=1}^{n} \frac{2v - n}{2v - k} \mu_{k}^{\sharp}(v) \tilde{\lambda}_{k}(v) \tilde{\lambda}'_{k}(v)$$

$$\times \prod_{j=1}^{m^{(k)}} f^{+}(v - \frac{k}{2}, u_{j}^{(k)}) \prod_{j=1}^{m^{(k-1)}} f^{-}(v - \frac{k-1}{2}, u_{j}^{(k-1)})$$

where

$$\tilde{\lambda}_k(v) = \lambda_k(v)$$
 $\tilde{\lambda}_n(v) = \lambda_n(v) \prod_{i=1}^m \frac{v - u_i + 1}{v - u_i} \frac{v - \kappa + u_i + 1}{v - \kappa + u_i},$

and the $u_j^{(k)}$ satisfy Bethe equations given by

$$\mathsf{Res}_{v \to u_{:}^{(k)} + k/2} \Gamma(v; \boldsymbol{u}) = 0.$$

Results

We have $\tau(v) \cdot \Psi = \Lambda(v) \Psi$ where,

• The eigenvalue of the transfer matrix is given by

$$\Lambda(v) = \left\{ p(v)\sigma(v)\Gamma(v) \right\}^{v},$$

The Bethe vector is given by,

$$\Psi = \left(\prod_{i=1}^m \beta_{\tilde{a}_i a_i}(u_i) \prod_{j=i-1}^1 R_{a_j \tilde{a}_i}(-u_i - u_j)\right) \cdot \Phi_{\tilde{a}_1 a_1, \dots \tilde{a}_m a_m}.$$

• The u_i satisfy the Bethe equations, obtained by demanding the unwanted terms vanish on the Bethe vector,

$$\operatorname{\mathsf{Res}}_{v \to u_i} \Lambda(v) = 0.$$

Conclusions and Outlook

- We presented a nested algebraic Bethe ansatz method that allows us to study the spectral problem of the \mathfrak{so}_{2n} and \mathfrak{sp}_{2n} open spin chains with diagonal boundary conditions at the same.
- We used fusion procedure to construct Lax operators for symmetric \mathfrak{so}_{2n} -irreps and skewsymmetric \mathfrak{sp}_{2n} -irreps.
- The top-level nesting yields an \mathfrak{gl}_n open spin chain.
- This nesting procedure can not be applied for \mathfrak{so}_{2n+1} open spin chains.
- Work in progress: a trace formula for the Bethe vector.

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Thank you!

K(u) matrices

ullet ${\mathfrak g}_{2n} o {\mathfrak g}{\mathfrak l}_n$ case

$$\mu_1(u)=\ldots=\mu_n(u)=1+\frac{a}{u},$$

• $\mathfrak{g}_{2n} o \mathfrak{g}_{2p} \oplus \mathfrak{g}_{2q}$ cases

$$\mu_i(u) = \frac{p-q-2u\,K_{ii}}{p-q-2u}$$
 for $1 \le i \le n$,

ullet $\mathfrak{so}_4 o \mathfrak{so}_2 \oplus \mathfrak{so}_2$ case

$$\mu_1(u) = \left(1 + \frac{a}{u}\right)\left(1 + \frac{b}{u}\right), \quad \mu_2(u) = \left(1 + \frac{a}{u}\right)\left(-1 + \frac{b}{u}\right),$$

• $\mathfrak{so}_{2n} \to \mathfrak{so}_{2n-2} \oplus \mathfrak{so}_2$ case

$$\mu_1(u) = \dots = \mu_{n-1}(u) = \frac{(u-a)(u+a-(n-2))}{(u-\frac{n-2}{2})^2},$$

$$\mu_n(u) = -\frac{(u+a)(u+a-(n-2))}{(u-\frac{n-2}{2})^2}.$$

Bethe equations

$$\frac{\mu^{\sharp}(u_{j})\lambda_{n}(u_{j})\lambda'_{n}(u_{j})}{\mu^{\sharp}(\kappa-u_{j})\lambda_{n}(\kappa-u_{j})\lambda'_{n}(\kappa-u_{j})} =$$

$$-\frac{\sigma(\kappa-u_{j})}{\sigma(u_{j})} \frac{2u_{j}-\kappa-1}{2u_{j}-\kappa+1} \prod_{i\neq j} \frac{f^{-}(u_{j},u_{i})}{f^{+}(u_{j},u_{i})} \frac{f^{+}(\kappa-u_{j},u_{i})}{f^{-}(\kappa-u_{j},u_{i})}$$

$$\times \prod_{i=1}^{m} \frac{f^{-}(\kappa-u_{j}-(n-1),u_{i})}{f^{+}(\kappa-u_{j}+(n-1),u_{i})} \cdot \frac{f^{+}(u_{j}+n-1,u_{i})}{f^{-}(u_{j}-(n-1),u_{i})}$$

$$\times \prod_{i=1}^{m^{(n-1)}} \frac{f^{-}(\kappa-u_{j}-\frac{n-1}{2},u_{i}^{(n-1)})}{f^{-}(u_{j}-\frac{n-1}{2},u_{i}^{(n-1)})}$$