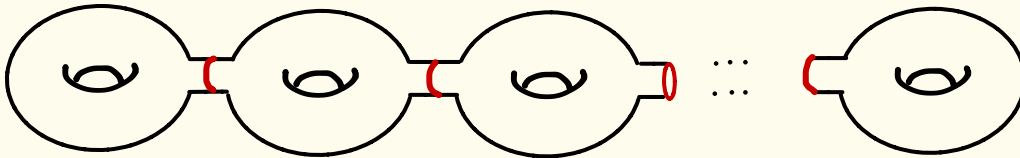


# Discrete Time Evolution and Baxter's Q-operator

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1) Cylindric Macdonald functions and a deformed Verlinde algebra,  
CMP 318 (2013) 173-246

2) From quantum Bäcklund transformations to TQFT,  
JPA 49 (2016) 104001

**RAQIS 16**

# Road map: quantisation of the Ablowitz-Ladik chain

Classical integrable system [Ablowitz-Ladik '76]

$$\partial_t \psi_j = \{H, \psi_j\}, \quad \partial_t \psi_j^* = \{H, \psi_j^*\}$$

$$H = -\sum_j (\psi_j^* \psi_{j+1} + \psi_j \psi_{j+1}^* - 2 \ln(1 - \psi_j^* \psi_j))$$

Poisson algebra

$$\{\psi_i, \psi_j^*\} = \delta_{ij} (1 - \psi_j^* \psi_j)$$

$$\{\psi_i, \psi_j\} = \{\psi_i^*, \psi_j^*\} = 0$$

$$[\cdot, \cdot] = -i\hbar \{\cdot, \cdot\} + \mathcal{O}(\hbar^2)$$

quantisation

$$t \rightarrow it, \\ \psi^* \rightarrow \pm \bar{\psi}$$

Quantum integrable system

$$H = -\sum_j \left( \frac{\beta_j \beta_{j+1}^* + \beta_{j+1} \beta_j^*}{1 - q} - 2N_j \right)$$

[Kulish 1981]

q-boson algebra

$$[\beta_i, \beta_j^*] = \delta_{ij} (1 - q)(1 - \beta_i^* \beta_i)$$

$$0 < q = e^{-\hbar c} < 1$$

integrals of motion

$$L_j(u) = \begin{pmatrix} 1 & u \psi_j^* \\ \psi_j & u \end{pmatrix}$$

→ monodromy matrix,  
spectral invariants

'Bethe algebra'  $\simeq$  2D TQFT

$$L_j(u) = \begin{pmatrix} 1 & u \beta_j^* \\ \beta_j & u \end{pmatrix}$$

→ monodromy matrix, YB-algebra  
Baxter's commuting transfer matrices

## Ablowitz-Ladik chain: separation of time flow

### Equations of motion

$$\begin{cases} \partial_t \psi_j = \psi_{j+1} - 2\psi_j + \psi_{j-1} - \psi_j^* \psi_j (\psi_{j+1} + \psi_{j-1}) \\ \partial_t \psi_j^* = -\psi_{j+1}^* + 2\psi_j^* - \psi_{j-1}^* + \psi_j^* \psi_j (\psi_{j+1}^* + \psi_{j-1}^*) \end{cases}$$

### Decomposition of Hamiltonian into left- and right movers

$$H = H_R + H_L + H_0, \quad H_R = \sum_j \psi_j^* \psi_{j+1}, \quad H_L = \sum_j \psi_j \psi_{j+1}^*, \quad \{H_L, H_R\} = 0$$

### 'Auxiliary time flow'

$$\partial_t \psi_j = -\{H_L, \psi_j\} = \psi_{j-1} (1 - \psi_j^* \psi_j)$$

Since all 3 flows commute, we can consider them separately.

Darboux matrices:  $\mathcal{D}_{j+1}(u, v) L_j(u) = \tilde{L}_j(u) \mathcal{D}_j(u, v)$ , discrete zero-curvature equation

$$\det \mathcal{D}_j(v, v) = 0 \quad \text{and} \quad \mathcal{D}_j(u, 0) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Bäcklund transform:  $(\psi_j, \psi_j^*) \xrightarrow{\mathcal{B}(v)} (\tilde{\psi}_j, \tilde{\psi}_j^*)$ ,  $v = \Delta t$ ,  $\tilde{\psi}_j = \psi_j(\Delta t)$

① Canonical map which preserves the Poisson structure  $\{\cdot, \cdot\}$

② Commutativity:  $\mathcal{B}(v_1) \circ \mathcal{B}(v_2) = \mathcal{B}(v_2) \circ \mathcal{B}(v_1)$  [Veselov '91]

'time' discretisation:  
[Sunis 1997]

$$\frac{\psi_j(\Delta t) - \psi_j(0)}{\Delta t} = (1 - \psi_j^* \psi_j(\Delta t)) \psi_{j-1}(\Delta t)$$

What is the quantum analogue of this evolution eqn?

# q-boson Fock space

Vacuum:  $\beta |0\rangle = 0$

m q-boson state:  $|m\rangle = \left(\frac{\beta^*}{(q)}\right)_m |0\rangle$

multi-particle state:  $|\lambda\rangle = \bigotimes_{i=1}^n |m_i(\lambda)\rangle$

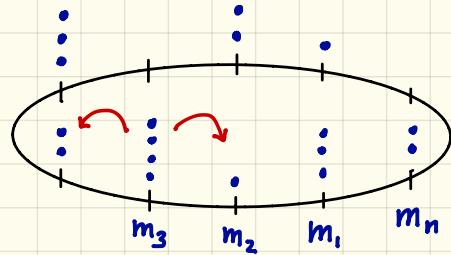
$$\beta_j^* |\lambda\rangle = (1 - q^{m_j+1}) |m_1, \dots, m_j+1, \dots, m_n\rangle,$$

$$\beta_j |\lambda\rangle = |m_1, \dots, m_j-1, \dots, m_n\rangle$$

→ Canonical quantisation of the Poisson algebra:

$$[\beta_i, \beta_j^*] = \delta_{ij} (1 - q) (1 - \beta_i^* \beta_i)$$

periodic boundary conditions

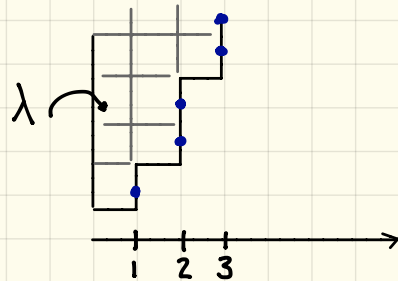


partition  $\lambda = (\lambda_1, \dots, \lambda_k) = (1^{m_1} 2^{m_2} \dots n^{m_n})$

Example shown above:  $n=10$

$\lambda = (10, 10, 8, 7, 7, 5, 5, 5, 4, 4, 3, 3, 3, 3, 2, 1, 1, 1)$

$k = 2 + 1 + 2 + 3 + 2 + 4 + 1 + 3 = 18$

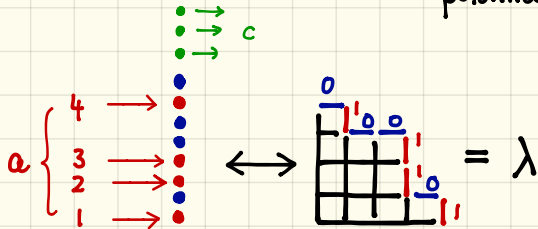


Quantum Bäcklund transform  $\rightarrow$  Baxter's Q-operator [Pasquier-Gaudin 1992]  
(Toda chain)

$$(\beta_j, \beta_j^*) \mapsto (\tilde{\beta}_j, \tilde{\beta}_j^*) \quad \begin{aligned} \tilde{\beta}_j &= Q(v) \beta_j Q(v)^{-1} \\ \tilde{\beta}_j^* &= Q(v) \beta_j^* Q(v)^{-1} \end{aligned} \quad \text{Problem: find } Q$$

Define  $Q(v)$  as the transfer matrix of an exactly solvable vertex model:

q-insertion: insert  $a$  particles into a pile of  $b-c$  particles inside a gravitational potential with  $q = e^{-\beta E}$ ,  $E$  energy to lift one particle.

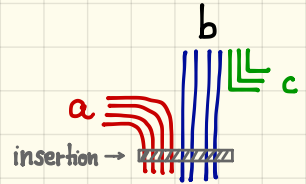


$$q^{4+3+3+1} = q^{|\lambda|}$$

particle picture

$$\sum_{\lambda} q^{|\lambda|} = \begin{bmatrix} d \\ a \end{bmatrix}_q$$

Boltzmann weight



$$\begin{aligned} d &= a + b - c \\ a, b, c, d &\in \mathbb{Z}_{\geq 0} \end{aligned}$$

vertex configuration

# Lattice configurations & q-Whittaker polynomials

Let  $M \in \text{Mat}_{(n-1) \times n}(\mathbb{N}_0)$  and set  $a_i = \beta_i \beta_{i+1}^*$ .

INPUT

$$\mu = (1^{m_1} 2^{m_2} \dots (n-1)^{m_{n-1}})$$

LEMMA

Define  $a^{(M)} = \prod_{1 \leq i \leq n-1} \frac{\beta_0^{*M_{i0}} a_i^{M_{ii}} \dots a_{n-1}^{M_{i,n-1}} \beta_{n-1}^{M_{i0}}}{(q)_{M_{i0}} (q)_{M_{ii}} \dots (q)_{M_{i,n-1}}}$  then

	$m_0$	$m_1$	$\dots$	$m_{n-1}$
$v_1$	$M_{10}$	$M_{11}$	$M_{12}$	$M_{13} \dots M_{10}$
$v_2$	$M_{20}$	$M_{21}$	$M_{22}$	$M_{23} \dots M_{20}$
$v_{n-1}$	$M_{n-10}$	$M_{n-11}$	$M_{n-12}$	$M_{n-10}$
	$m'_0$	$m'_1$	$\dots$	$m'_{n-1}$

the matrix elements of

$$Z(v) = \sum_M v^M a^{(M)}, \quad v^M = \prod_{\langle i,j \rangle} v_i^{M_{ij}}$$

are the partition function.

$$\lambda = (1^{m'_1} \dots (n-1)^{m'_{n-1}})$$

OUTPUT

(cylindrical) skew q-Whittaker functions

THM [CK'13] open boundaries  $M_{i0} = 0$  :  $\langle \lambda | Z(v) | \mu \rangle = P_{\lambda' / \mu'}(v; q, 0)$

periodic boundaries  $M_{i0} > 0$  :  $\langle \lambda | Z(v) | \mu \rangle = \sum_a z^a P_{\lambda' / \mu'}(v; q, 0)$

Quantum Bäcklund transform  $\tilde{\beta}_j(v) = Q(v) \beta_j Q(v)^{-1}$  where

$Q(v)$  is the row-to-row transfer matrix of the  $q$ -insertion model.

THM [CK'16] discrete quantum "time flow"

$$\frac{\tilde{\beta}_j(v) - \beta_j}{v} = (1 - \beta_j^* \tilde{\beta}_j(v)) \tilde{\beta}_{j-1}(v) \quad \frac{\tilde{\beta}_j^*(v) - \beta_j^*}{v} = \beta_{j+1}^* (\beta_j^* \tilde{\beta}_j(v) - 1)$$

Discrete time evolution  $[Q(u), Q^*(v)] = 0$

$$\bar{\beta}_j = Q^*(v)^{-1} \beta_j Q^*(v) \quad \bar{\beta}_j - \beta_j = -v(1 - \bar{\beta}_j^* \beta_j) \beta_{j+1} \quad \text{functional equation}$$

$$\beta_j(\Delta t) = \underbrace{Q^*(-i\Delta t)^{-1} Q(i\Delta t)}_{U(\Delta t)^{-1}} \beta_j \underbrace{Q(i\Delta t)^{-1} Q^*(-i\Delta t)}_{U(\Delta t)}, \quad U(\Delta t)^{-1} = U(\Delta t)^*$$

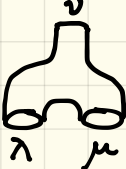
time evolution operator  
for time step  $\Delta t$

$$\frac{\beta_j(\Delta t) - \beta_j}{i\Delta t} = (1 - \bar{\beta}_j^*(-i\Delta t) \beta_j) \beta_{j+1} + (1 - \bar{\beta}_j^*(-i\Delta t) \beta_j(\Delta t)) \beta_{j-1}(\Delta t)$$

# Multivariate Bäcklund transforms & TQFT fusion matrices

$$\mathcal{B}^+(v_1) \circ \dots \circ \mathcal{B}^+(v_{n-1}) \rightsquigarrow Z(v) = Q(v_1) \dots Q(v_{n-1}) = \sum_{\lambda} \underset{\substack{\uparrow \\ \text{Fusion matrix}}}{Q_{\lambda}} \mathcal{P}_{\lambda}(v; q, 0) \underset{\substack{\uparrow \\ q\text{-Whittaker function}}}{\mathcal{P}_{\lambda}(v; q, 0)}$$

THM [CK'13] Fusion matrices of 2D TQFT for fixed particle number  $k$ .

$$N_{\lambda\mu}^{v,k}(q) = \langle v | Q_{\lambda} | \mu \rangle = \text{pair of pants 2-cobordism}$$


Recurrence relations for fusion coefficients

$$(1-q^{m_j(\mu)+1}) N_{r\beta_j^*\mu}^{\lambda, k+1} = (1-q^{m_j(\lambda)}) N_{r\mu}^{\beta_j\lambda, k} - (1-q^{m_{j+1}(\lambda)}) N_{r-1\mu}^{\beta_{j+1}\lambda, k} + (1-q^{m_j(\lambda)})(1-q^{m_{j+1}(\lambda)}) N_{r-1\beta_j\mu}^{\beta_{j+1}\lambda, k-1}$$

$$q \rightarrow 0: N_{r\beta_j^*\mu}^{\lambda, k+1} = N_{r\mu}^{\beta_j\lambda, k} - N_{r-1\mu}^{\beta_{j+1}\lambda, k} + N_{r-1\beta_j\mu}^{\beta_{j+1}\lambda, k-1}$$

$\hat{SU}(n)_k$  - WZW fusion ring 'phase model' [C.K., C. Stoppel Adv. Math. 2009]

# 2D TQFT $\hat{=}$ Symmetric Frobenius algebras [Atiyah 1988]

Functor  $Z: 2\text{Cob} \rightarrow \text{Vec}_{\mathbb{F}}$

$\mathbb{F}$ -vector spaces with  $\dim V < \infty$

$$Z(\text{circle with arrow}) = V \quad Z(\text{circle with arrow pointing out}) = V^*$$

multiplication  $m: Z(S') \otimes Z(S') \rightarrow Z(S')$   
(commutative)

$$a \otimes b \mapsto ab$$

$$Z(\text{pair of pants}) \in \text{Hom}(Z(S') \otimes Z(S'), Z(S'))$$

invariant  
bilinear form  $\langle \cdot, \cdot \rangle: Z(S') \otimes Z(S') \rightarrow \mathbb{F}$

$$\langle ab, c \rangle = \langle a, bc \rangle$$

$$Z(\text{pair of pants}) \in \text{Hom}(Z(S') \otimes Z(S'), Z(\cdot))_{\mathbb{F}}$$

unit element  $e: \mathbb{F} \rightarrow Z(S')$   
 $1 \mapsto \mathbb{1}$

$$Z(\text{cup}) \in \text{Hom}(\mathbb{F}, Z(S'))$$

TQFT partition function

$$Z(\text{genus } g \text{ surface})$$

# 2D TQFT $\leftarrow$ operator version $\rightarrow$ q-bosons

$$Z(\text{circle with arrow}) \in \text{Vec}_{\mathbb{F}}, \quad \mathbb{F} = \mathbb{Z}[q, q^{-1}]$$

Bethe algebra  $\mathcal{B}_{n,k} \subset \text{End}(\mathcal{F}_k)$

$$Z(\text{pair of pants}) \in \text{Hom}(Z(S') \otimes Z(S'), Z(S'))$$

$$\underline{Q}_\lambda \underline{Q}_\mu = \sum_{\nu} N_{\lambda\mu}^{\nu, k}(q) \underline{Q}_\nu$$

$\mathbb{Z}[q]$

$$Z(\text{pair of pants with cap}) \in \text{Hom}(Z(S') \otimes Z(S'), Z(\cdot)^{\mathbb{F}})$$

invariant bilinear form

$$\langle \underline{Q}_\lambda, \underline{Q}_\mu \rangle = \delta_{\lambda\mu^*} \prod_{i \geq 1} \frac{1}{[m_i(\lambda)]_q!}$$

$$\lambda = 1^{m_1} 2^{m_2} \dots n^{m_n}$$

$$Z(\text{circle}) \in \text{Hom}(\mathbb{F}, Z(S'))$$

unit element

$$\underline{Q}_{(n_1, \dots, n)} \underline{Q}_\lambda = \underline{Q}_\lambda$$

$$Z(\text{genus } g \text{ surface})$$

genus  $g$  surface

TQFT partition function

$$\text{Tr} \left( \sum_{\lambda} \underline{Q}_\lambda^* \underline{Q}_\lambda \right)^{g-1}$$

# 2D TQFT $\leftarrow$ operator version $\rightarrow$ $q$ -bosons

$$Z(\text{circle with arrow}) \in \text{Vec}_{\mathbb{F}}, \quad \mathbb{F} = \mathbb{Z}[q, q^{-1}]$$

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$$\underline{Q}_\lambda \underline{Q}_\mu = \sum_{\nu} N_{\lambda\mu}^{\nu}(q) \underline{Q}_\nu$$

$\mathbb{Z}[q]$

$$Z(\text{pair of pants with cap}) \in \text{Hom}(Z(S') \otimes Z(S'), Z(\cdot)^{\mathbb{F}})$$

invariant bilinear form

$$\langle \underline{Q}_\lambda, \underline{Q}_\mu \rangle = \delta_{\lambda\mu^*} \prod_{i \geq 1} \frac{1}{[m_i(\lambda)]_q!}$$

$\lambda = 1^{m_1} 2^{m_2} \dots n^{m_n}$

$$Z(\text{circle}) \in \text{Hom}(\mathbb{F}, Z(S'))$$

unit element

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$$Z(\text{genus } g \text{ surface})$$

genus  $g$  surface

TQFT partition function

$$\text{Tr} \left( \sum_{\lambda} \underline{Q}_\lambda^* \underline{Q}_\lambda \right)^{g-1}$$

AIM: describe the discrete time dynamics in terms of the TQFT

## Two Q-operators

$$Q^\pm(v) = \sum_{r \geq 0} v^r Q_r^\pm$$

$$Q_r^+ = \sum_{\alpha \vdash r} \beta_n^{\alpha_n (\beta_{n-1} \beta_n^*)^{\alpha_{n-1}} \dots (\beta_1 \beta_2^*)^{\alpha_1}} \beta_1^{*\alpha_n}, \quad Q_r^- = (-1)^r \sum_{\alpha \vdash r} \beta_1^{*\alpha_n (\beta_1 \beta_2^*)^{\alpha_1} \dots (\beta_{n-1} \beta_n^*)^{\alpha_{n-1}}} \beta_n^{\alpha_n} \prod_i q^{\frac{\alpha_i(\alpha_i+1)}{2}}$$

THM ① Functional identities  $\Delta(u) = q^{2N} u^n$   $N = \#$  of  $q$ -bosons  
CK'13,16

$$T(u) Q^+(u) = Q^+(uq^2) + \Delta(u) Q^+(uq^{-2}),$$

$TQ^+$  equation

$$Q^-(u) T(u) = Q^-(uq^{-2}) + \Delta(uq^2) Q^-(uq^2),$$

$Q^-T$  equation

$$Q^+(u) Q^-(uq^{-2}) - u^n q^{2N} Q^+(uq^{-2}) Q^-(u) = 1$$

'quantum Wronskian'

The functional relations also imply the quantum analogue of  $\mathcal{B}(v_1) \circ \mathcal{B}(v_2) = \mathcal{B}(v_2) \circ \mathcal{B}(v_1)$

$$\underline{\text{COR}} [Q_r^\pm, T_s] = [Q_r^+, Q_s^-] = [Q_r^\pm, Q_s^\pm] = 0$$

'Bethe algebra'  $\subset$   $q$ -boson algebra

commutative

non-commutative

Because we are dealing with non-commutative variables in the quantum case, the equation defining the Darboux transformation is now replaced with the Yang-Baxter eqn:

$$\mathcal{D}_{12}^{\pm}(u, v) L_{1j}(u) L_{2j}^{\pm}(v) = L_{2j}^{\pm}(v) L_{1j}(u) \mathcal{D}_{12}^{\pm}(u, v)$$

This allows one to define  $Q^{\pm}$  in a similar way as  $T(u) = \text{Tr} L_n(u) \dots L_1(u)$

$Q^{\pm}$  - operators for the  $q$ -boson model [CK 2013, 2016]

$$L_j^+(v) = \left( (-v)^m \frac{\beta_j^{*m} \beta_j^{m'}}{(q)_m} \right)_{m, m' \geq 0} \quad L_j^-(v) = \left( v^m q^{\frac{m(m+1)}{2}} \frac{\beta_j^{m'} \beta_j^{*m}}{(q)_m} \right)_{m, m' \geq 0}$$

Current operators (formal power series in  $v$  with coefficients in  $q$ -boson algebra)

$$Q^{\pm}(v) = \text{Tr} L_n^{\pm}(v) \dots L_1^{\pm}(v) = \sum_{r \geq 0} v^r Q_r^{\pm} \leftarrow \text{explicitly known}$$

## Omitted from the discussion

▷ combinatorial approach to compute  $N_{\lambda/\mu}^{\nu}(q) \in \mathbb{Z}[q]$

Recall skew Macdonald functions:  $P_{\lambda/\mu}(x; q, t) = \sum_{\nu} f_{\mu\nu}^{\lambda}(q, t) P_{\nu}(x; q, t)$

*Hall polynomial*

$\rightsquigarrow$  cylindric  $q$ -Whittaker functions  $P_{\lambda/d/\mu}(x; q, 0) = \sum_{\nu} N_{\mu\nu}^{\lambda}(q) P_{\nu}(x; q, 0)$

▷  $N_{\lambda/\mu}^{\nu}(0) \in \mathbb{Z}_{\geq 0}$  are the  $\widehat{SU}(n)_k$ -WZW-fusion coefficients  $k = \#$  of  $q$ -bosons

$\text{TQFT}_{q=0} \cong K_0(\mathcal{C})$ ,  $\mathcal{C}$  tensor category of  $U_{\varepsilon} \mathfrak{su}(n)$  tilting modules  
with  $\varepsilon = e^{i\pi/k+n}$  (QFT: Chern-Simons)

$\rightsquigarrow$  Geometric interpretation at  $q \neq 0$ ?